



# 3D convex hulls

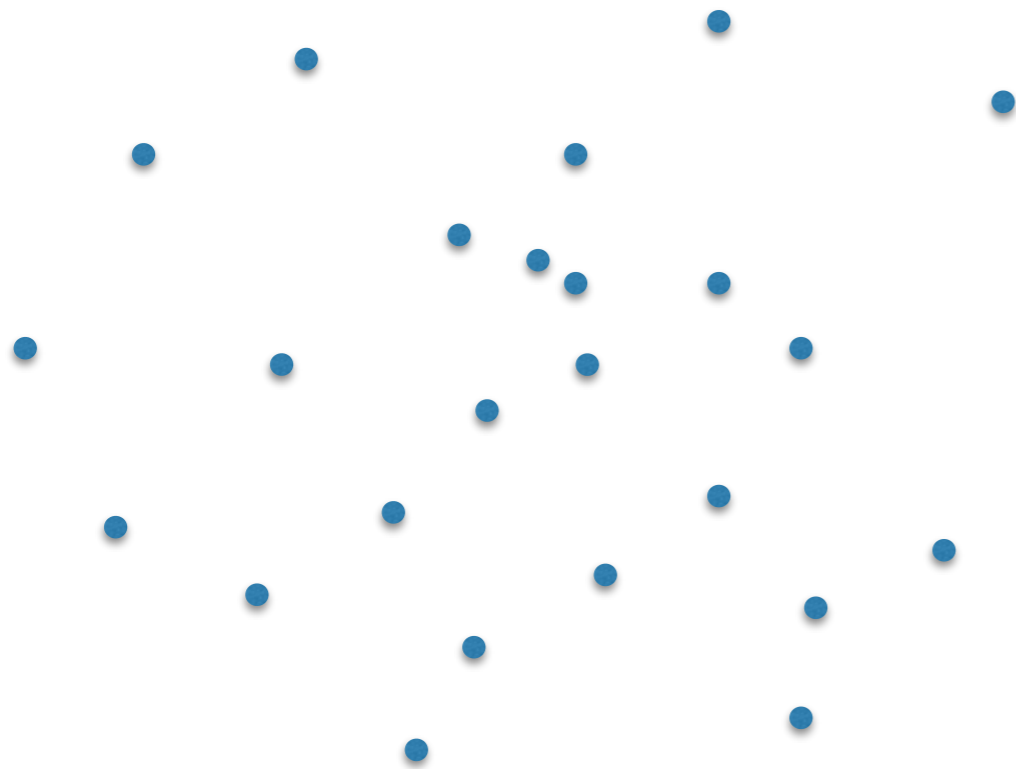
Computational Geometry [csci 3250]

Laura Toma

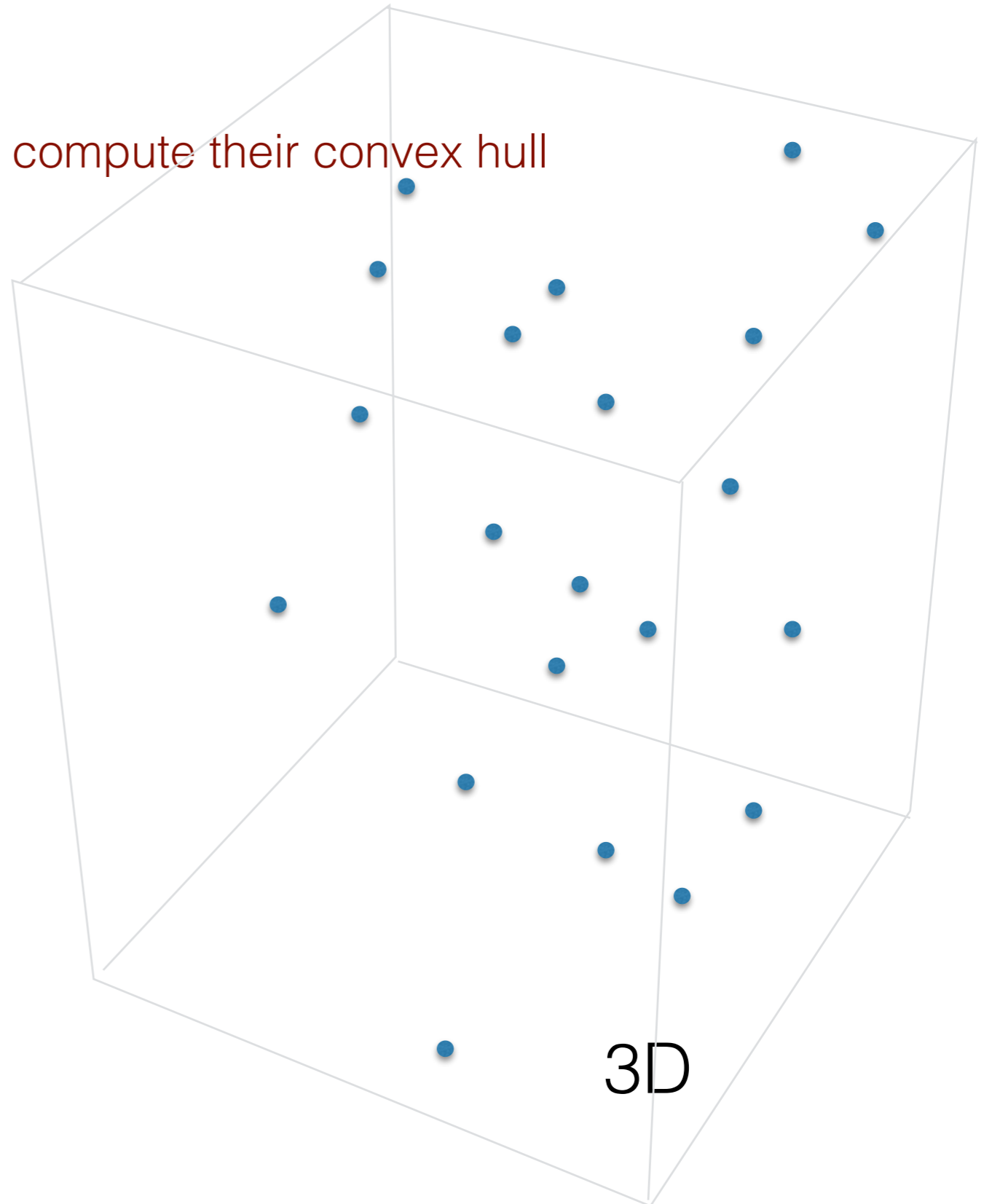
Bowdoin College

# Convex Hulls

The problem: Given a set  $P$  of points, compute their convex hull

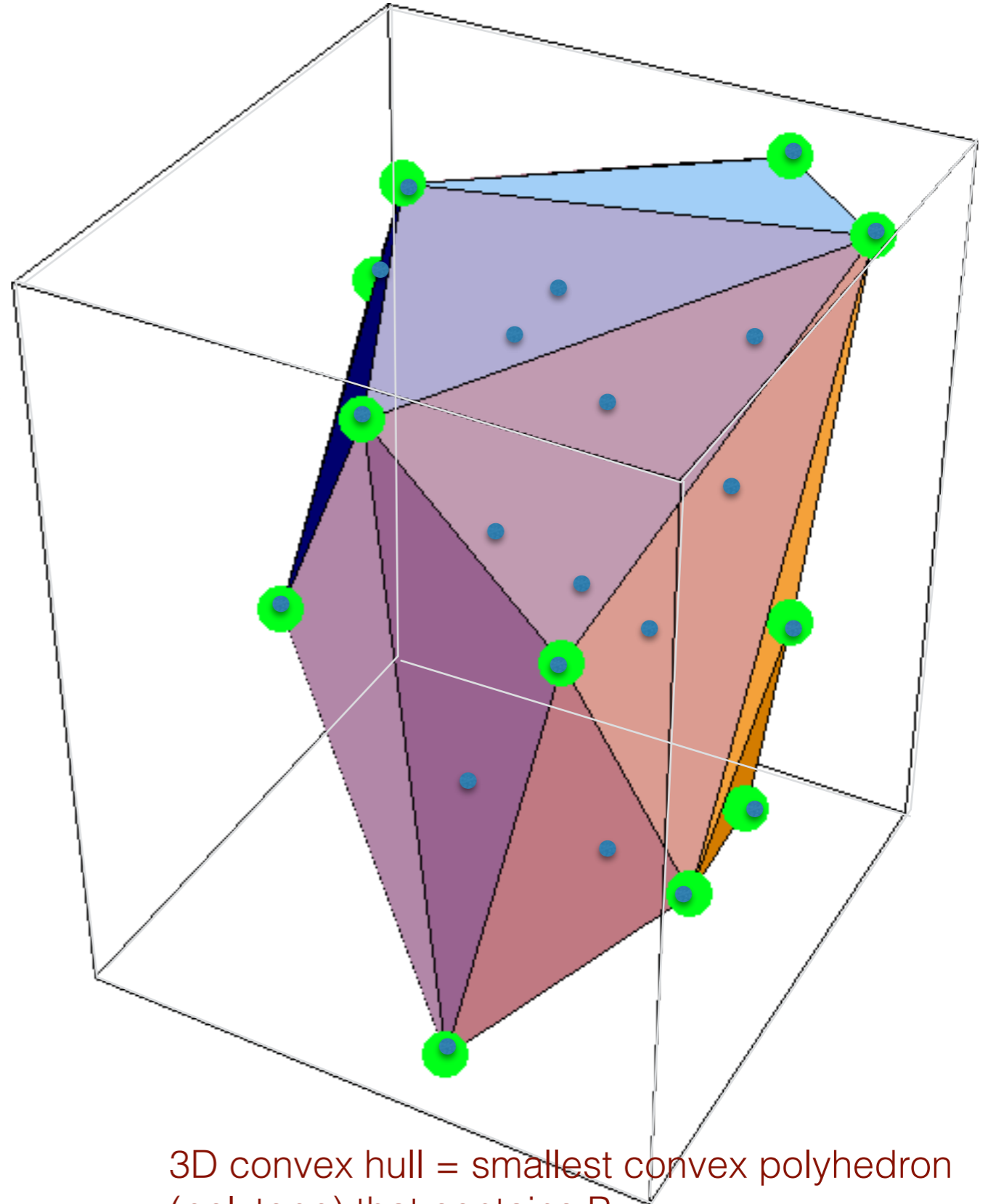
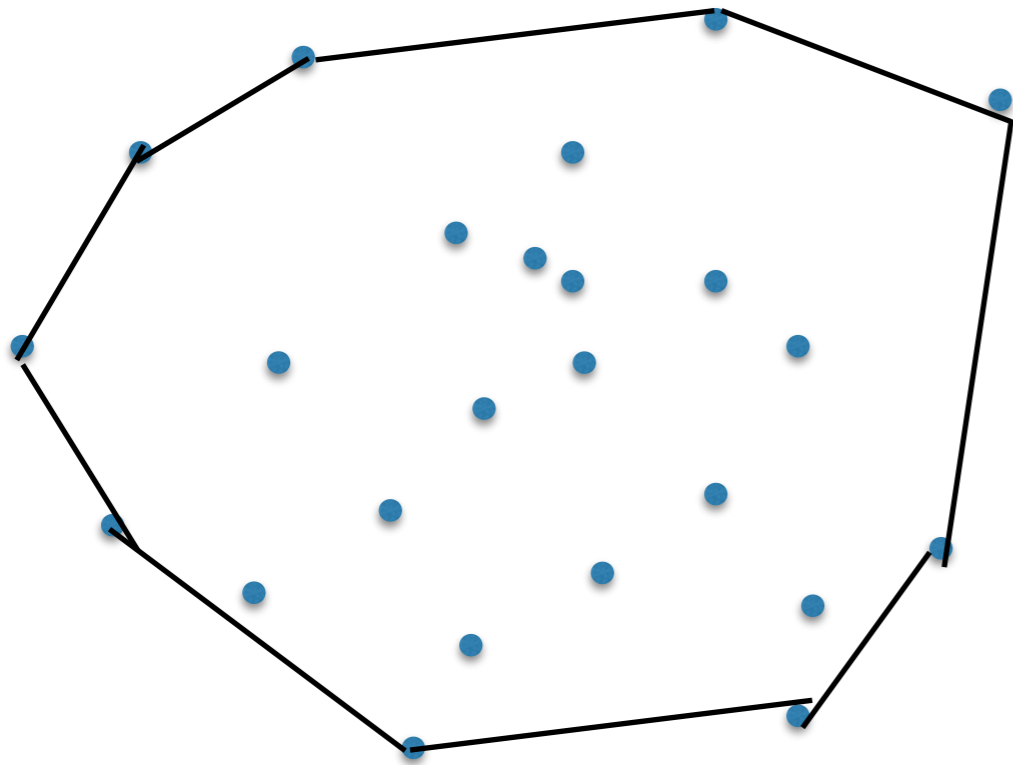


2D



3D

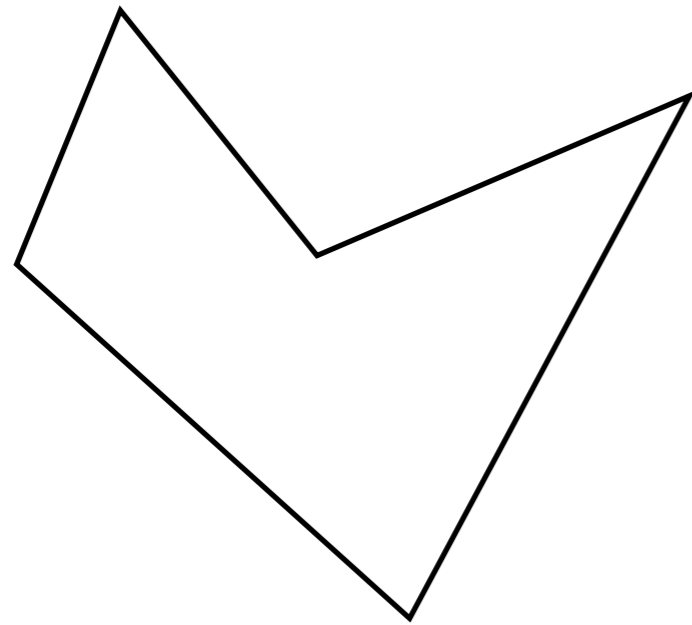
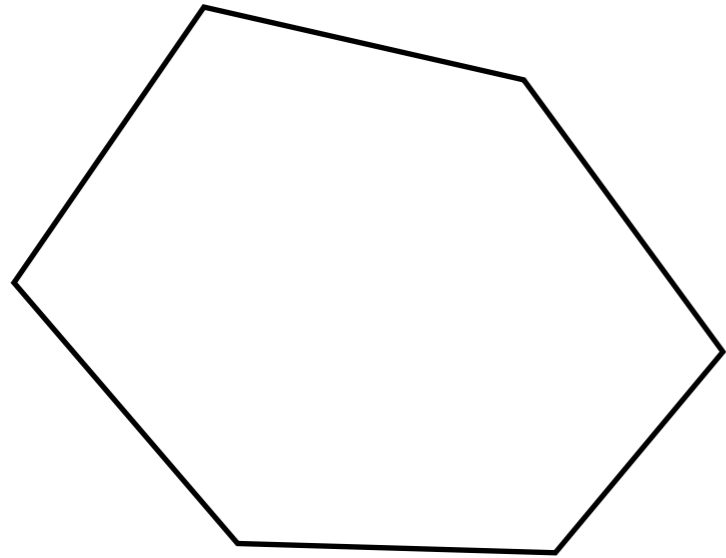
# Convex Hulls



2D convex hull = smallest convex polygon (polytope) that contains P

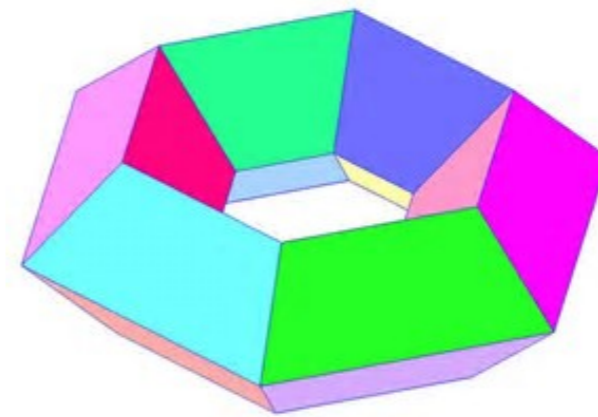
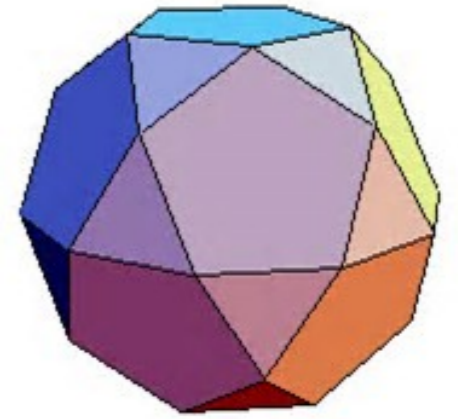
3D convex hull = smallest convex polyhedron (polytope) that contains P

**2D**



polygon

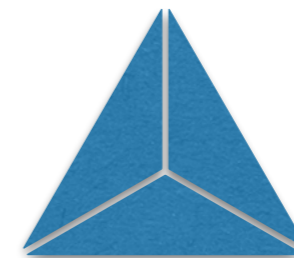
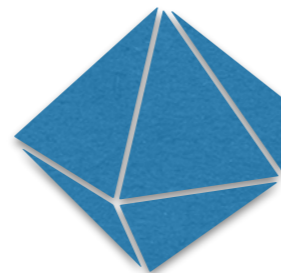
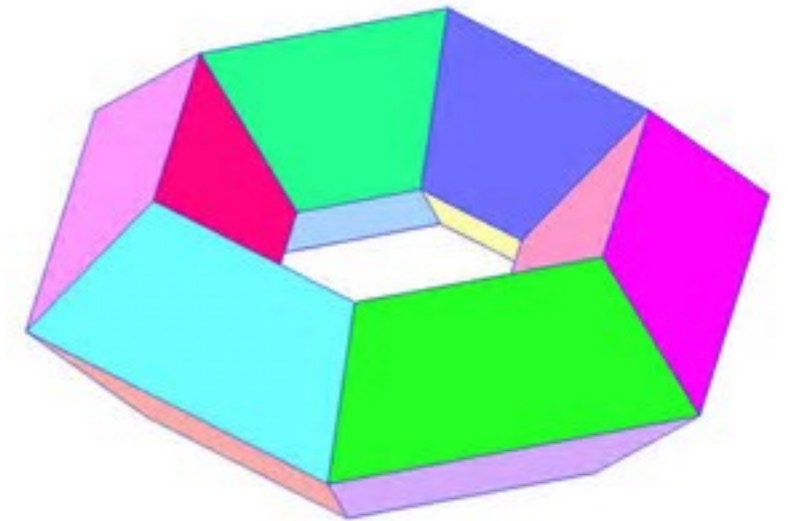
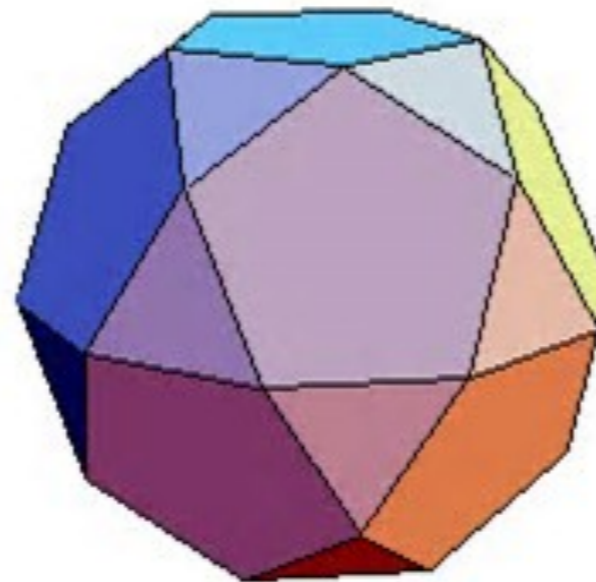
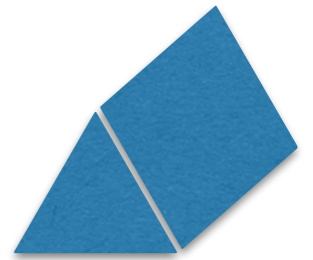
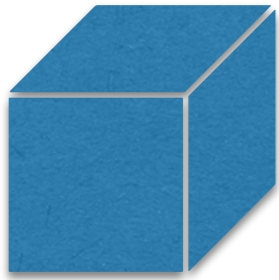
**3D**



polyhedron

# Polyhedron

- region of space whose boundary consists of vertices, edges and (flat) faces, such that faces intersect properly
  - two faces are either disjoint; or
  - have a single vertex in common; or
  - have two vertices and the edge between them in common



# Polyhedra

- Also, local topology must be proper

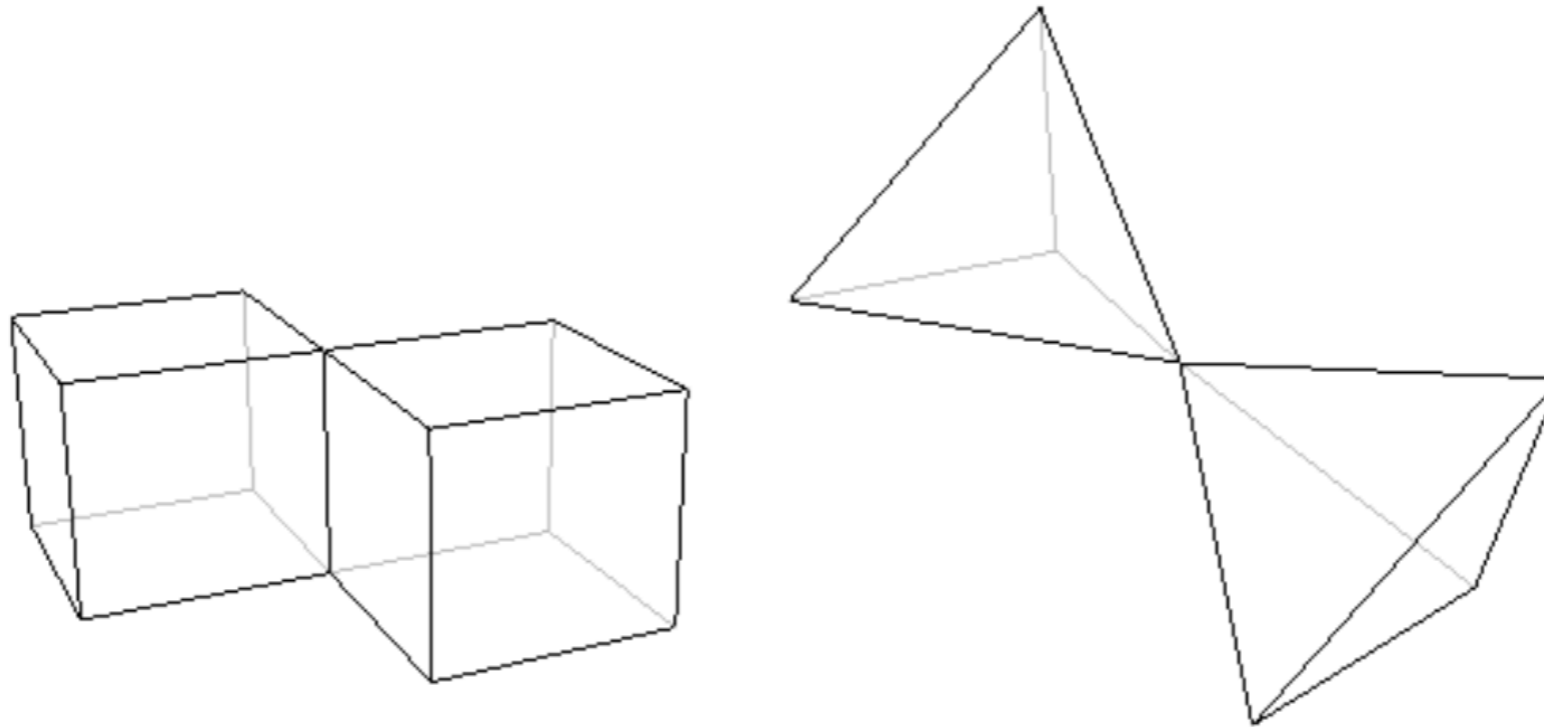
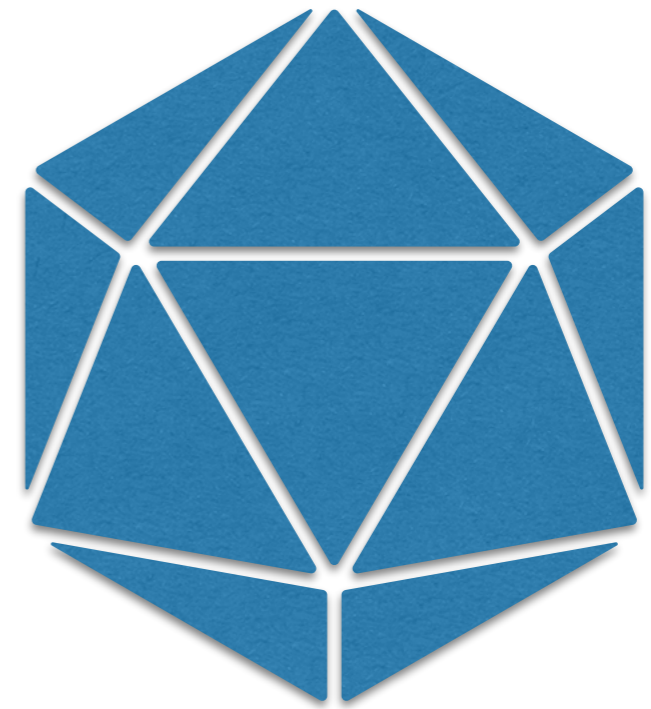
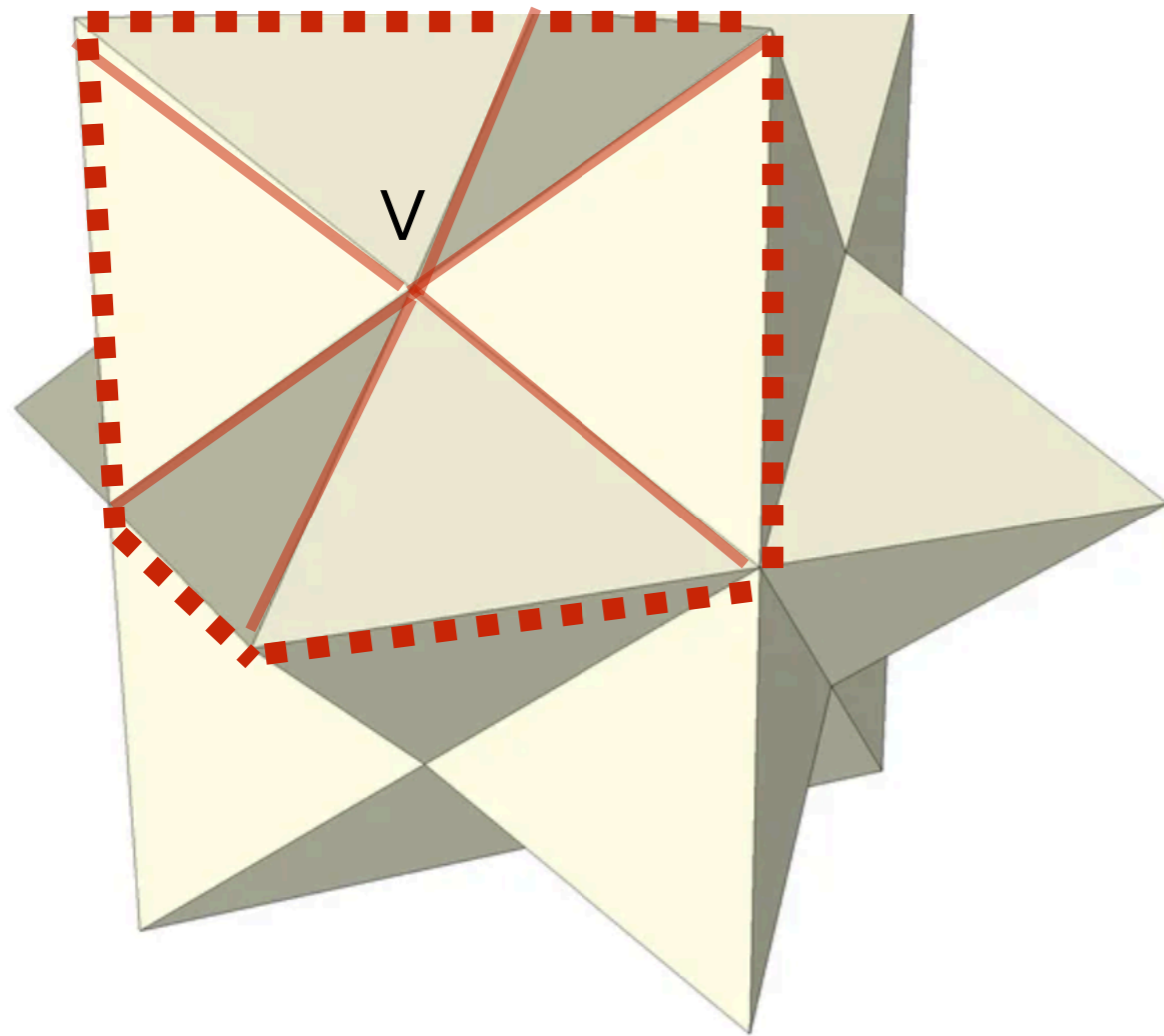


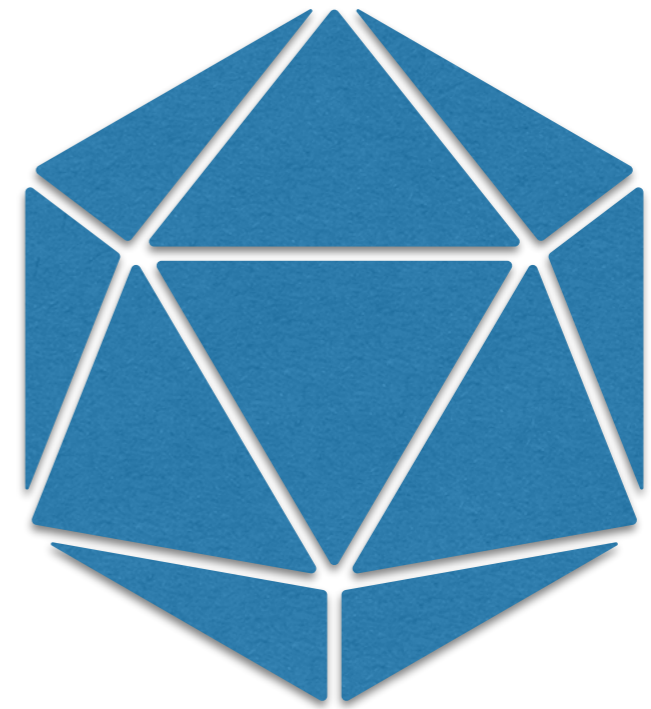
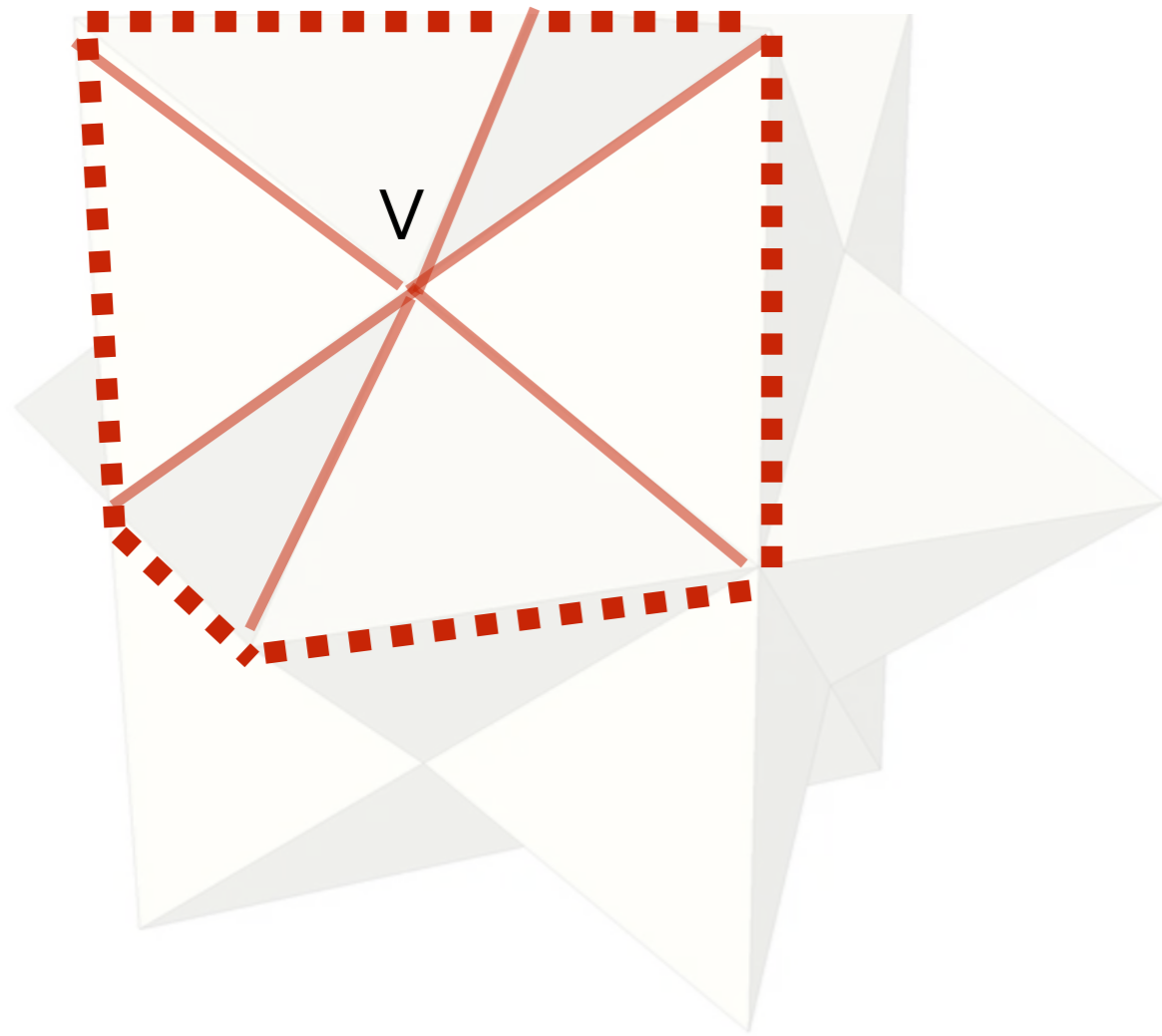
Figure 4: These objects are not polyhedra because they are made up of two separate parts meeting only in an edge (on the left) or a vertex (on the right).

<https://plus.maths.org/content/eulers-polyhedron-formula>



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The link of any vertex be is a simple, closet polygonal path.

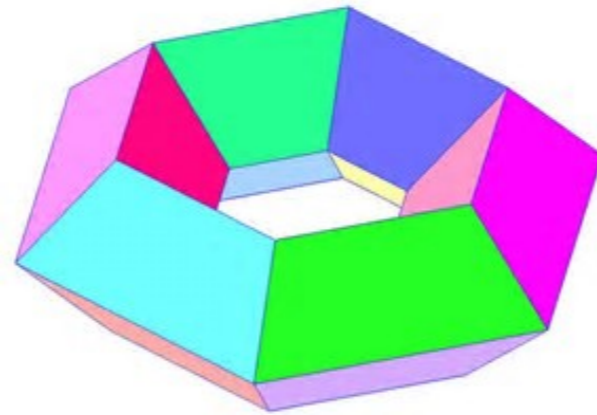


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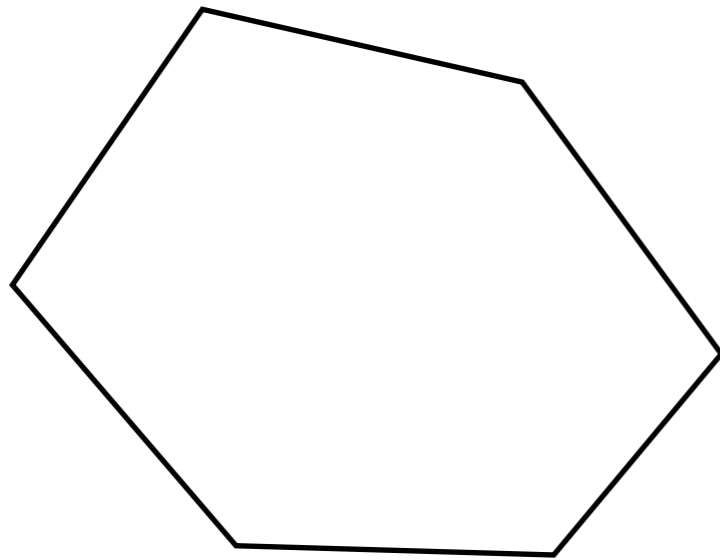
# Polyhedra

- Also: global topology must be proper: surface is connected, closed and bounded.
  - Holes are allowed, as long as they don't disconnect
  - The nb of holes is called the genus of the surface

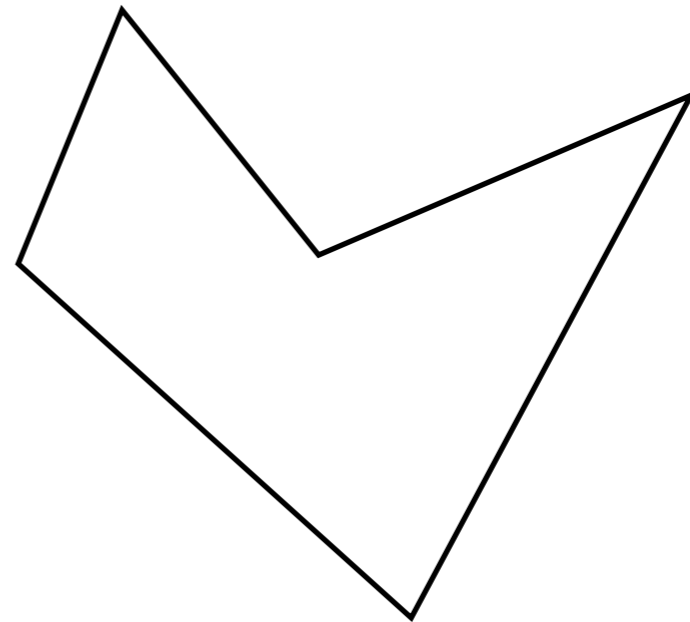


# Convexity

A polygon  $P$  is **convex** if for any  $p, q$  in  $P$ , the segment  $pq$  lies entirely in  $P$ .



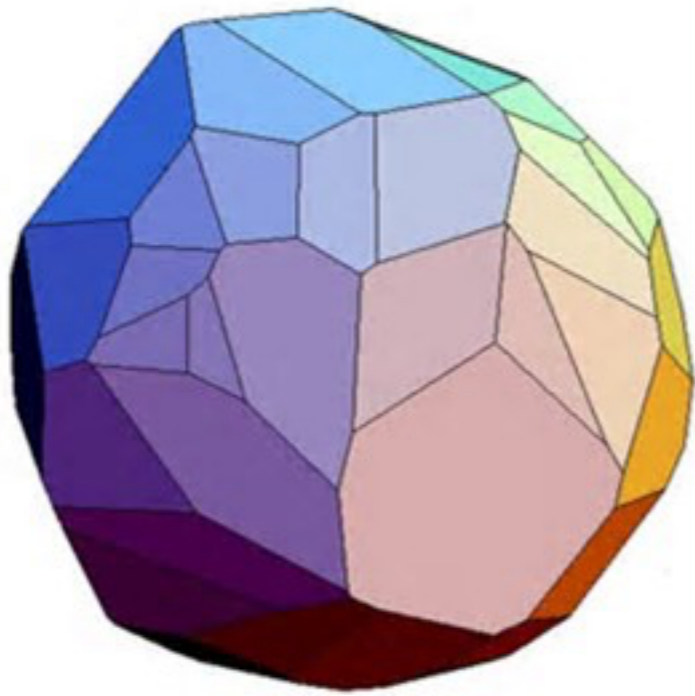
convex



non-convex

# Convexity

A polyhedron  $P$  is **convex** if for any  $p, q$  in  $P$ , the segment  $pq$  lies entirely in  $P$ .



convex



non-convex

convex polyhedron : polytop

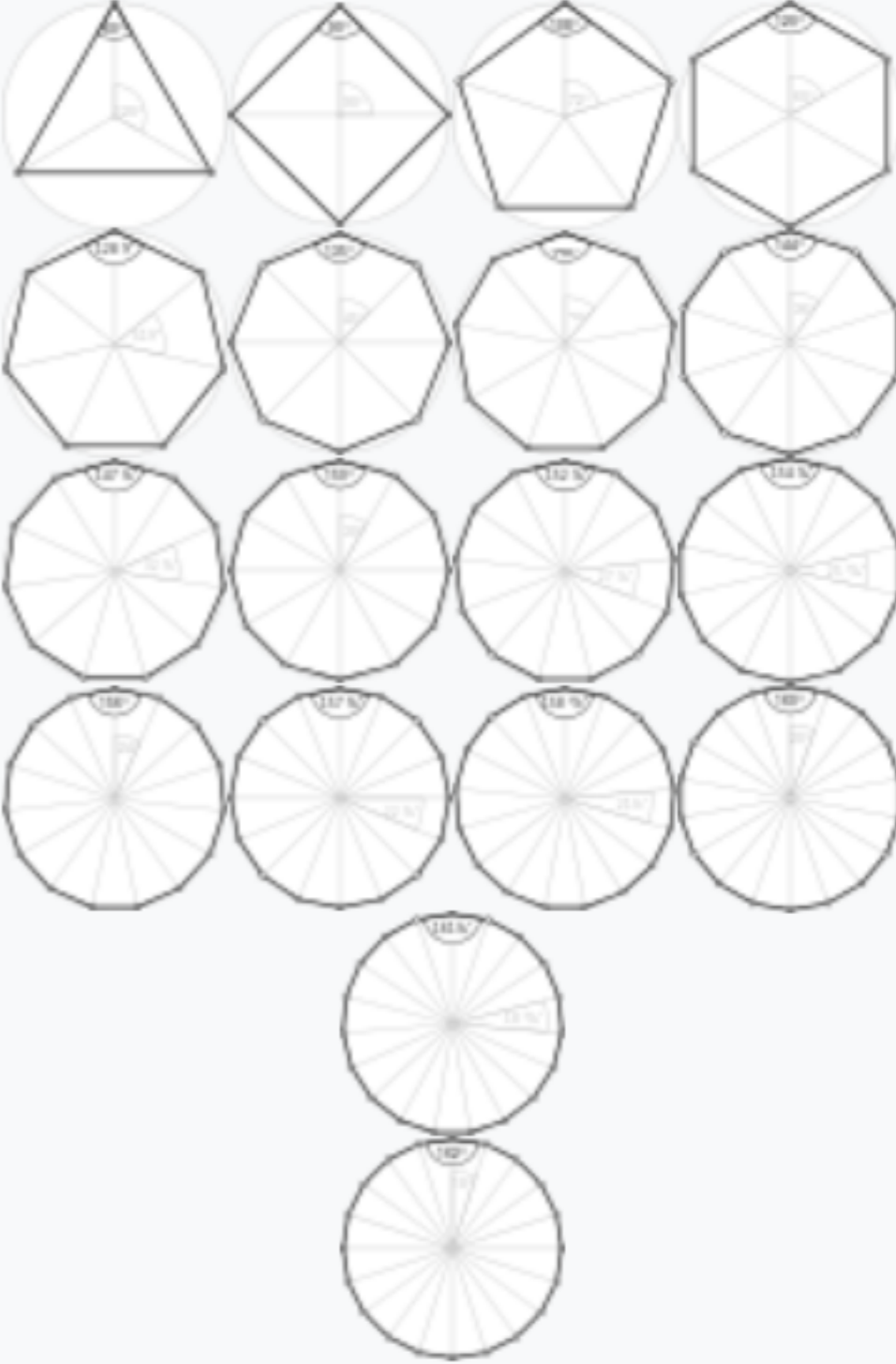


digression start

# Regular polygons in 2D

- A regular polygon has equal sides and angles

**Set of convex regular n-gons**



Regular polygons

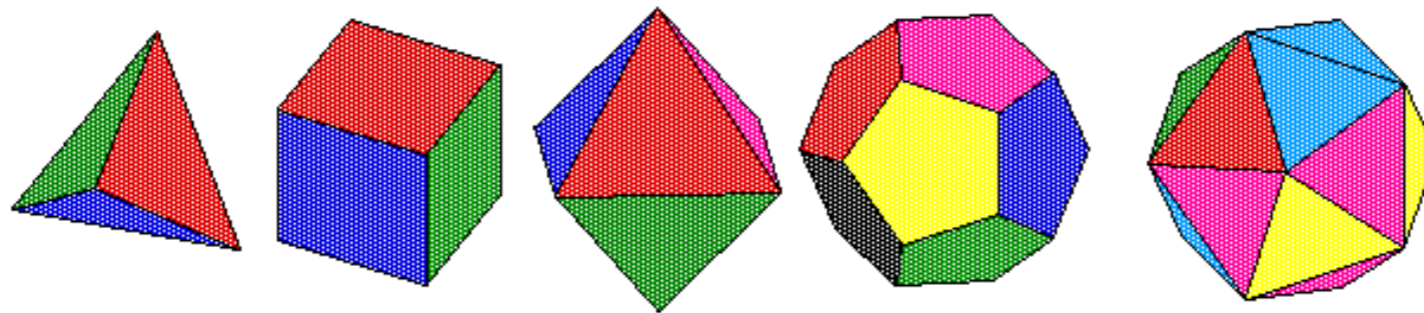
| Number of sides (n) | Interior angle (degrees) |
|---------------------|--------------------------|
| 3                   | 60                       |
| 4                   | 90                       |
| 5                   | 108                      |
| 6                   | 120                      |
| 7                   | 128.57                   |
| 8                   | 135                      |
| 9                   | 140                      |
| 10                  | 144                      |
| 11                  | 147.27                   |
| 12                  | 150                      |
| 13                  | 152.31                   |
| 14                  | 154.29                   |
| 15                  | 156                      |
| 16                  | 157.5                    |
| 17                  | 159                      |
| 18                  | 160                      |
| 19                  | 161.05                   |
| 20                  | 162                      |

# Regular polytops in 3D

- Regular polytop:
  - faces are congruent regular polygons
  - the number of faces incident to each vertex is the same (and equal angles)

Surprisingly, there exist only 5 regular polytops

## The five Platonic solids



**The Tetrahedron    The Cube    The Octahedron    The Dodecahedron    The Icosahedron**

The five regular solids discovered by the Ancient Greek mathematicians are:

|                           |             |          |          |                   |
|---------------------------|-------------|----------|----------|-------------------|
| The <b>Tetrahedron</b> :  | 4 vertices  | 6 edges  | 4 faces  | each with 3 sides |
| The <b>Cube</b> :         | 8 vertices  | 12 edges | 6 faces  | each with 4 sides |
| The <b>Octahedron</b> :   | 6 vertices  | 12 edges | 8 faces  | each with 3 sides |
| The <b>Dodecahedron</b> : | 20 vertices | 30 edges | 12 faces | each with 5 sides |
| The <b>Icosahedron</b> :  | 12 vertices | 30 edges | 20 faces | each with 3 sides |

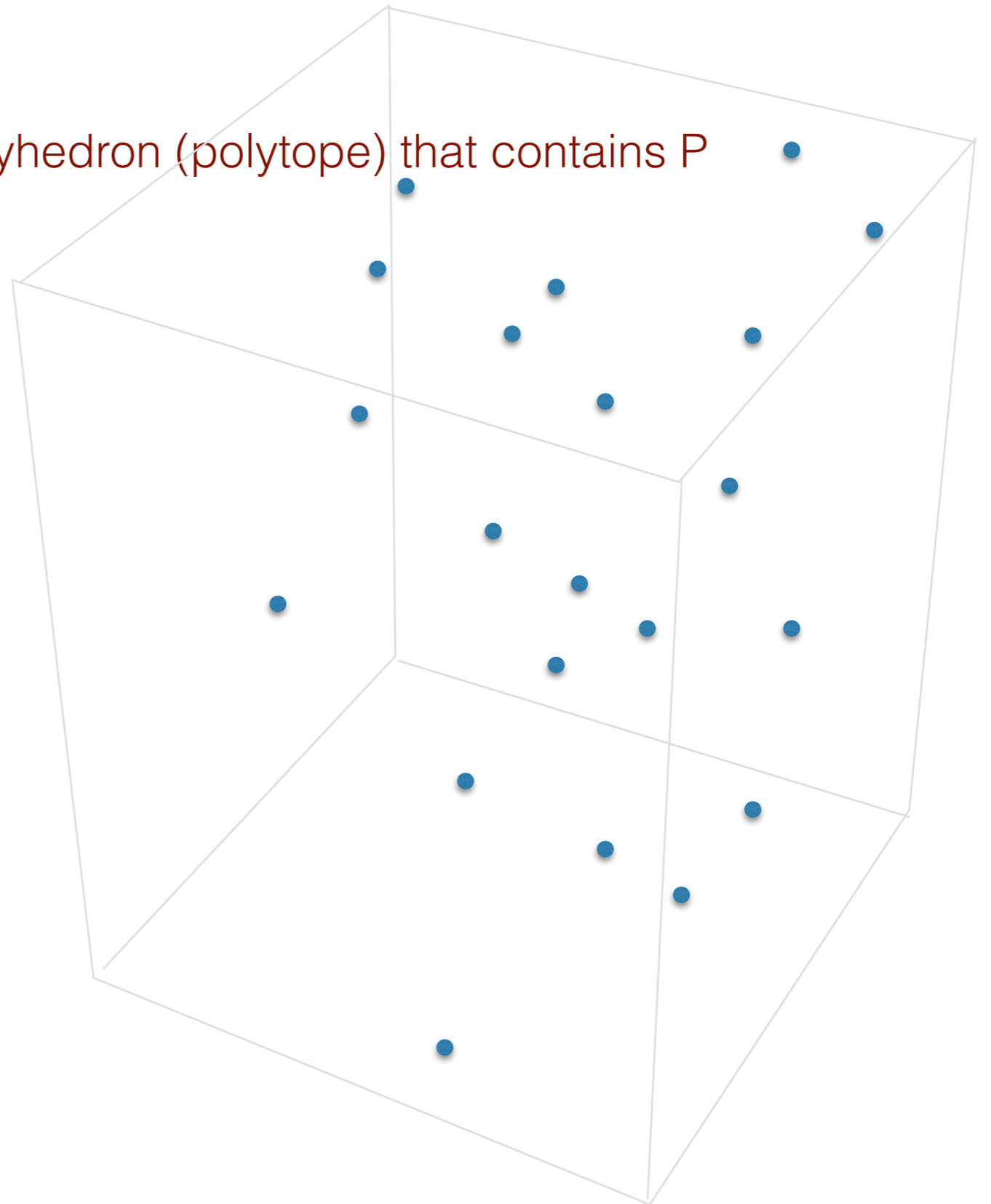
The solids are regular because the same number of sides meet at the same angles at each vertex and identical polygons meet at the same angles at each edge.  
These five are the only possible regular polyhedra.

digression end



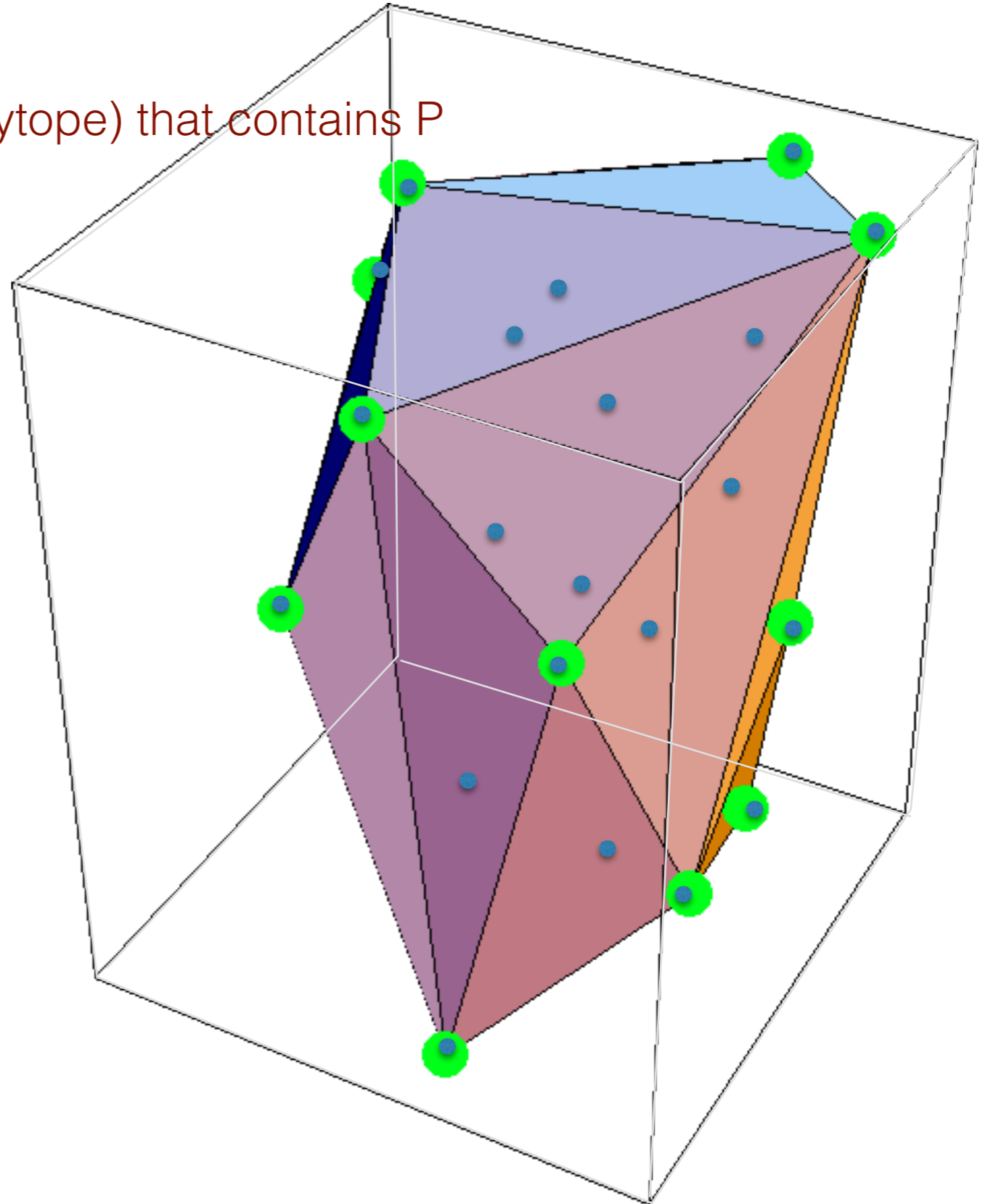
# Convex Hulls in 3D

3D convex hull = smallest convex polyhedron (polytope) that contains  $P$



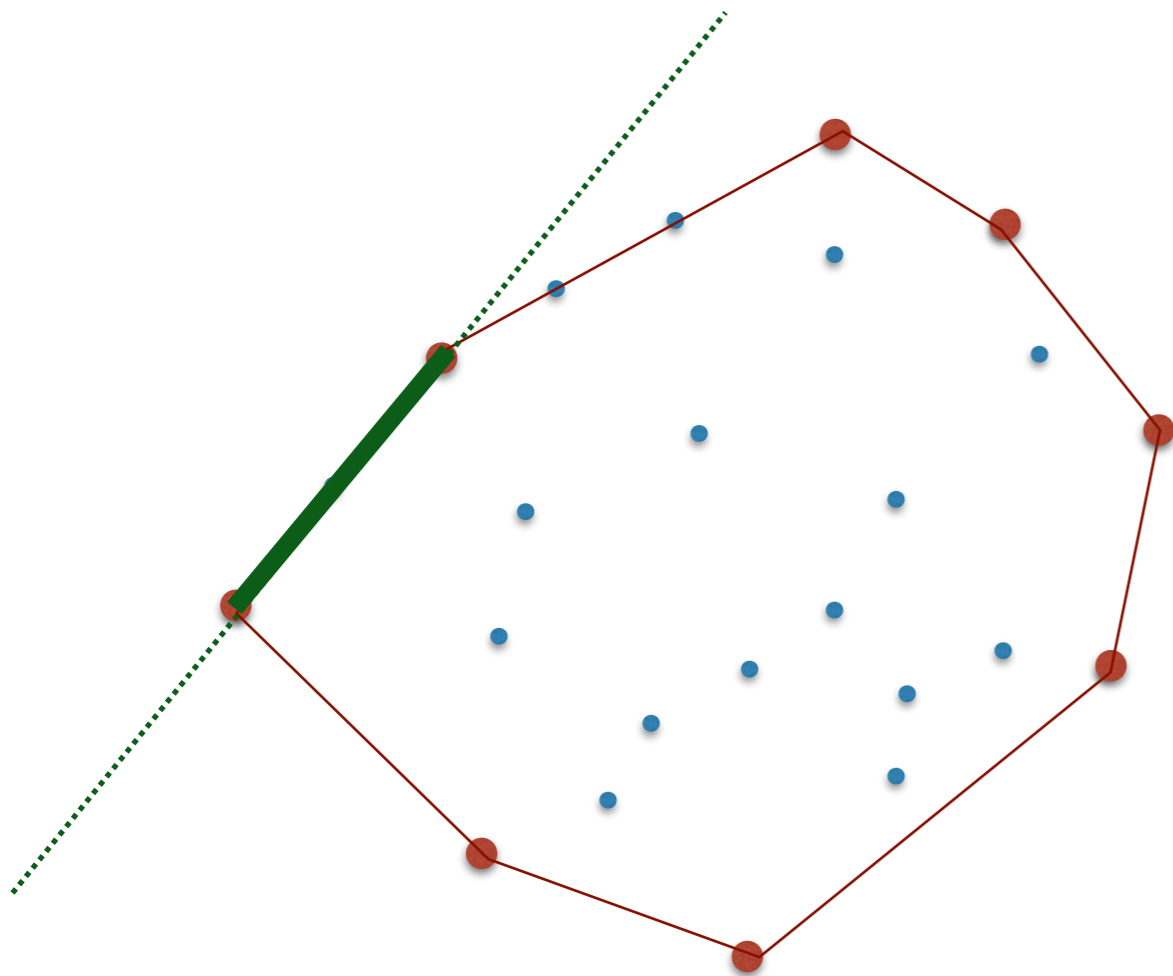
# Convex Hulls in 3D

The smallest convex polyhedron (polytope) that contains  $P$



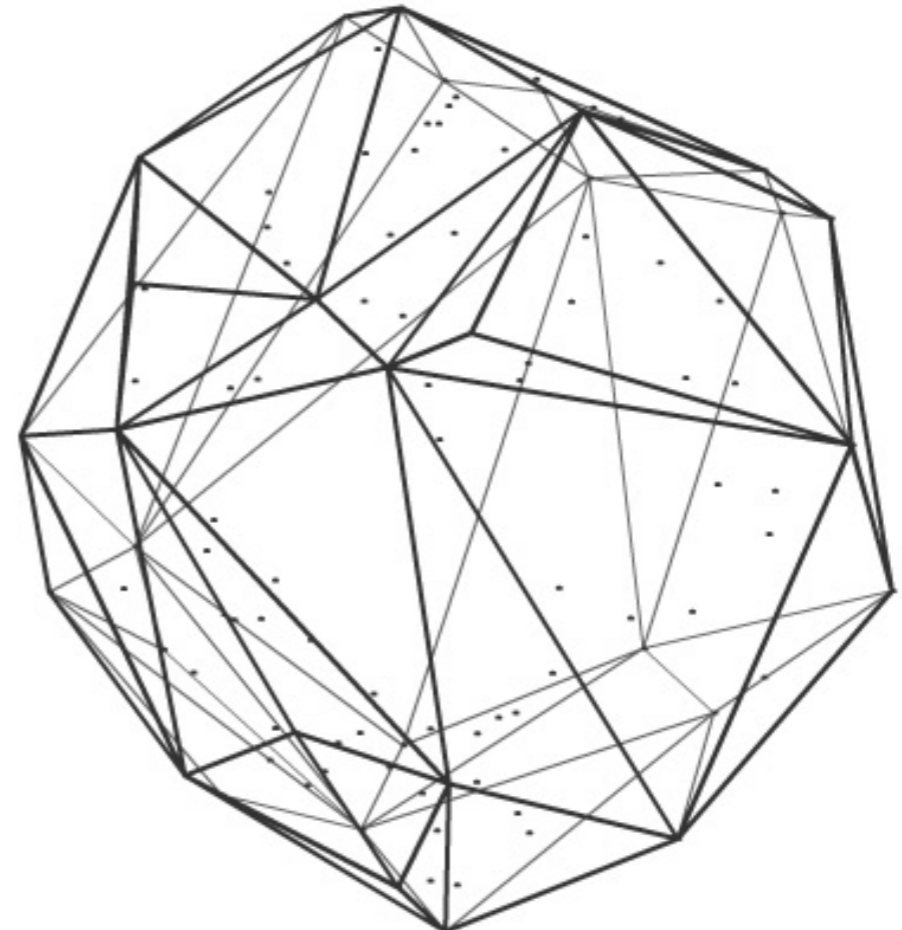
## Properties of **2d** hull

- 2d hull consists of all extreme edges and vertices
- All internal angles are  $< 180$
- Walking counterclockwise  $\rightarrow$  left turns
- Points on hull are sorted in radial order wrt a point inside

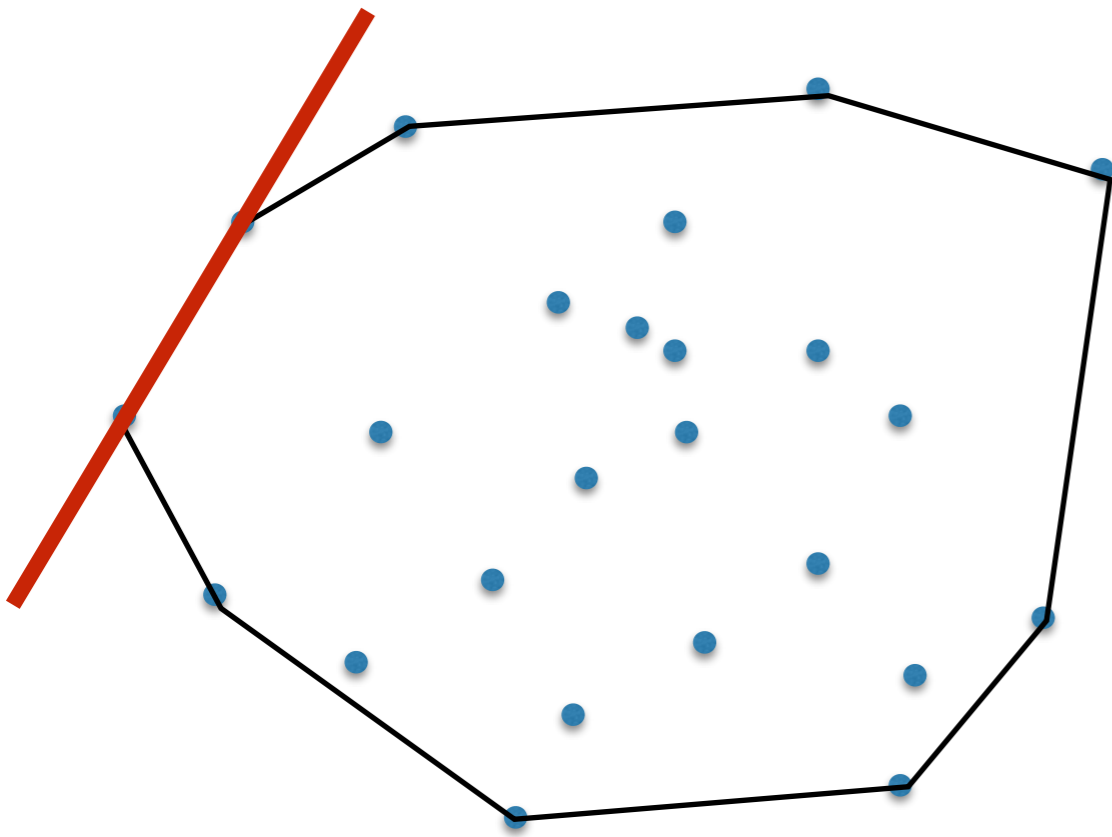


## Properties of **3d** hull

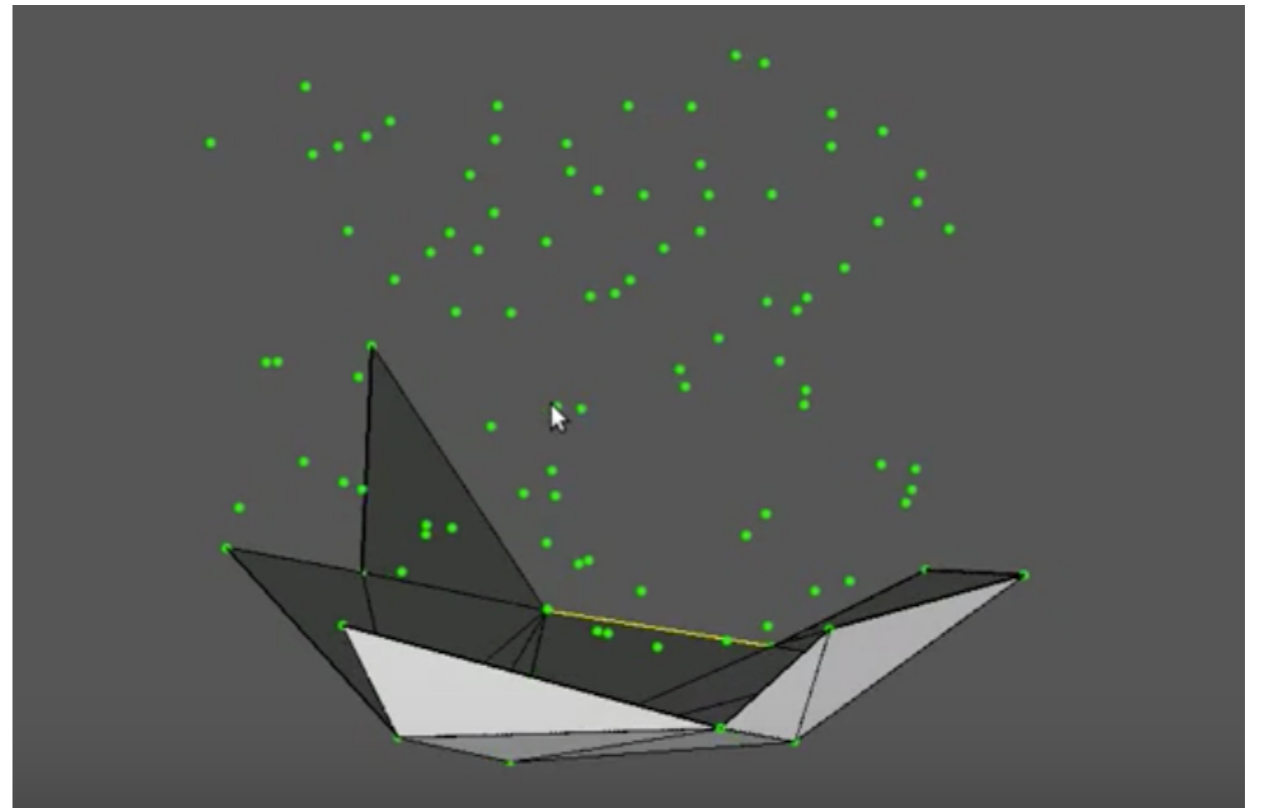
- 3d hull consists of all faces, edges and vertices
- All internal angles between faces are  $< 180$
- ~~Walking counterclockwise  $\rightarrow$  left turns~~
- ~~Points on CH are sorted in radial order wrt a point inside~~



Faces, edges, vertices on the hull are **extreme**.



2D



3D

# Computing the Hull

| 2D                 |                      | 3D                |
|--------------------|----------------------|-------------------|
| Naive              | $O(n^3)$             |                   |
| Gift wrapping      | $O(nh)$              |                   |
| Graham scan        | $O(n \lg n)$         | does not extend.. |
| Quickhull          | $O(n \lg n), O(n^2)$ |                   |
| Incremental        | $O(n \lg n)$         |                   |
| Divide-and-conquer | $O(n \lg n)$         |                   |

Lower bound in 3D:  $\Omega(n \lg n)$  ← Is this achievable?

Naive 3d hull

# 3d hull: Naive algorithm

## Algorithm idea:

- For every triplet of points  $(p_i, p_j, p_k)$ :
    - check if plane defined by it is extreme
    - if it is, add it to the list of CH faces
  - Sketch how to determine if a triplet is extreme and analyze it
- `is_extreme(point3d a, point3d b, point3d c, vector<point3d> P)`

Gift wrapping

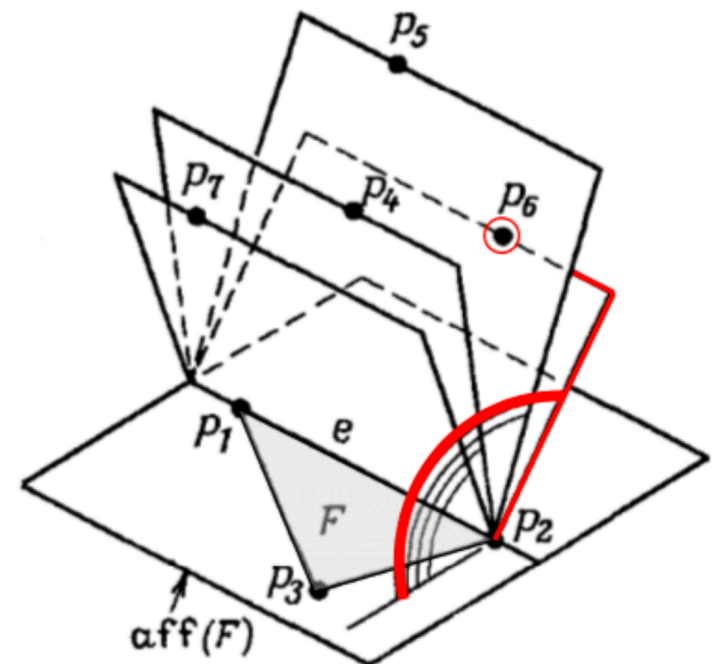


# 3d hull: Gift wrapping

## Algorithm

- find a face guaranteed to be on the CH
- REPEAT
  - find an edge  $e$  of a face  $f$  that's on the CH, and such that the face on the other side of  $e$  has not been found.
  - for all remaining points  $p_i$ , find the angle of  $(e, p_i)$  with  $f$
  - find point  $p_i$  with the minimal angle; add face  $(e, p_i)$  to CH

- Analysis:  $O(n \times F)$ , where  $F$  is the number of faces on CH



# Mathematical background [\[ edit \]](#)



WIKIPEDIA  
The Free Encyclopedia

When the two intersecting planes are described in terms of Cartesian coordinates by the equations

$$a_1x + b_1y + c_1z + d_1 = 0$$

$$a_2x + b_2y + c_2z + d_2 = 0$$

the dihedral angle,  $\varphi$  between them is given by:

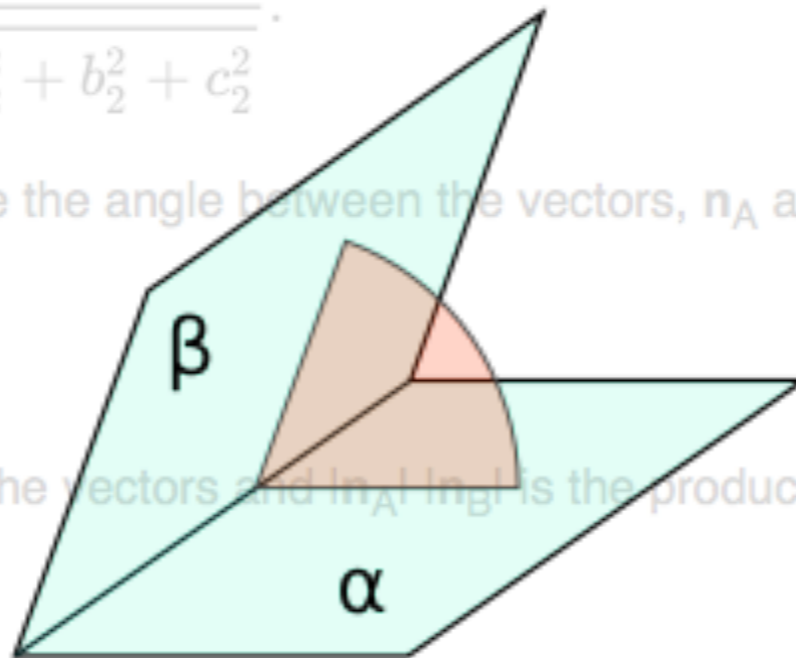
**A dihedral angle** is the angle between two intersecting planes.

$$\cos \varphi = \frac{|a_1a_2 + b_1b_2 + c_1c_2|}{\sqrt{a_1^2 + b_1^2 + c_1^2} \sqrt{a_2^2 + b_2^2 + c_2^2}}$$

An alternative method is to calculate the angle between the vectors,  $\mathbf{n}_A$  and  $\mathbf{n}_B$ , which are normal to the planes.

$$\cos \varphi = \frac{|\mathbf{n}_A \cdot \mathbf{n}_B|}{|\mathbf{n}_A| |\mathbf{n}_B|}$$

where  $\mathbf{n}_A \cdot \mathbf{n}_B$  is the dot product of the vectors and  $|\mathbf{n}_A| |\mathbf{n}_B|$  is the product of their lengths. [\[1\]](#)



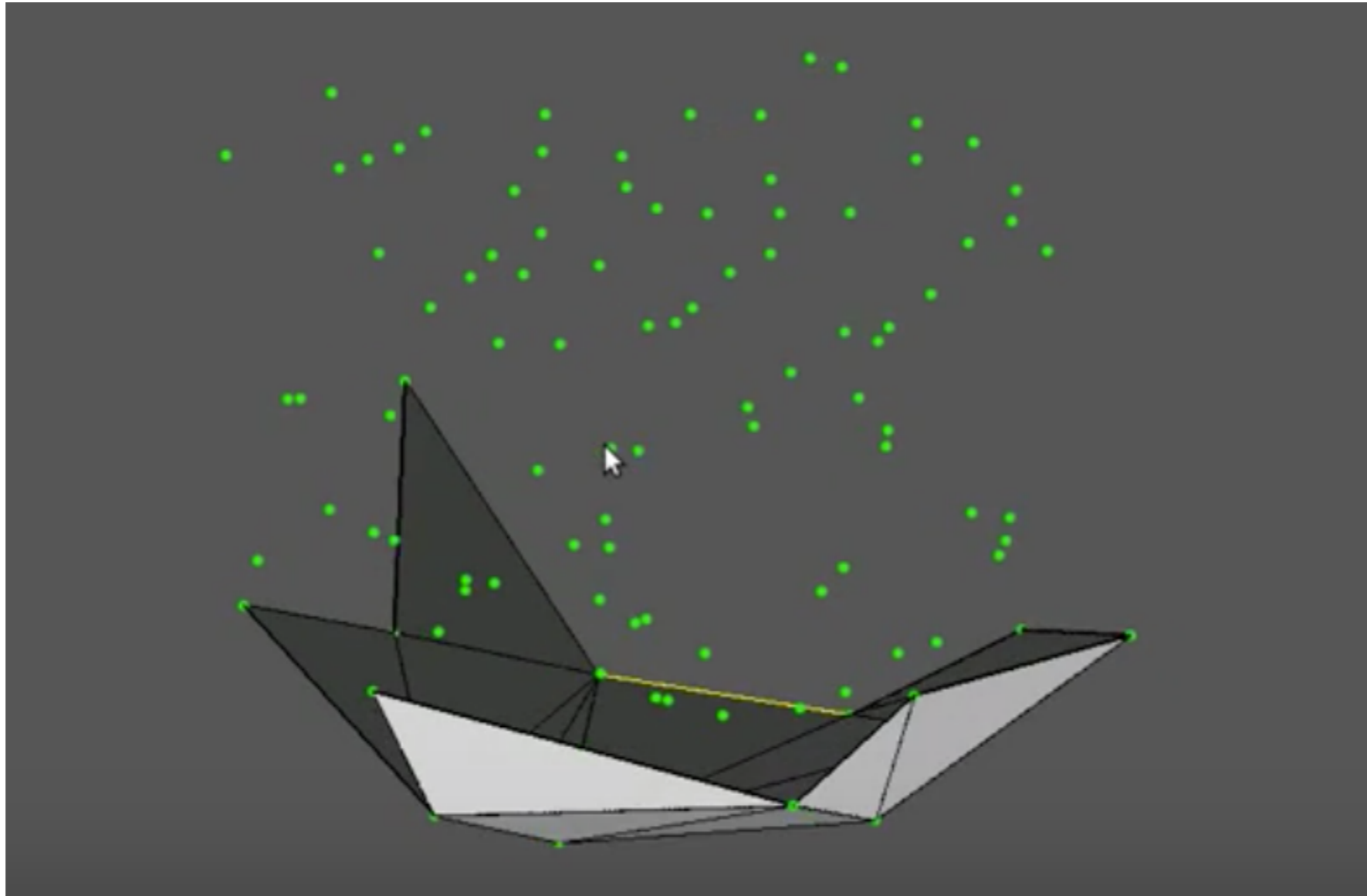
Angle between two planes ( $\alpha$ ,  $\beta$ , green) in a third plane (pink) which cuts the line of intersection at right angles

# 3d hull: Gift wrapping

## Algorithm

- find a face guaranteed to be on the CH
  - REPEAT
    - find an edge  $e$  of a face  $f$  that's on the CH, and such that the face on the other side of  $e$  has not been found.
    - for all remaining points  $p_i$ , find the angle of  $(e, p_i)$  with  $f$
    - find point  $p_i$  with the minimal angle; add face  $(e, p_i)$  to CH
- 
- To think
    - finding first face?
    - How to keep track of the hull? we'll need to store the connectivity (what faces are adjacent, for an edge which faces its adjacent to, etc)
    - How to keep track of the boundary of the hull (the edges that have only one face discovered)?

# Gift wrapping in 3D



- [YouTube](#)
- [Video of CH in 3D](#) (by Lucas Benevides)

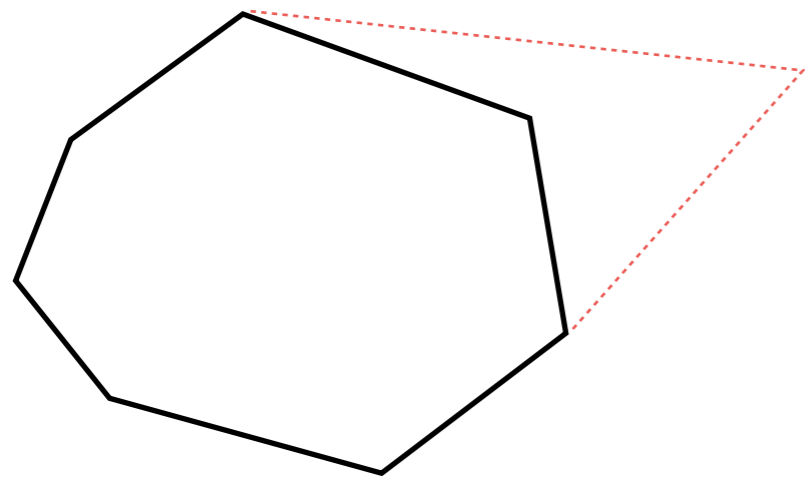
## From 2D to 3D

| 2D                 |                      | 3D                    |
|--------------------|----------------------|-----------------------|
| Naive              | $O(n^3)$             | $O(n^4)$              |
| Gift wrapping      | $O(nh)$              | $O(n \times F)$       |
| Graham scan        | $O(n \lg n)$         | does not extend to 3D |
| Quickhull          | $O(n \lg n), O(n^2)$ |                       |
| Incremental        | $O(n \lg n)$         |                       |
| Divide-and-conquer | $O(n \lg n)$         |                       |

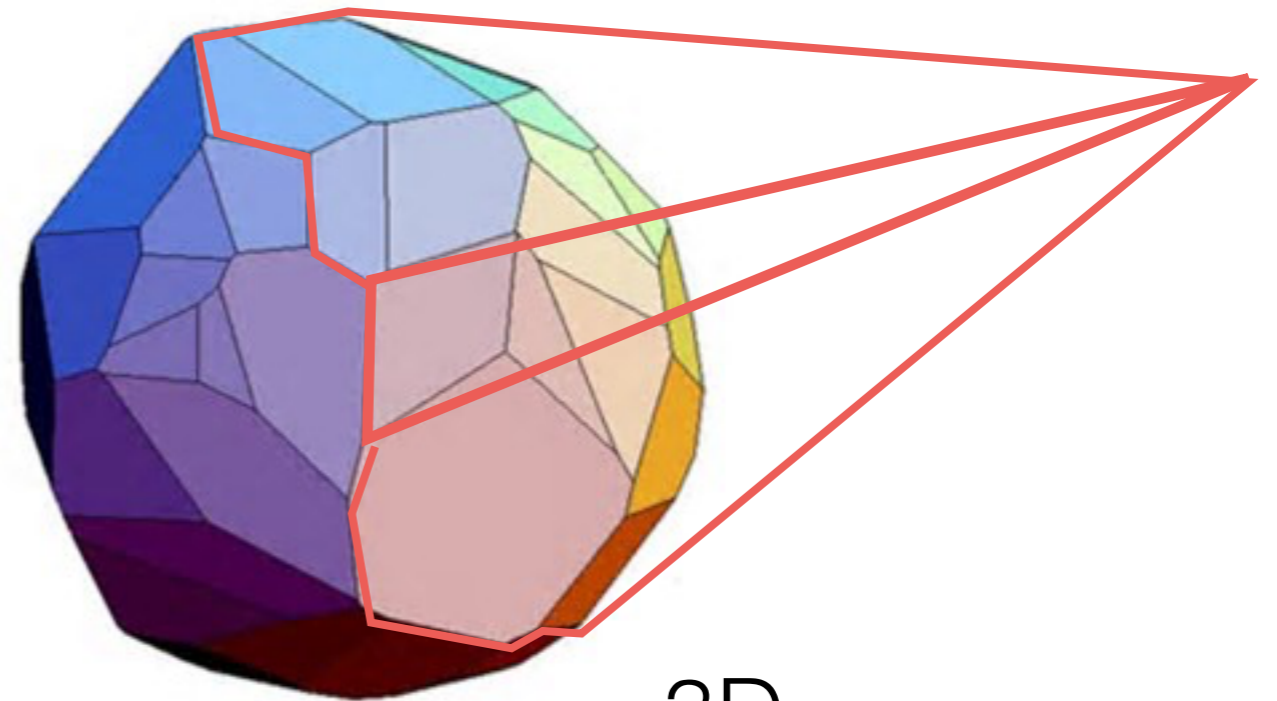
Incremental 3D hull

# Incremental 3d hull

- sort points lexicographically
- initialize hull  $H = \{p_1, p_2, p_3\}$
- for  $i = 4$  to  $n$ 
  - //invariant:  $H$  represents the CH of  $p_1..p_{i-1}$
  - add  $p_i$  to  $H$  and update  $H$  to represent the CH of  $p_1..p_i$

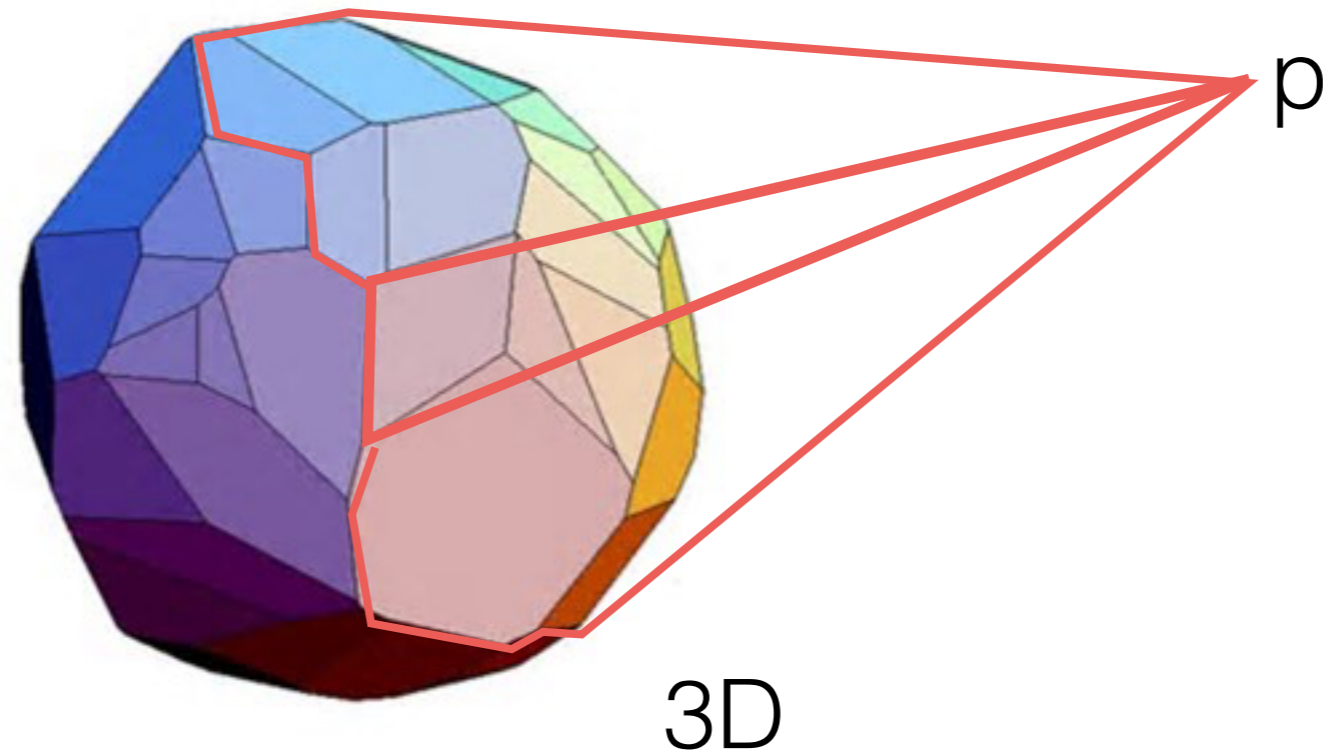


2D



3D

# Incremental 3d hull



Imagine standing at  $p$  and looking towards the hull

The faces that are visible are precisely those that need to be discarded

The edges on the border of the visible region become the basis of the cone

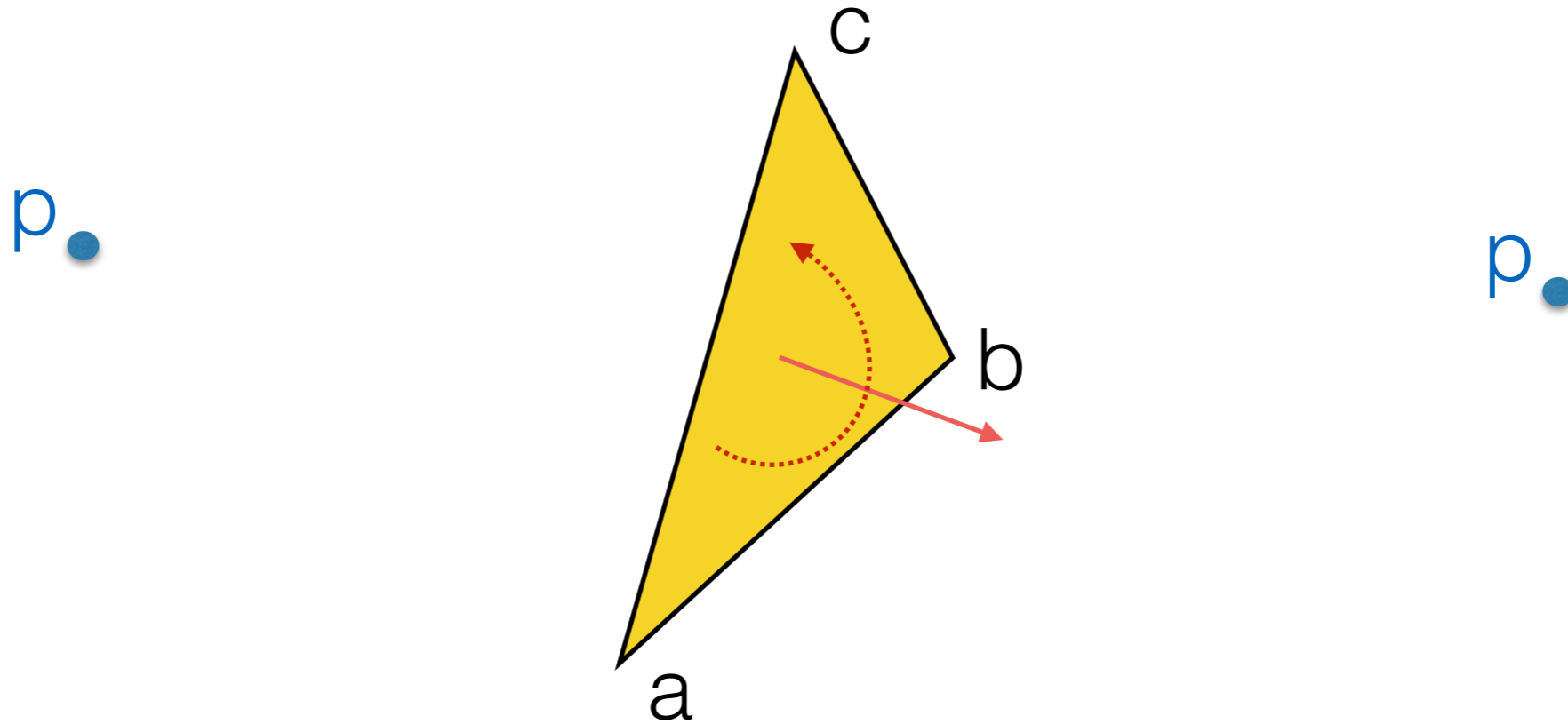


# Incremental 3d hull

- sort points lexicographically
- initialize H for  $p_1, p_2, p_3, p_4$
- for each remaining point  $p$  in order
  - for each face  $f$  of H: check if  $f$  is **visible** from  $p$
  - if no faces are visible
    - discard  $p$  ( $p$  must be inside H)
  - else
    - find border edge of all visible faces
    - for each border edge  $e$  construct a face  $(e,p)$  and add to H
    - for each visible face  $f$ : delete  $f$  from H

We need a precise definition of visibility

# Terminology: Point in front/behind face



$p$  is left of (behind)  $abc$   
 $abc$  not visible from  $p$

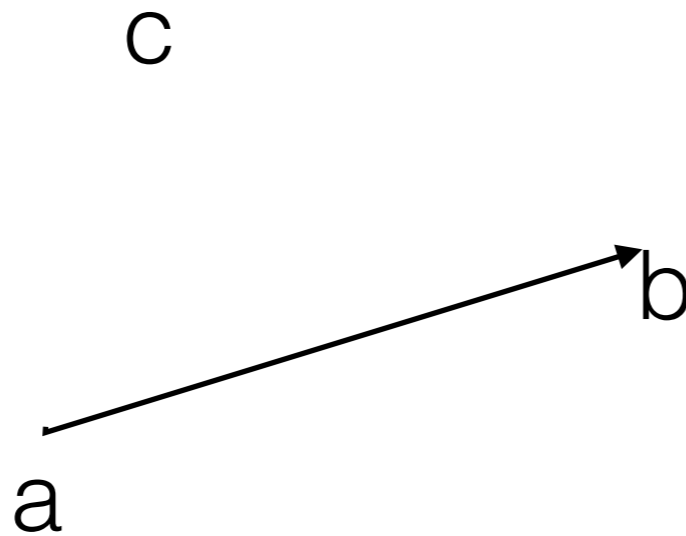
$p$  is right of (in front)  $abc$   
 $abc$  visible from  $p$

# 2D

2 signedArea(a,b,c) = det

|     |     |   |
|-----|-----|---|
| a.x | a.y | 1 |
| b.x | b.y | 1 |
| c.x | c.y | 1 |

positive area  
(c left/behind ab)



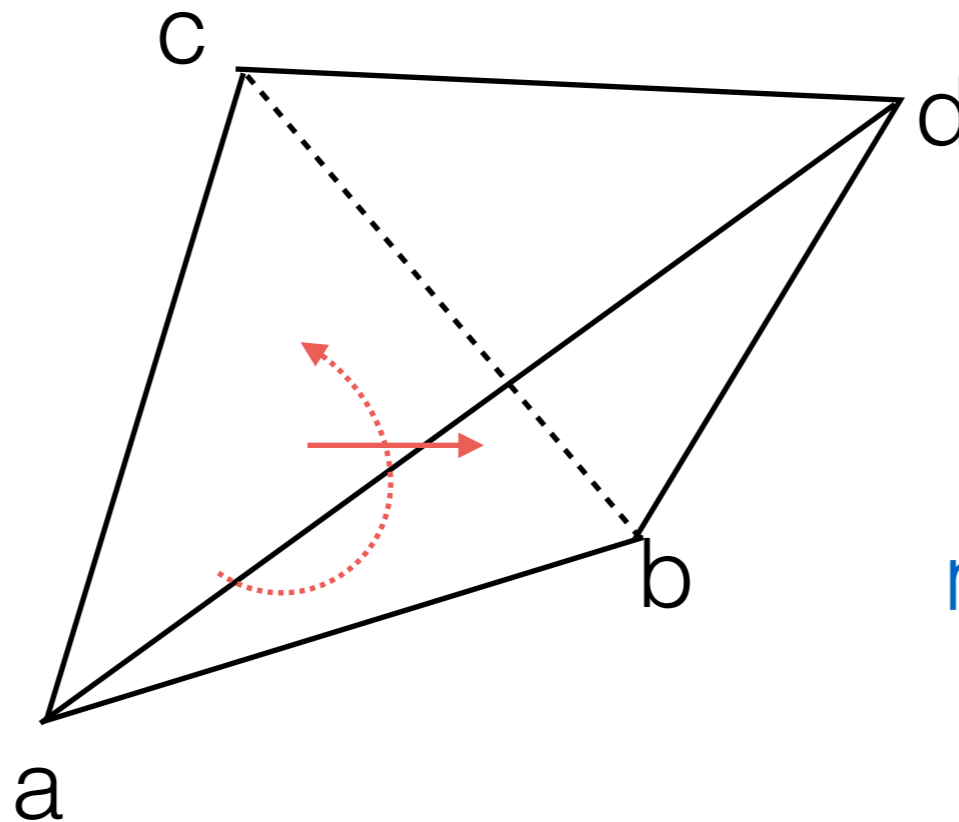
negative area  
(c right/in front of ab)

# 3D

$$6 \text{ signedVolume}(a,b,c,d) = \det$$

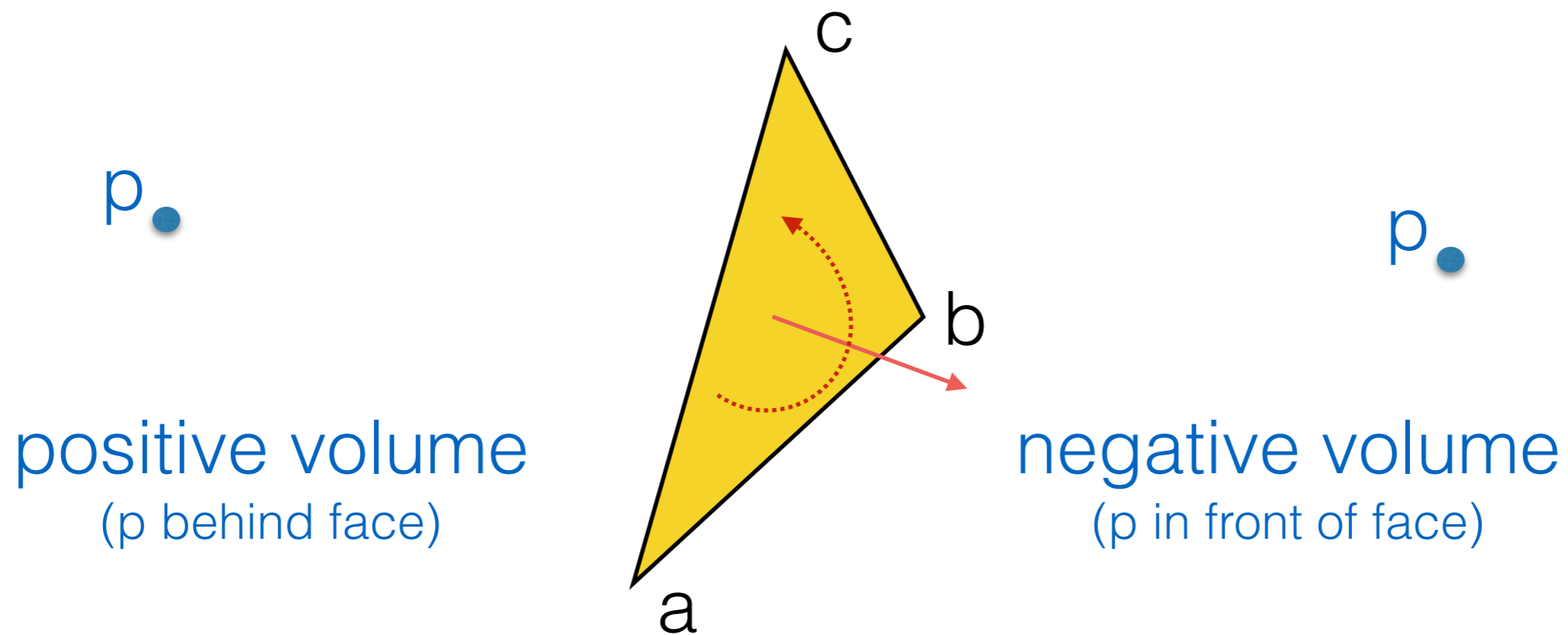
|     |     |     |   |
|-----|-----|-----|---|
| a.x | a.y | a.z | 1 |
| b.x | b.y | b.z | 1 |
| c.x | c.y | c.z | 1 |
| d.x | d.y | d.z | 1 |

positive volume  
(p behind face)



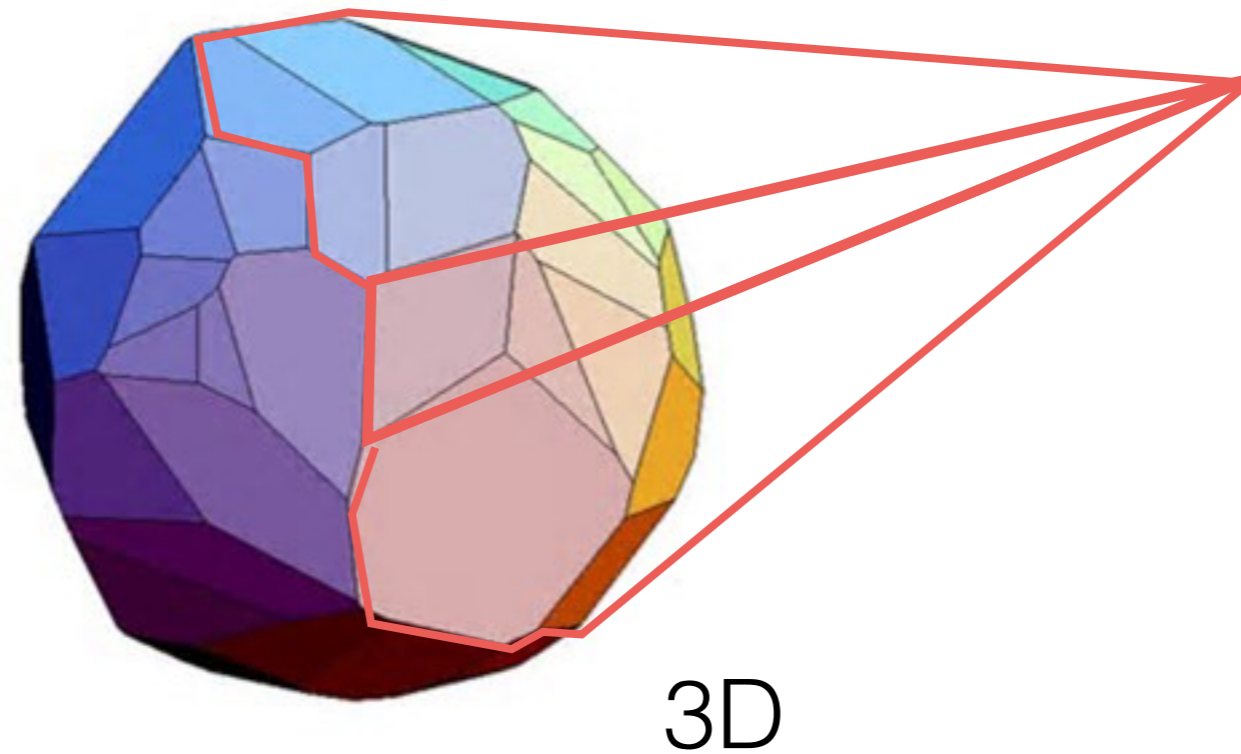
negative volume  
(d in front of face)

- Assume all faces oriented counterclockwise so that their normals determined by the right-hand rule point towards the **outside** of P.



`is_visible(a,b,c,p): return signedVolume(a,b,c,p) < 0`

# Incremental 3d hull



The visible faces are precisely those that need to be discarded

The edges on the boundary of the visible region are the basis of the cone

# Incremental 3d hull

- Analysis:
  - (Like in 2D) We can start at the previous vertex, find its neighboring faces, determine if they are visible, and continue. For each face that we determine to be visible, that face will be deleted.
  - In 2D: a vertex on the hull is connected to precisely 2 edges. If the vertex is deleted later, deleting the edges can be “charged” to the vertex
  - IN 3D: All faces  $(e, p)$  added at step  $i$  are now connected to vertex  $p$ . The number of faces incident to a vertex  $p$  is not constant and can be  $O(n)$ . Some or all of these faces may be deleted later.
  - Overall in 3D running time adds up to  $O(n^2)$

3D hull via divide & conquer

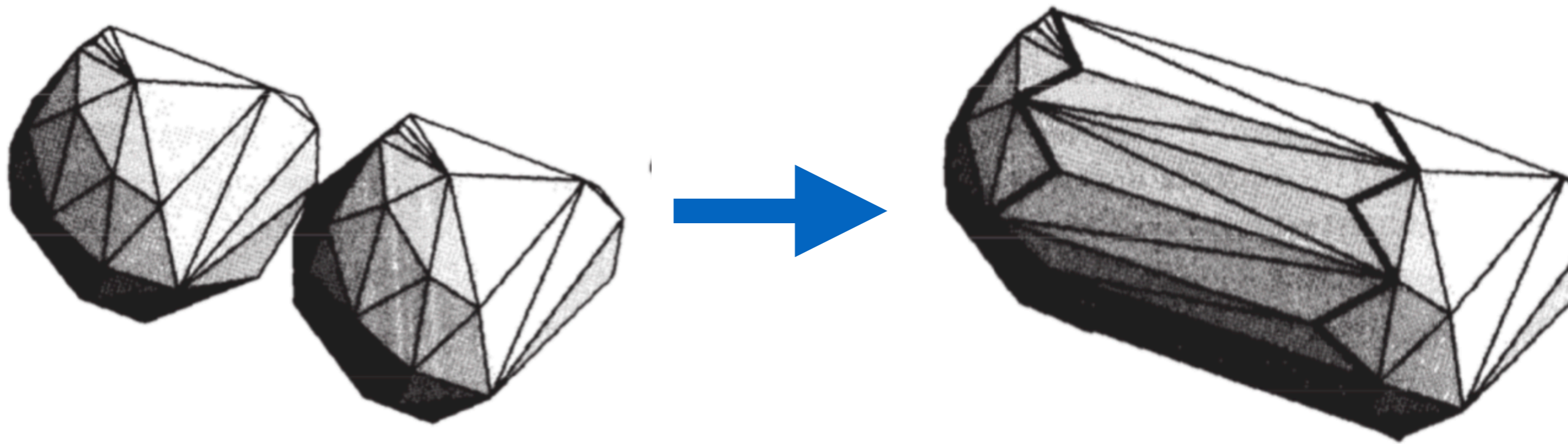


## 3d hull via divide & conquer

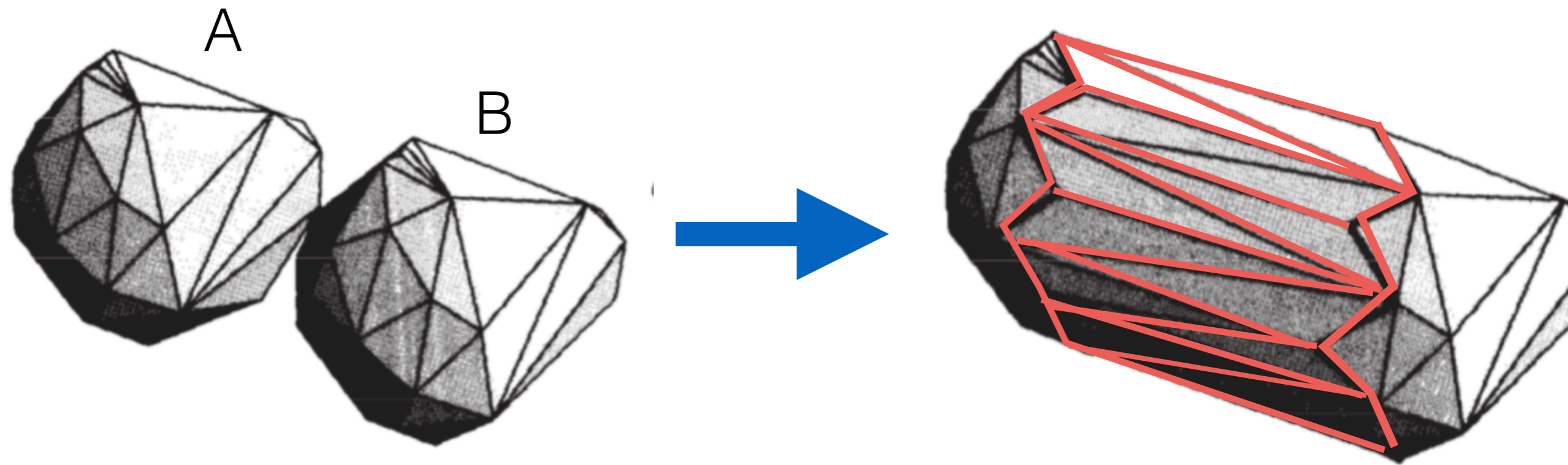
- divide points in two halves  $P_1$  and  $P_2$
- recursively find  $CH(P_1)$  and  $CH(P_2)$
- merge

- We'll see that merging can be done in  $O(n)$  time  $\implies O(n \lg n)$  algorithm

# Merging

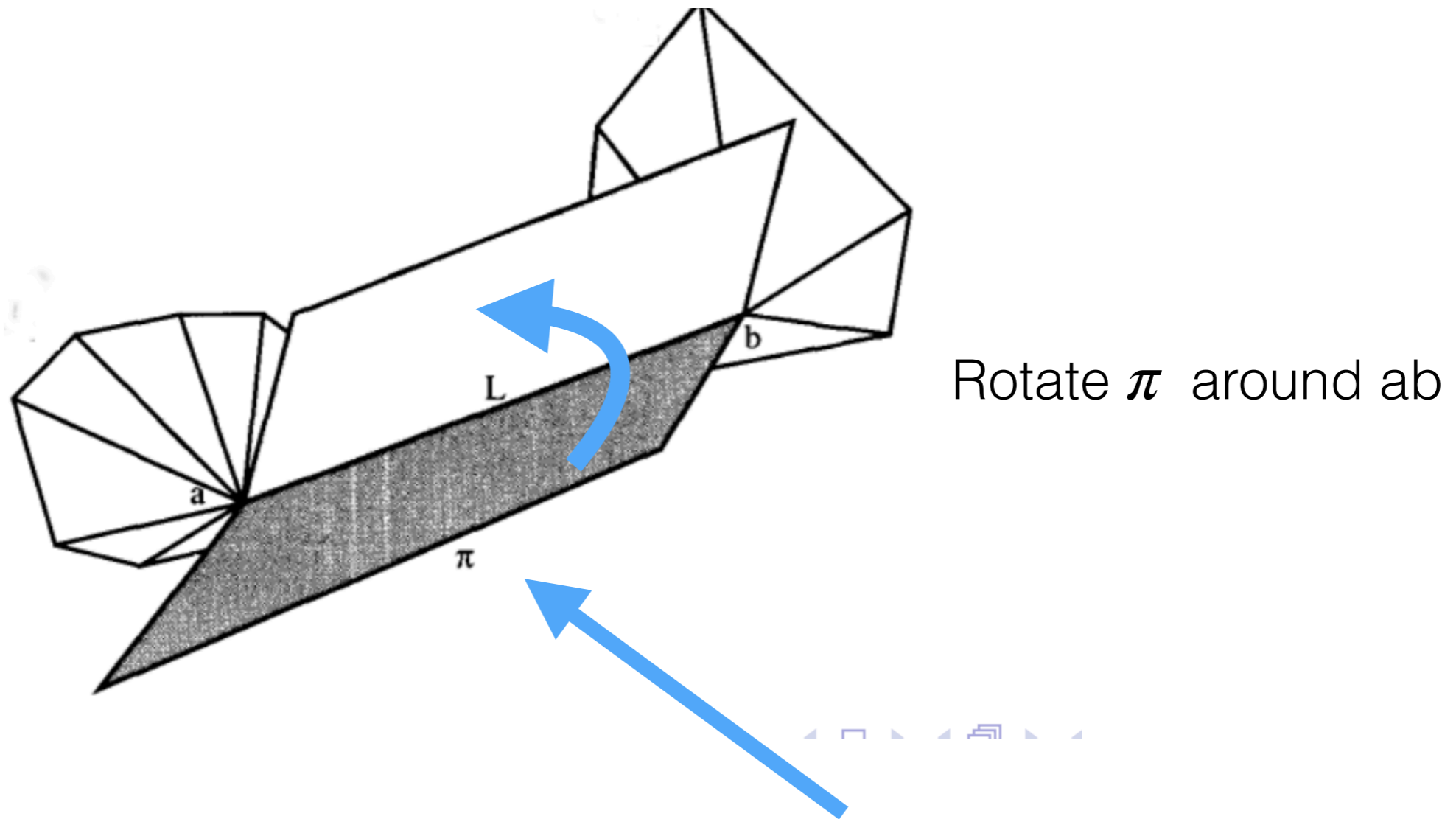


# Merging



The merged hull will add a “band” of faces between A and B

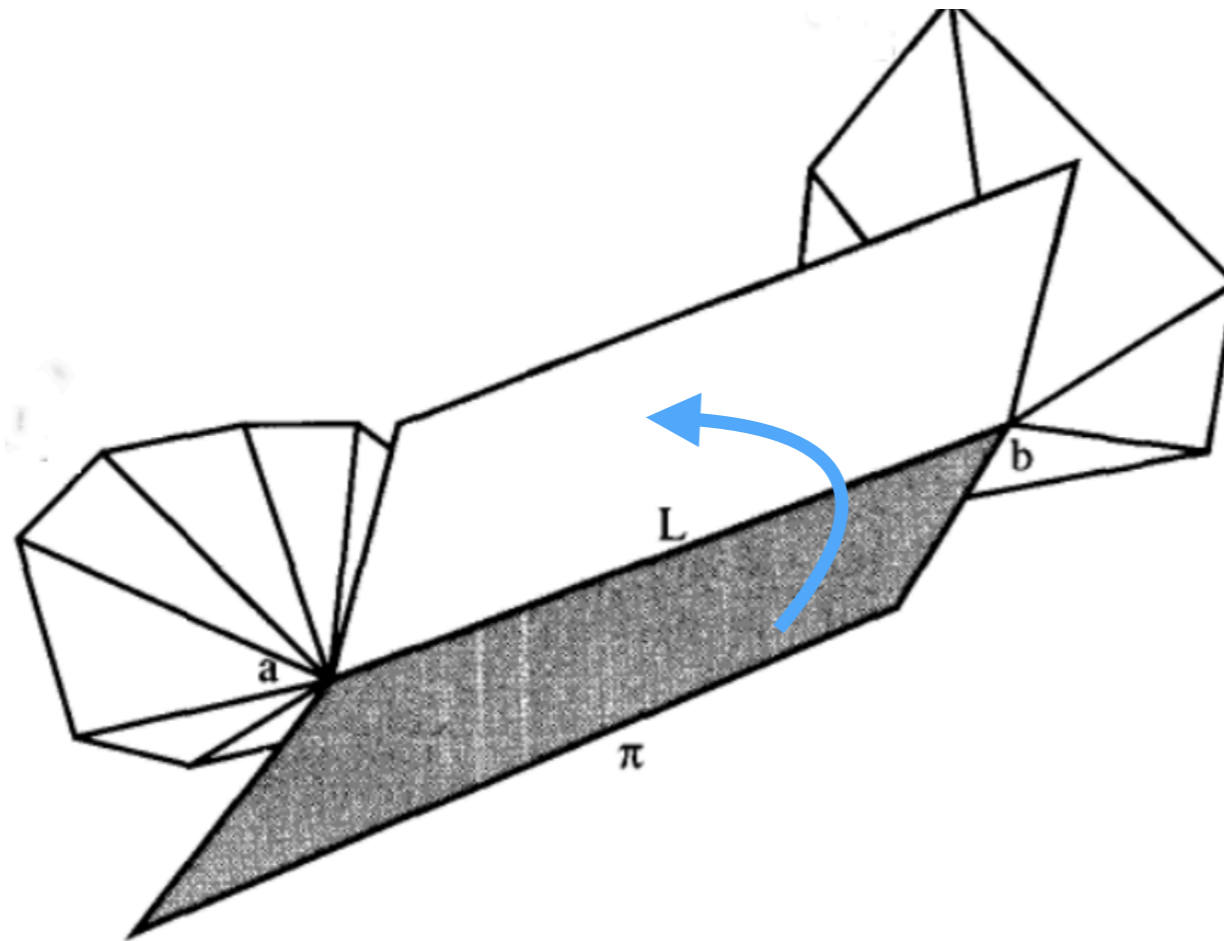
- Imagine rotating the plane around  $ab$ , until it touches the polytopes  $A$  and  $B$



Rotate  $\pi$  around  $ab$

Let  $\pi$  be a plane touching  $A$  in  $a$  and  $B$  in  $b$

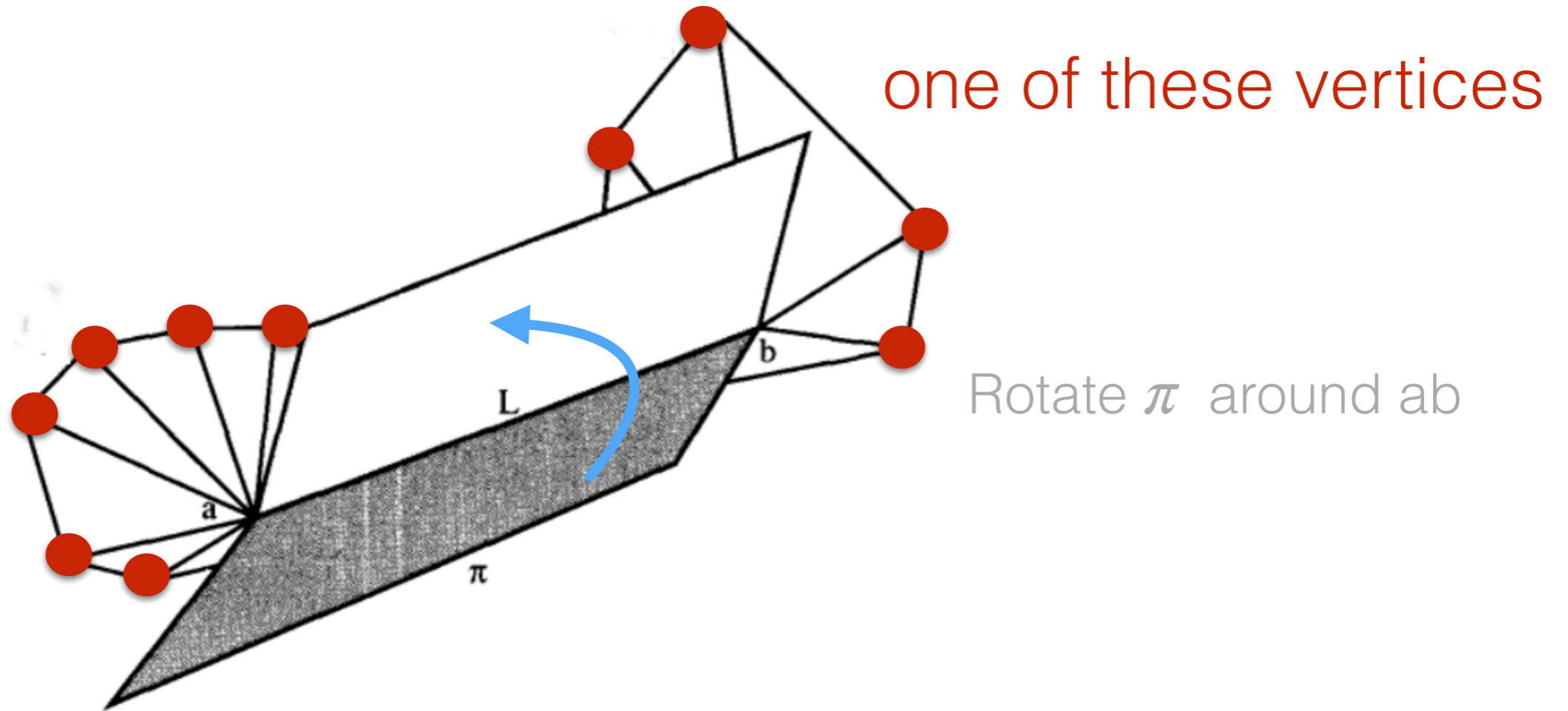
- Claim: When we rotate  $\pi$  around  $ab$ , the first vertex hit is a vertex  $c$  adjacent to  $a$  or  $b$  and vertex  $c$  has the smallest angle among all neighbors of  $a, b$



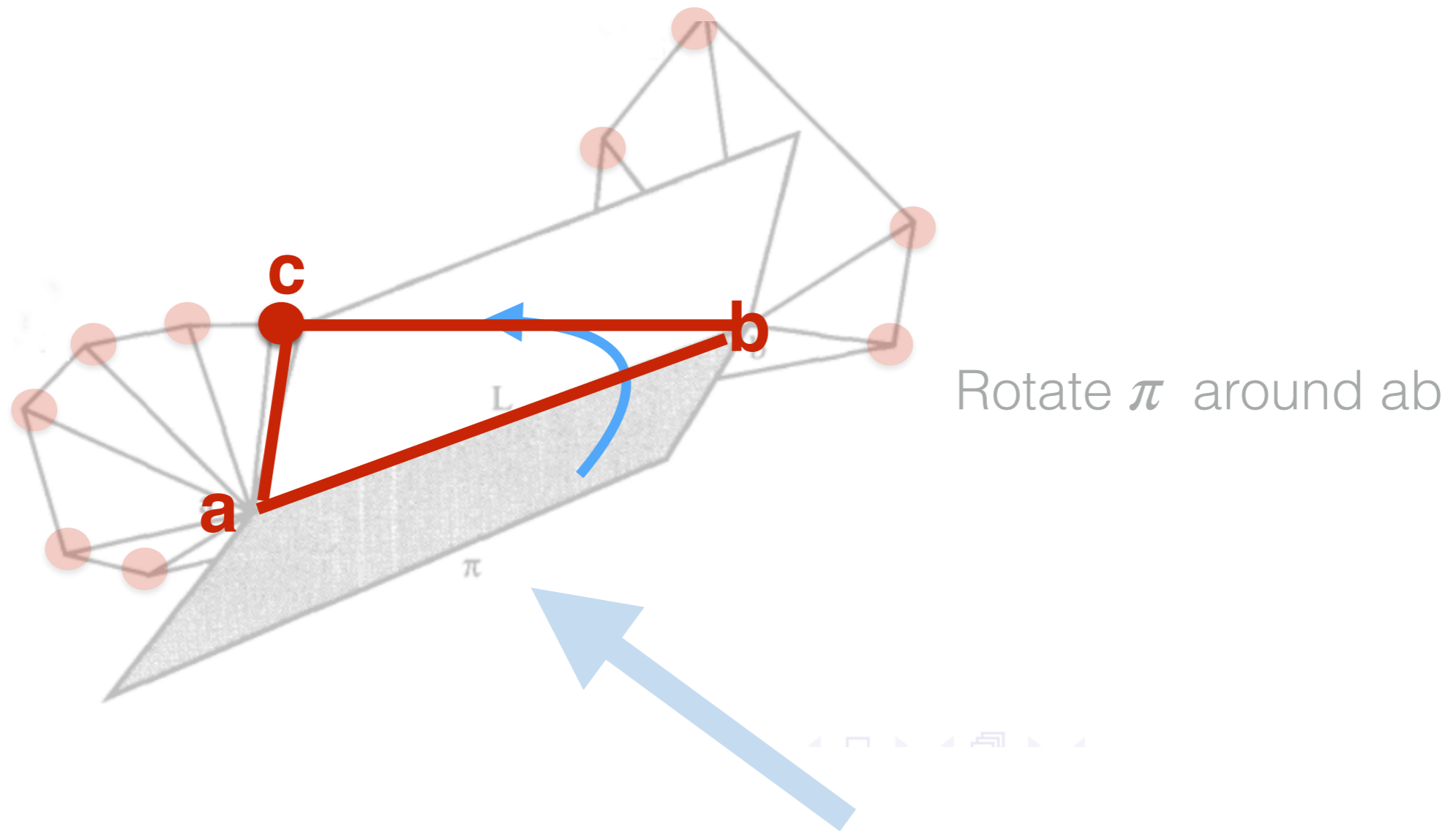
Rotate  $\pi$  around  $ab$



- Claim: When we rotate  $\pi$  around  $ab$ , the first vertex hit is a vertex  $c$  adjacent to  $a$  or  $b$  and vertex  $c$  has the smallest angle among all neighbors of  $a, b$



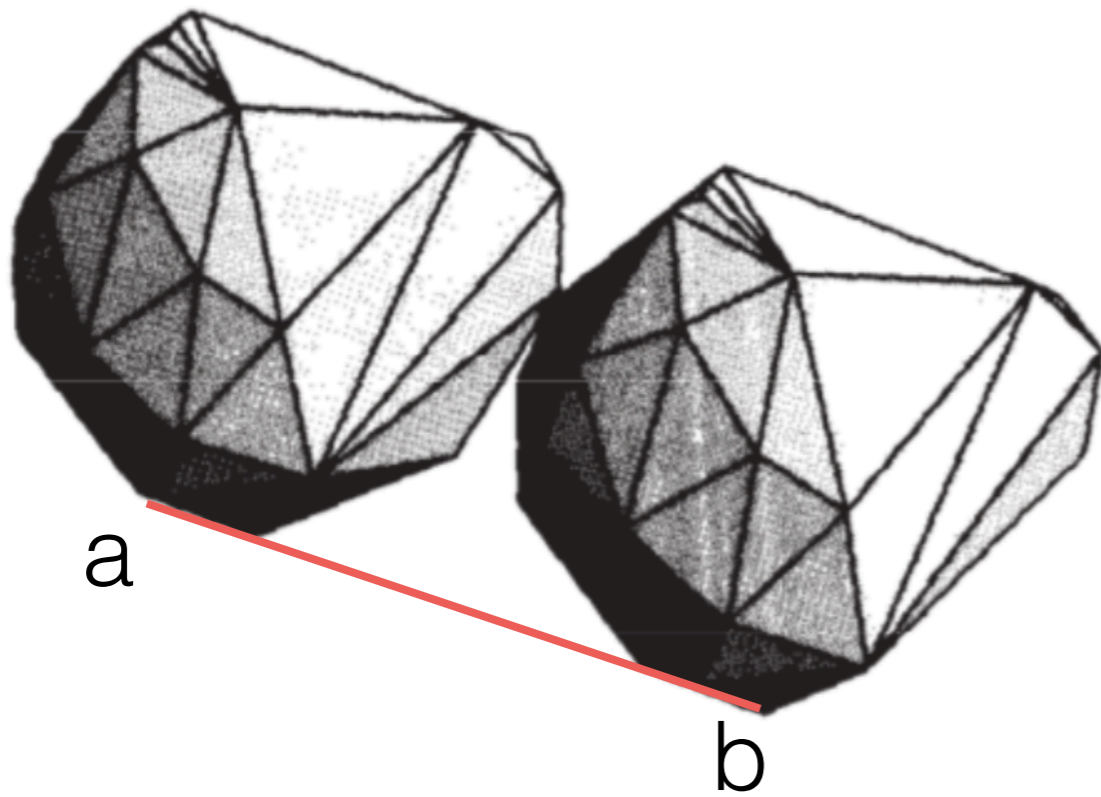
- Once  $\pi$  hits  $c$ , a triangular face of the merged hull has been found



Let  $\pi$  be a plane touching A in  $a$  and B in  $b$

# Merge

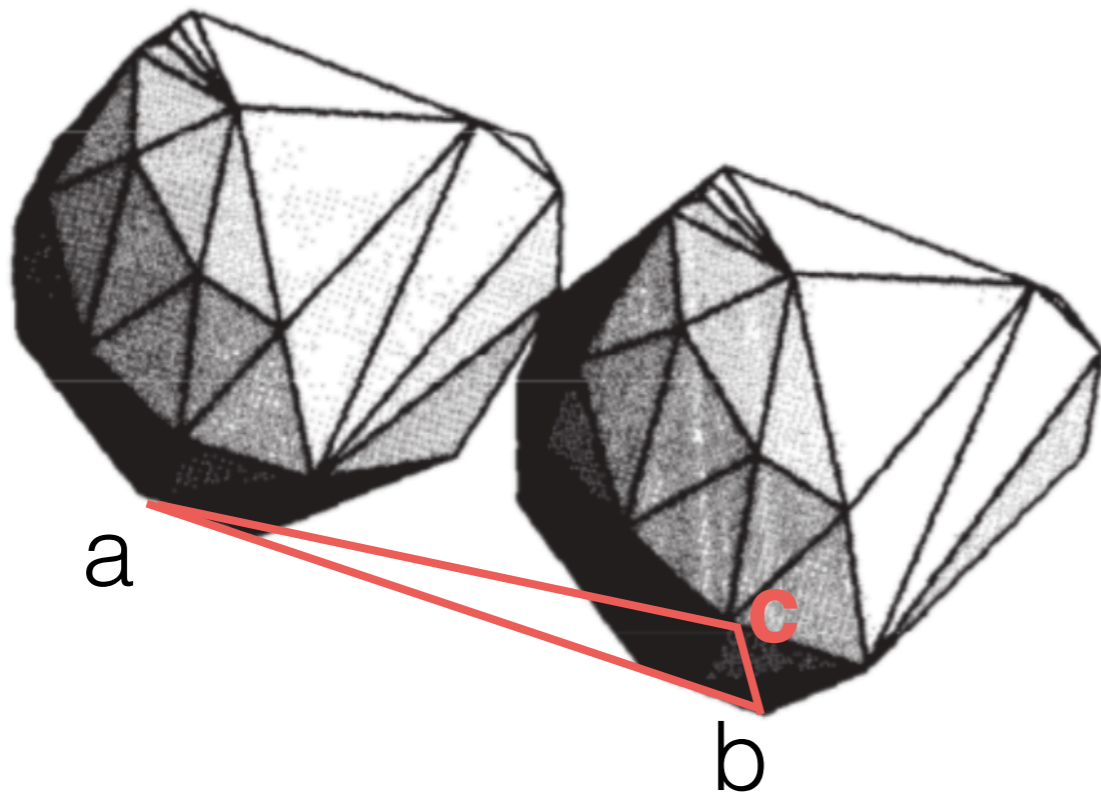
1. Find a common tangent ab





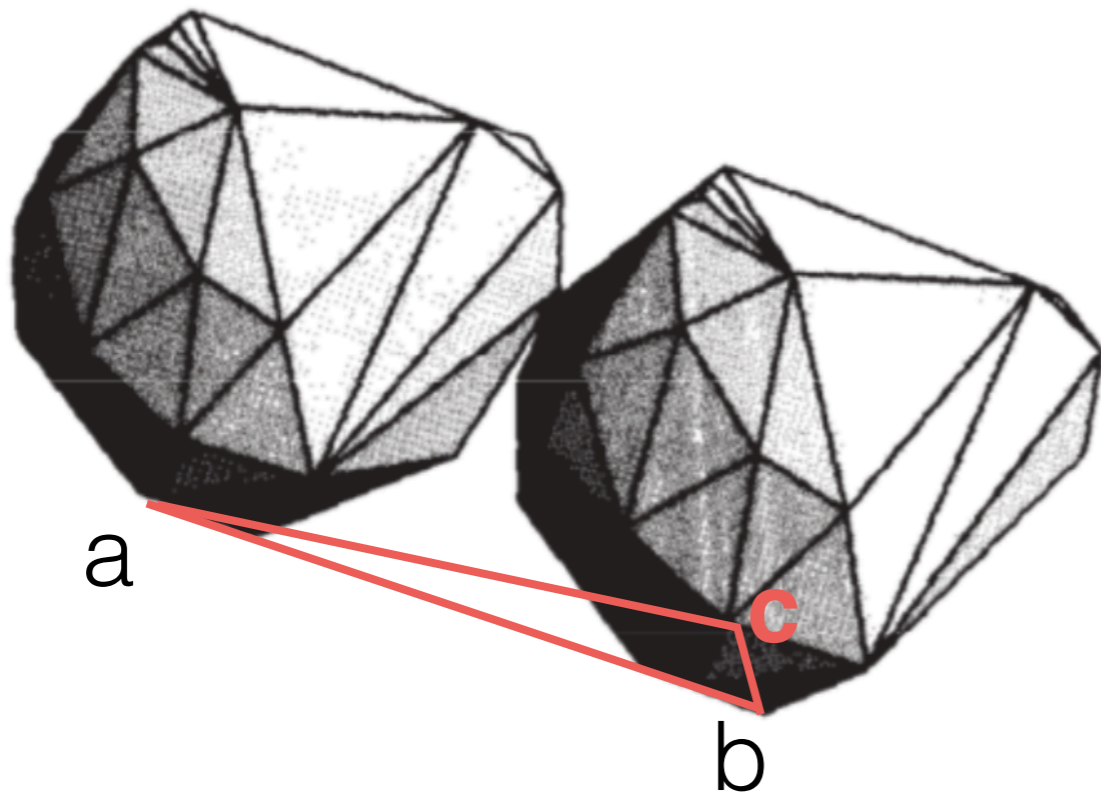
# Merge

1. Find a common tangent  $ab$
2. Consider all neighbor vertices of  $a, b$  and find the vertex with smallest angle (wrt the plane through  $ab$ ).



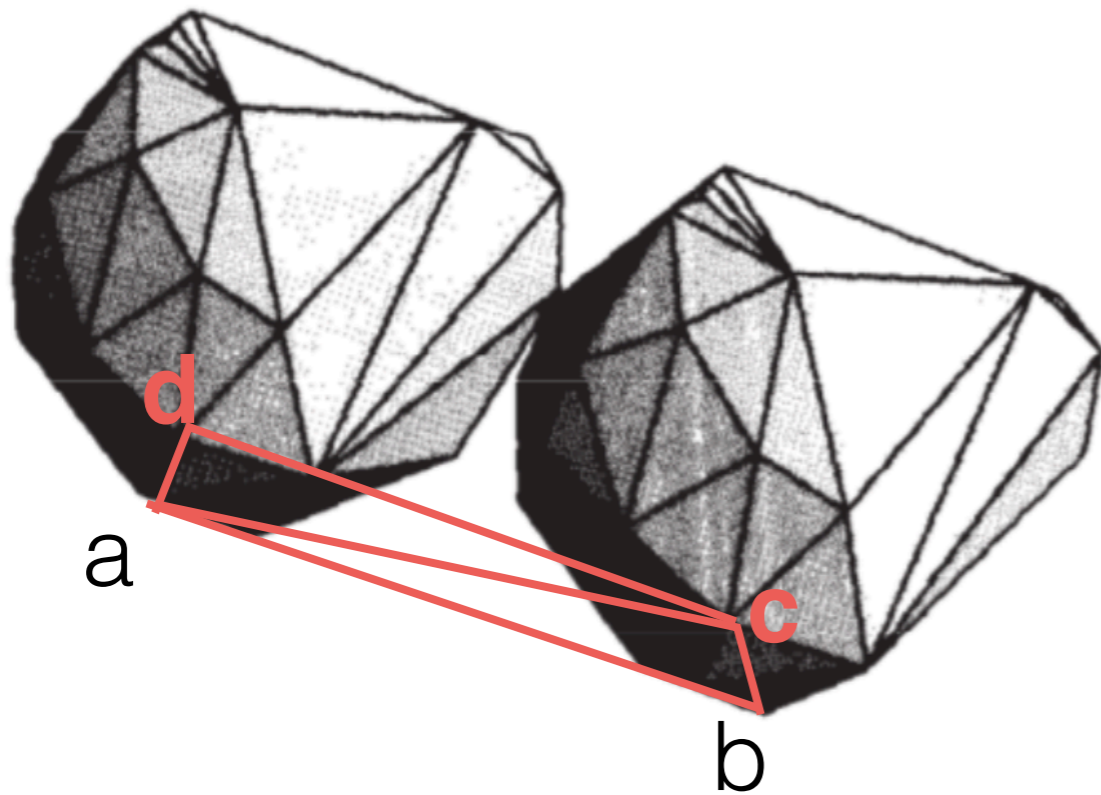
# Merge

1. Find a common tangent  $ab$
2. Consider all neighbor vertices of  $a, b$  and find the vertex with smallest angle (wrt the plane through  $ab$ ).
3. Repeat from edge  $ac$ .



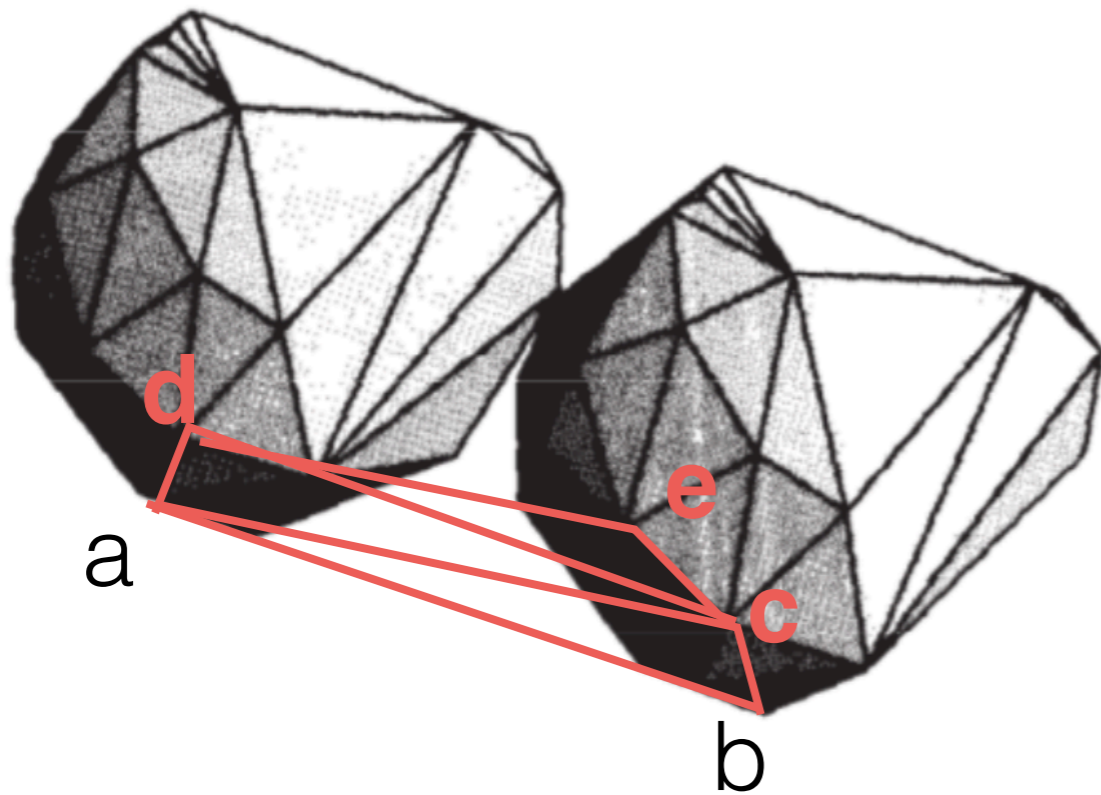
# Merge

1. Find a common tangent  $ab$
2. Consider all neighbor vertices of  $a, b$  and find the vertex with smallest angle (wrt the plane through  $ab$ ).
3. Repeat from edge  $ac$ .



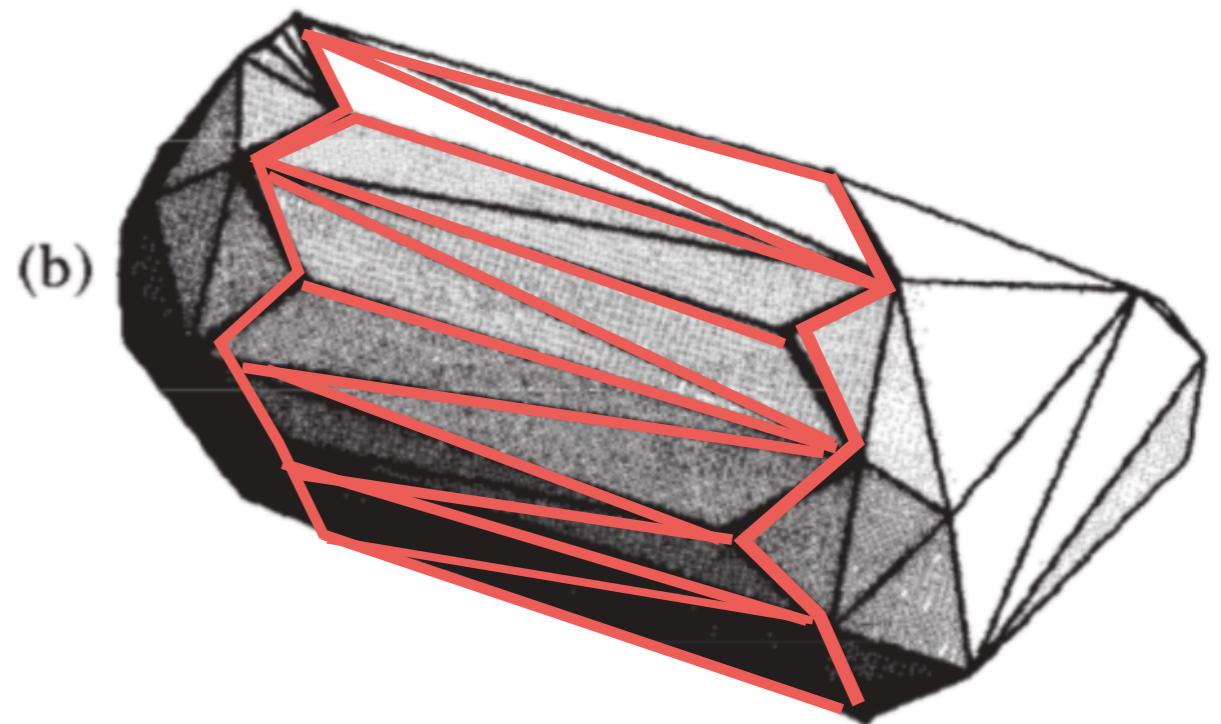
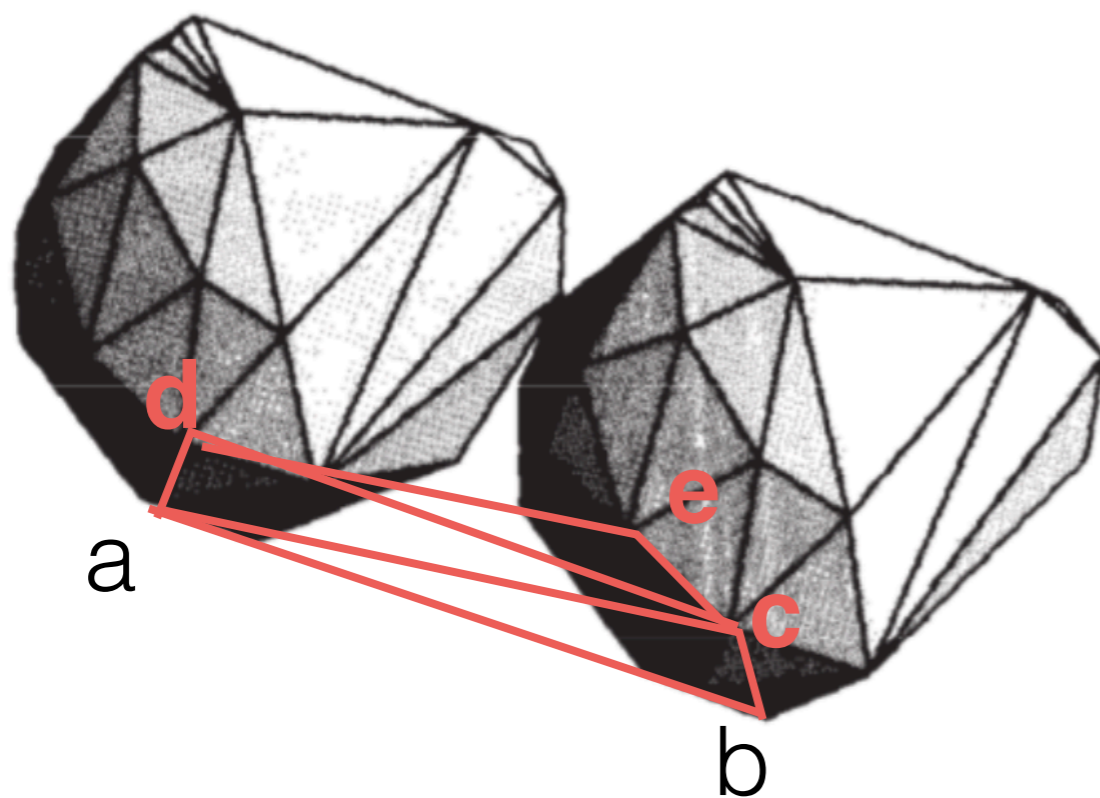
# Merge

1. Find a common tangent  $ab$
2. Consider all neighbor vertices of  $a,b$  and find the vertex with smallest angle (wrt the plane through  $ab$ ).
3. Repeat from edge  $ac$ .



# Merge

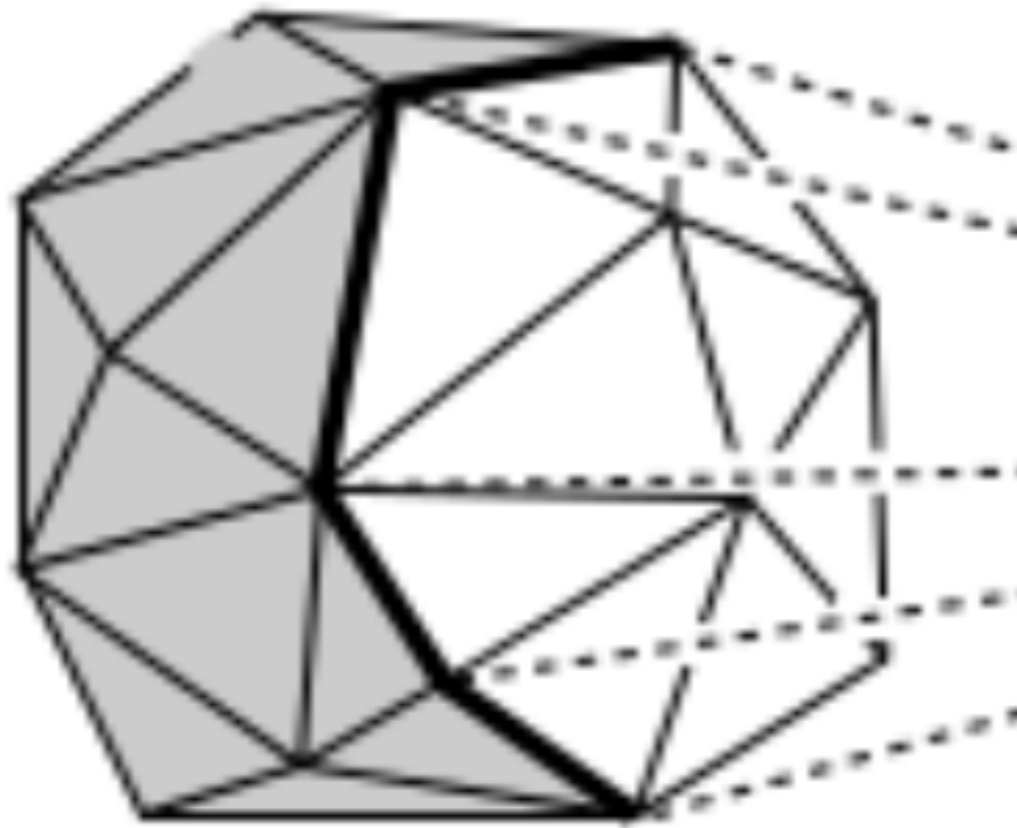
1. Find a common tangent  $ab$
2. Consider all neighbor vertices of  $a,b$  and find the vertex with smallest angle (wrt the plane through  $ab$ ).
3. Repeat from edge  $ac$ .



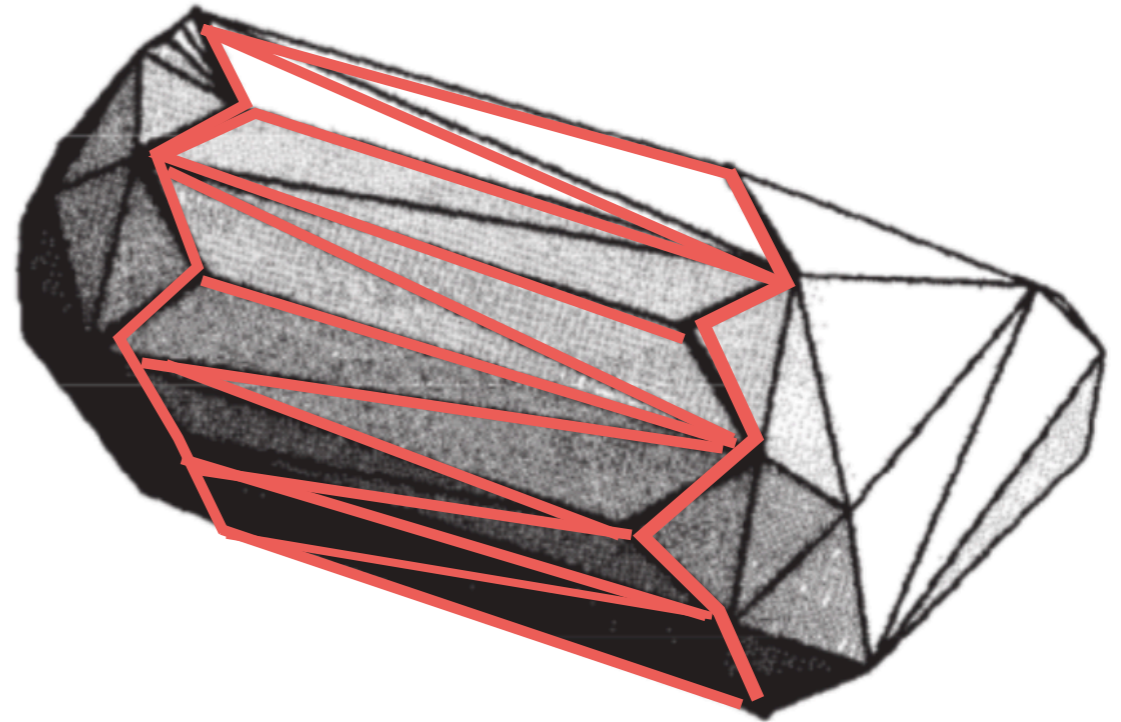
# Merge

1. Find a common tangent  $ab$
2. Consider all neighbor vertices of  $a,b$  and find the vertex with smallest angle (wrt the plane through  $ab$ ).
3. Repeat from edge  $ac$ .
4. Delete hidden faces

# The hidden faces



(b)



- Find the edges on the “boundary” of the cylinder
- BFS or DFS faces “towards” the cylinder
- All faces reached are inside

3d hull: summary



## 3D hull summary

| 2D                 |                      | 3D                    |
|--------------------|----------------------|-----------------------|
| Naive              | $O(n^3)$             | $O(n^4)$              |
| Gift wrapping      | $O(nh)$              | $O(n \times F)$       |
| Graham scan        | $O(n \lg n)$         | does not extend to 3D |
| Quickhull          | $O(n \lg n), O(n^2)$ |                       |
| Incremental        | $O(n \lg n)$         | $O(n^2)$              |
| Divide-and-conquer | $O(n \lg n)$         | $O(n \lg n)$          |

## 3d hull: Summary

- Of all algorithms that extend to 3D, divide-and-conquer is the only one that achieves optimal  $O(n \lg n)$
- But, difficult to implement
- The slower algorithms (quickhull, incremental) preferred in practice

# Convex hull in higher dimensions

- Surprisingly, have many applications !
  - e.g. computing triangulations for points in 3D can be constructed from convex hulls in 4D
- Size of d-hull:  $\Omega(n^{\lfloor d/2 \rfloor})$
- In 4D: size is  $\Omega(n^2)$ 
  - $O(n \lg n)$  algorithm not possible
  - $O(n^2)$  algorithms known



# Euler's formula

- Euler noticed a remarkable regularity in the number of vertices, edges and faces of a polyhedron (w/o holes).
- **Euler's formula:  $V - E + F = 2$**
- Proof idea:
  - flatten the polygon to a plane
  - prove the formula for a tree
  - prove for any planar graph by induction on E