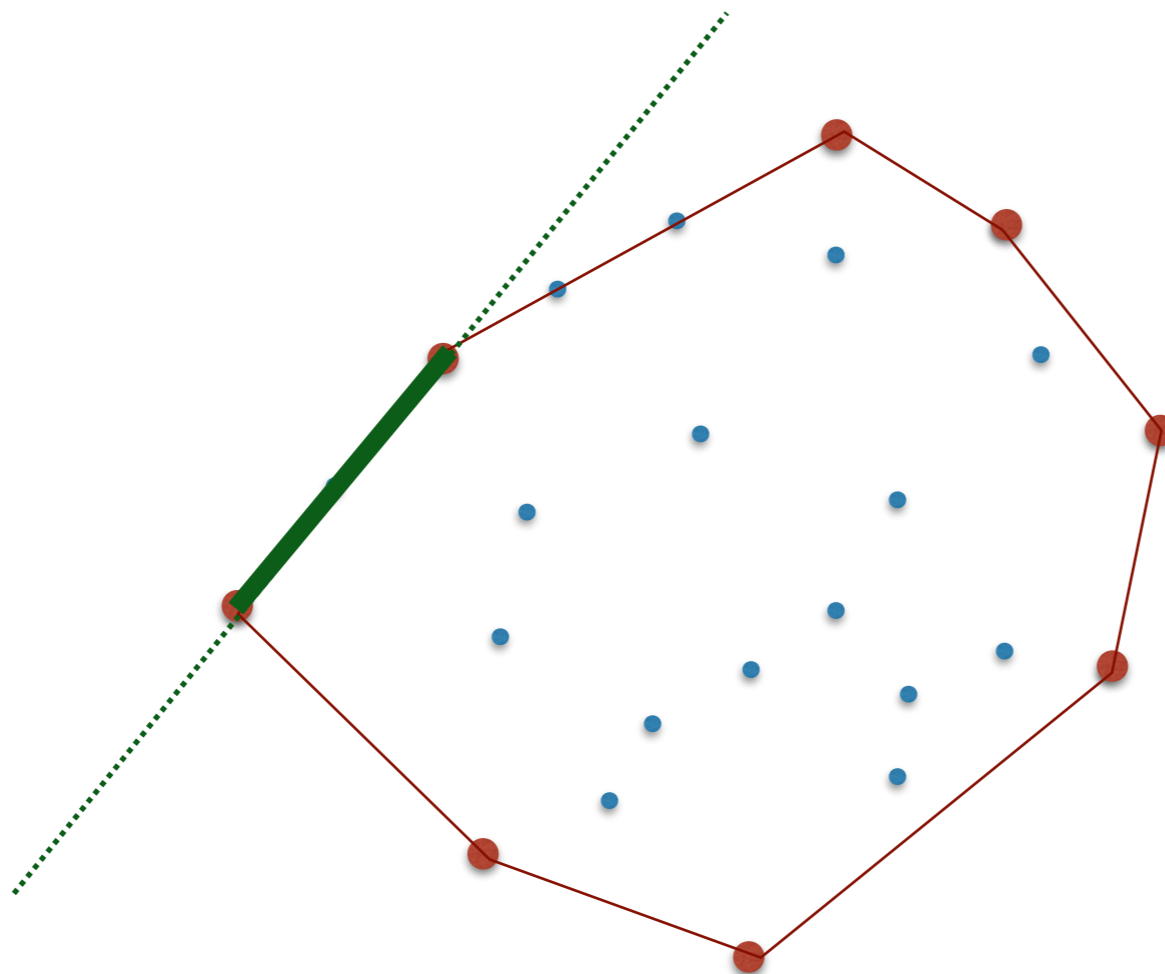


Planar convex hulls (II)

Computational Geometry [csci 3250]
Laura Toma
Bowdoin College

Properties of CH

- All edges of CH are extreme and all extreme edges of P are on the CH
- All points of CH are extreme and all extreme points of P are on the CH
- All internal angles are < 180
- Walking counterclockwise \rightarrow left turns
- Points on CH are sorted in radial order wrt a point inside

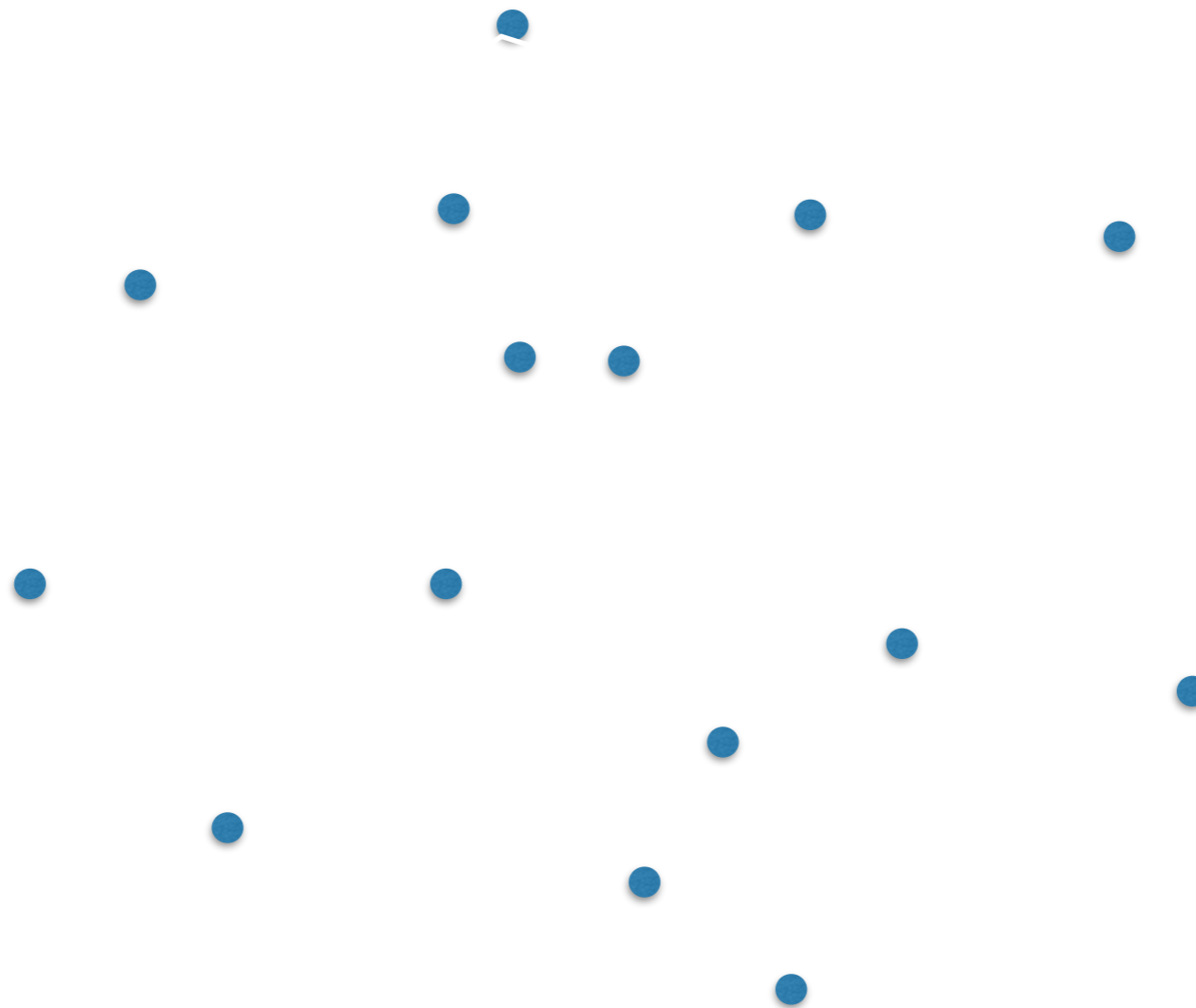


Outline

- Last time:
 - Brute force
 - Gift wrapping
 - Quickhull
 - Graham scan
- Next
 - Andrew's monotone chain algorithm
 - Exercises
 - Lower bound
 - More algorithms
 - Incremental CH
 - Divide-and-conquer CH

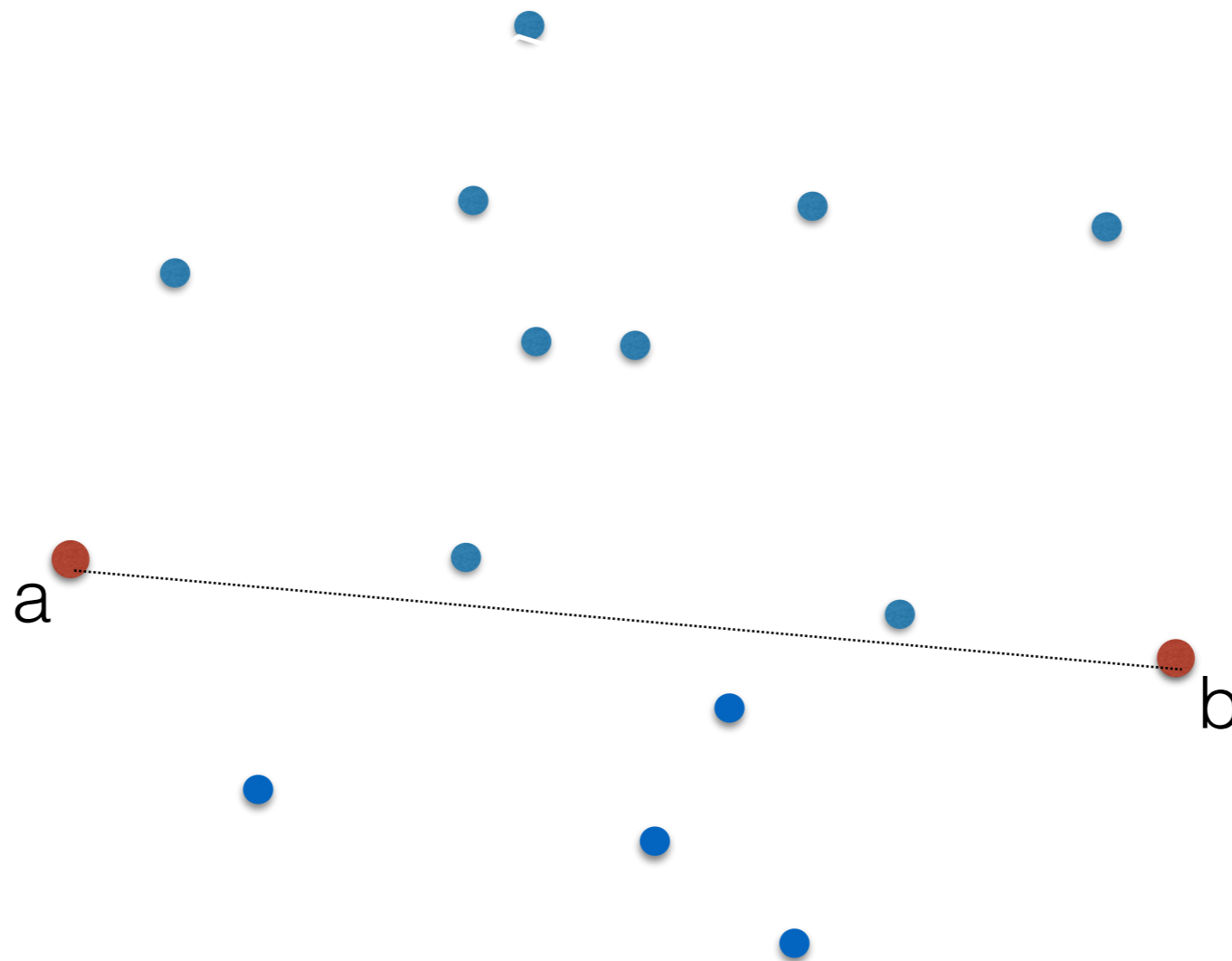
Andrew's Monotone Chain Algorithm (1979)

- Alternative to Graham's scan
- Idea: Find upper hull and lower hulls separately



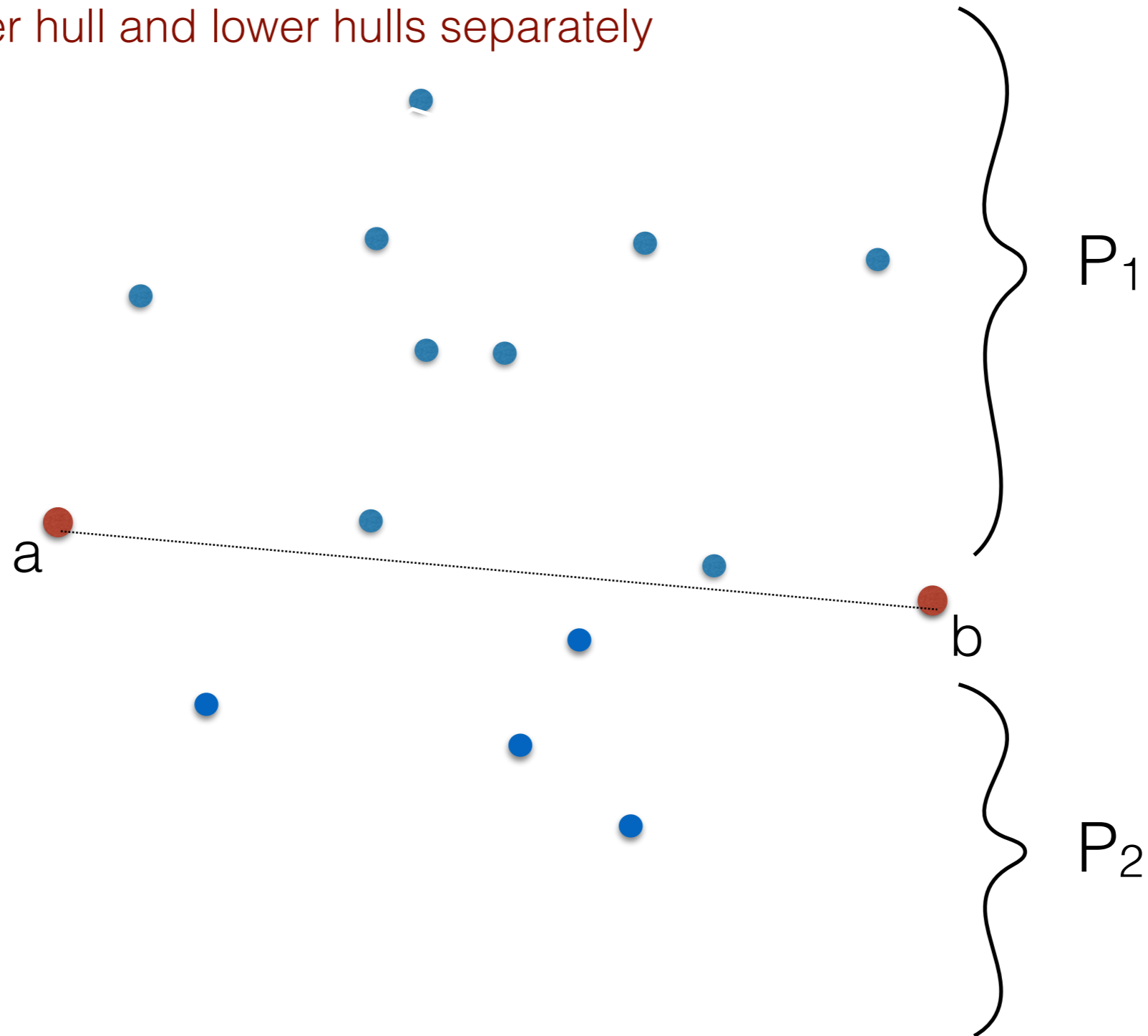
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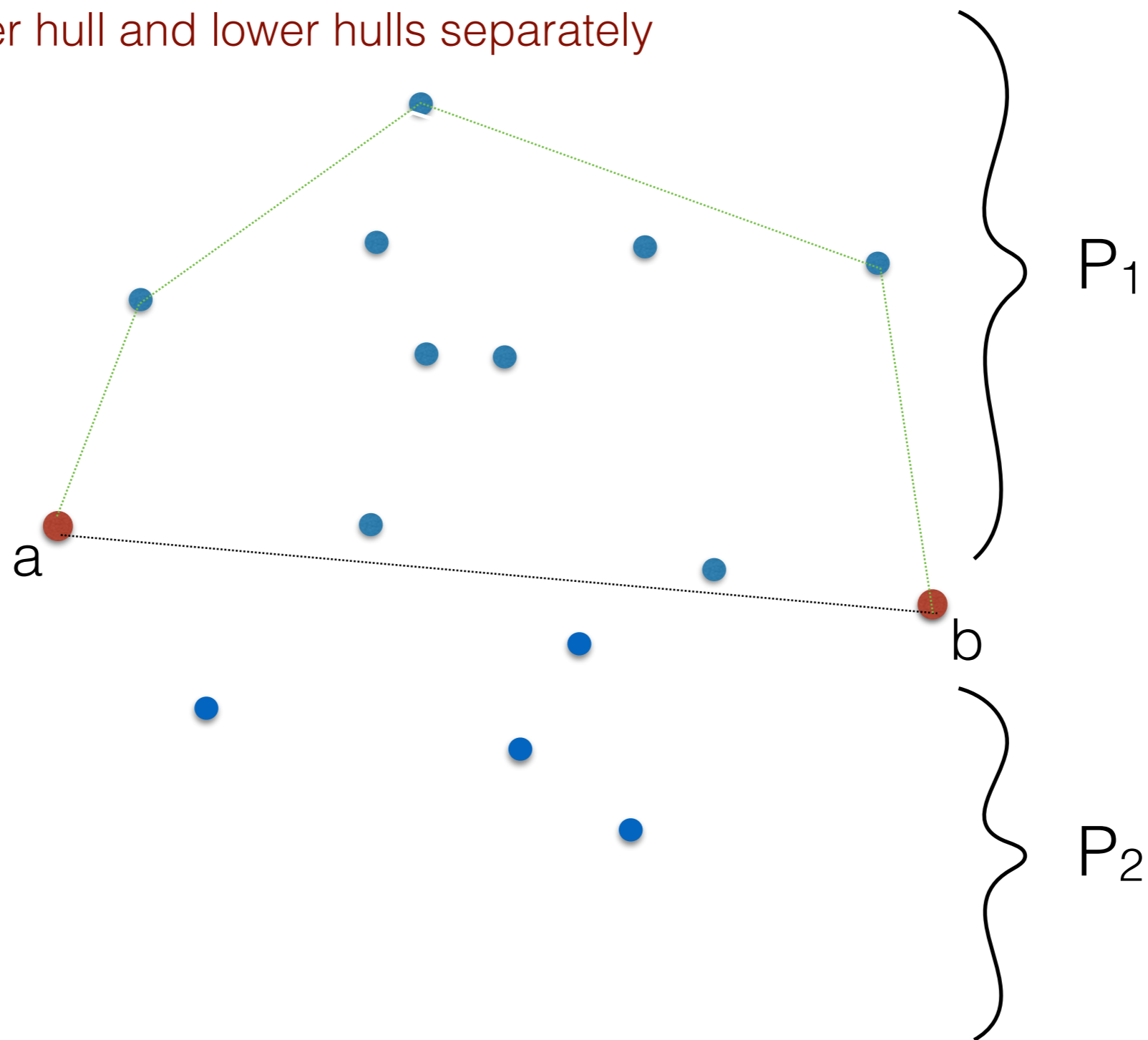
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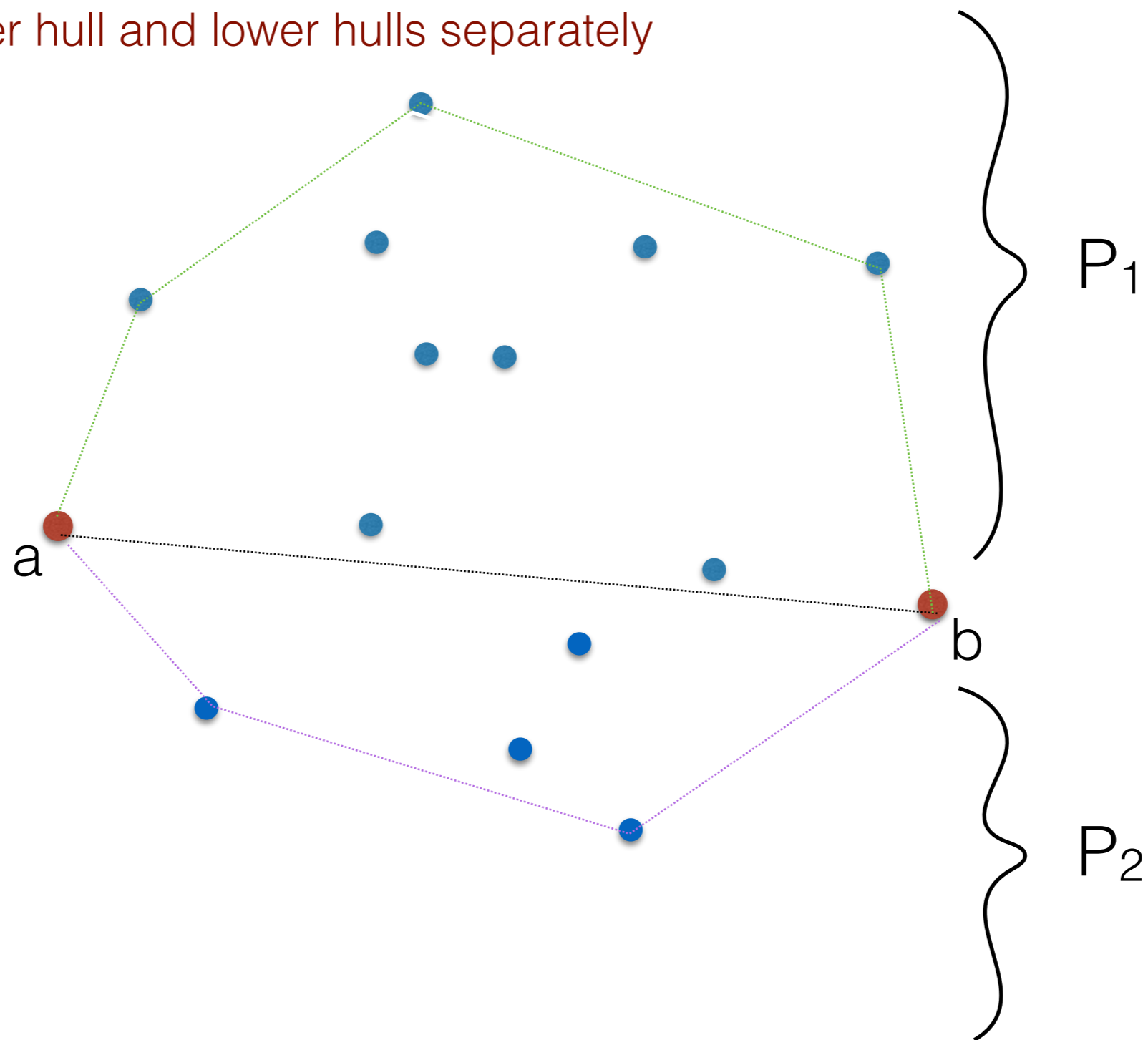
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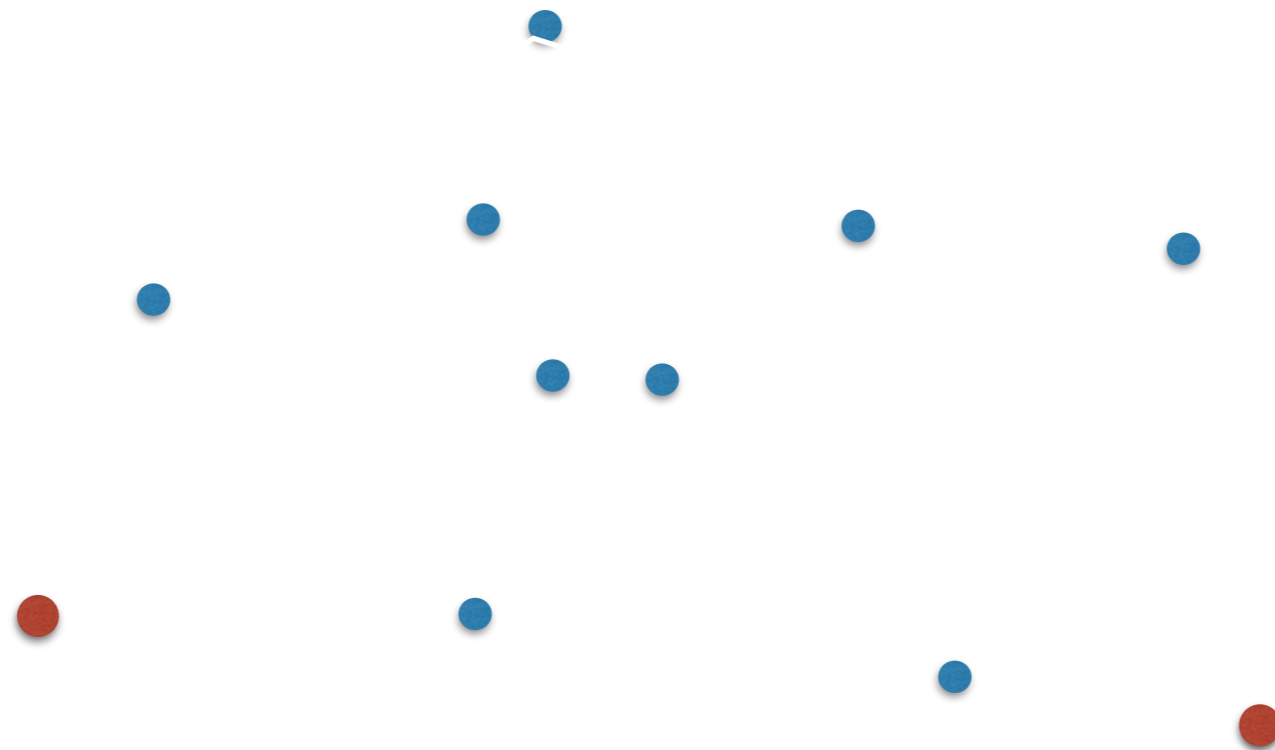
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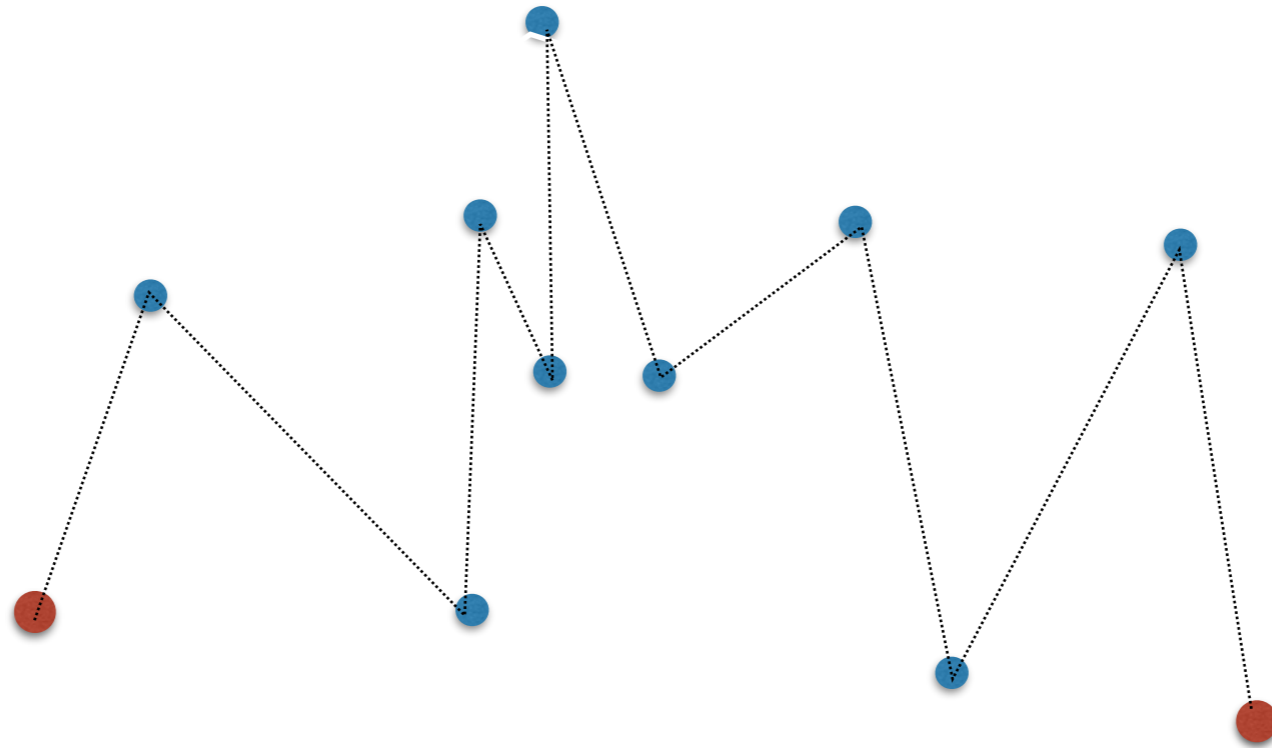
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- Idea: Traverse points in (x,y) order (i.e. lexicographically)



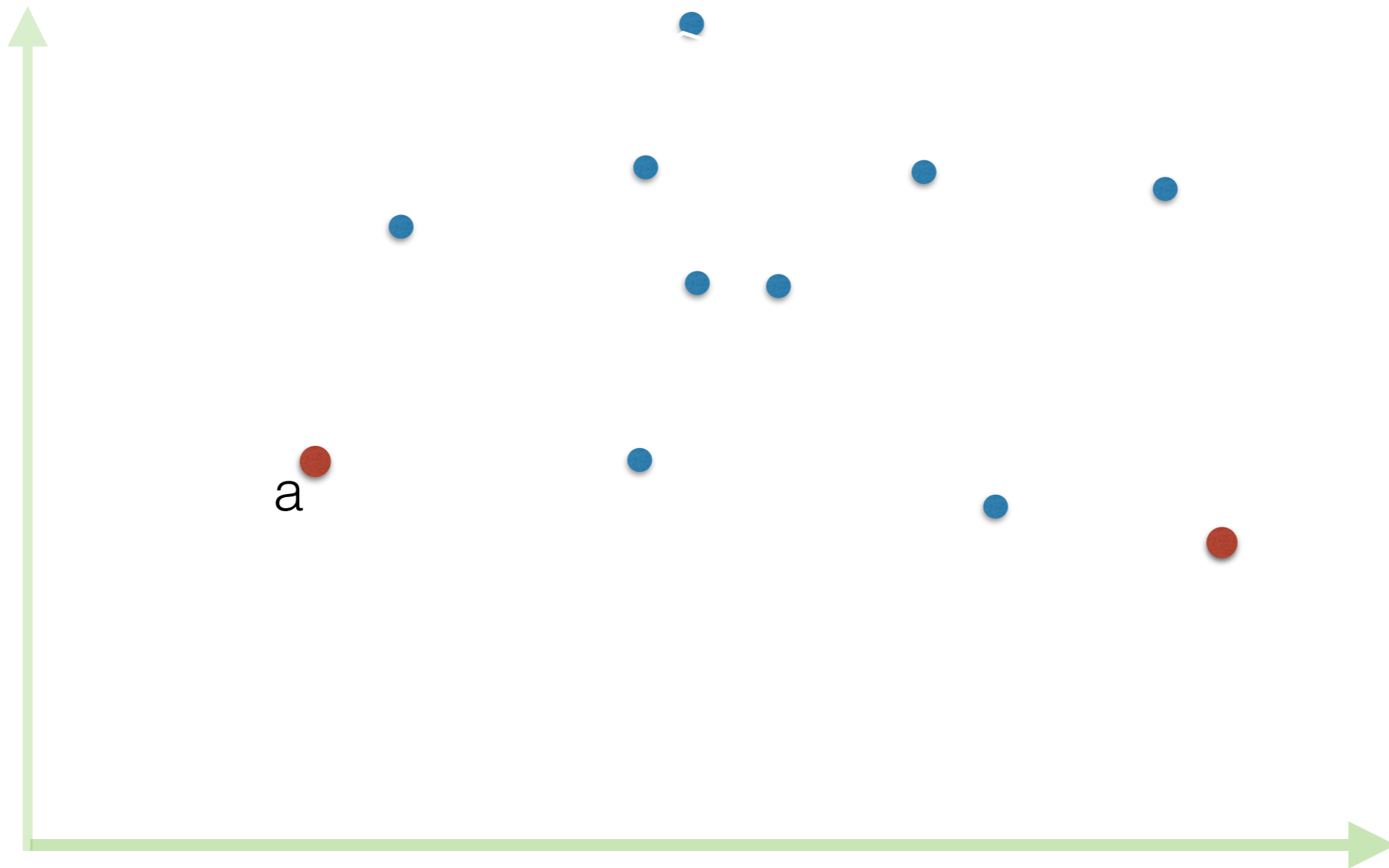
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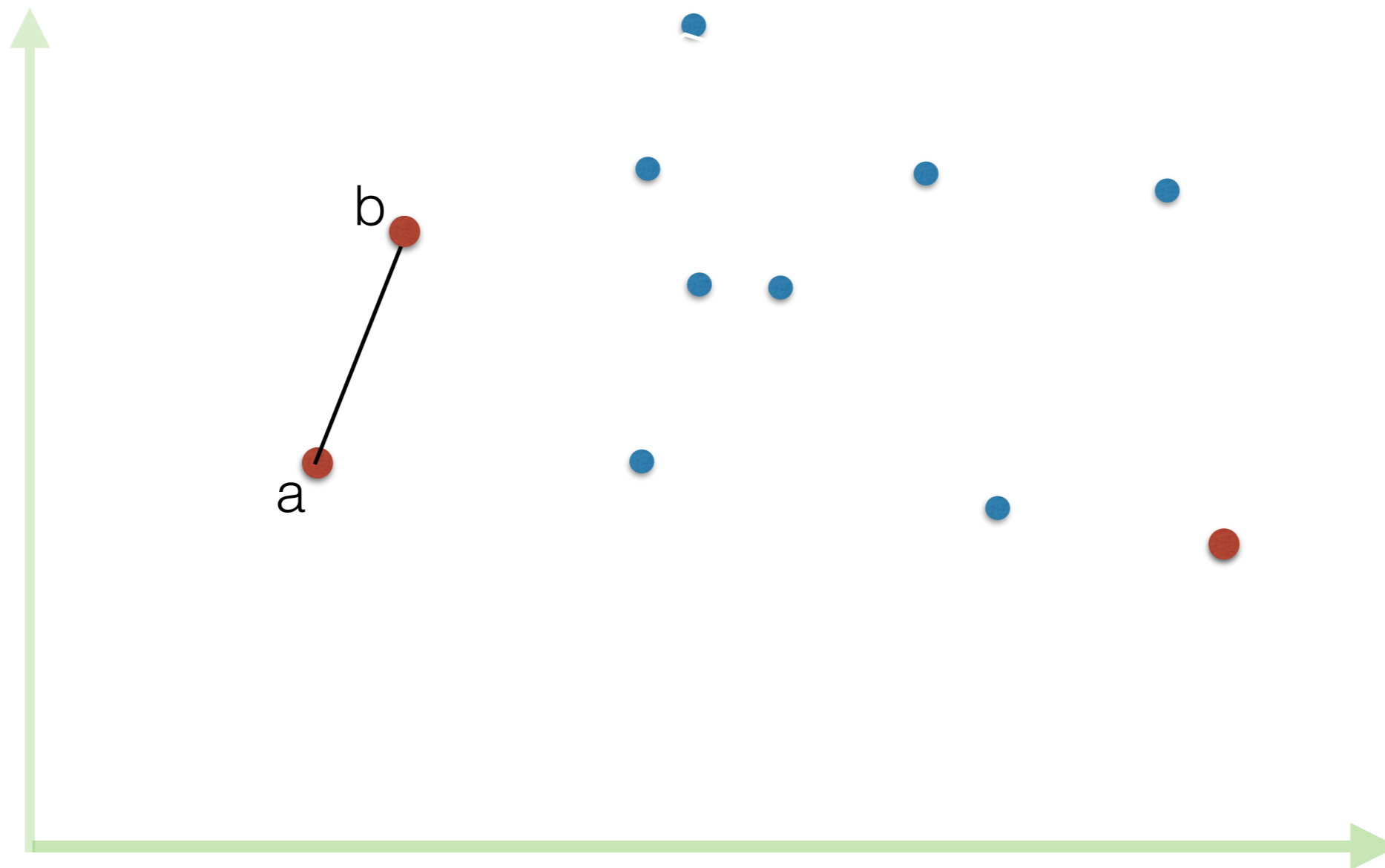
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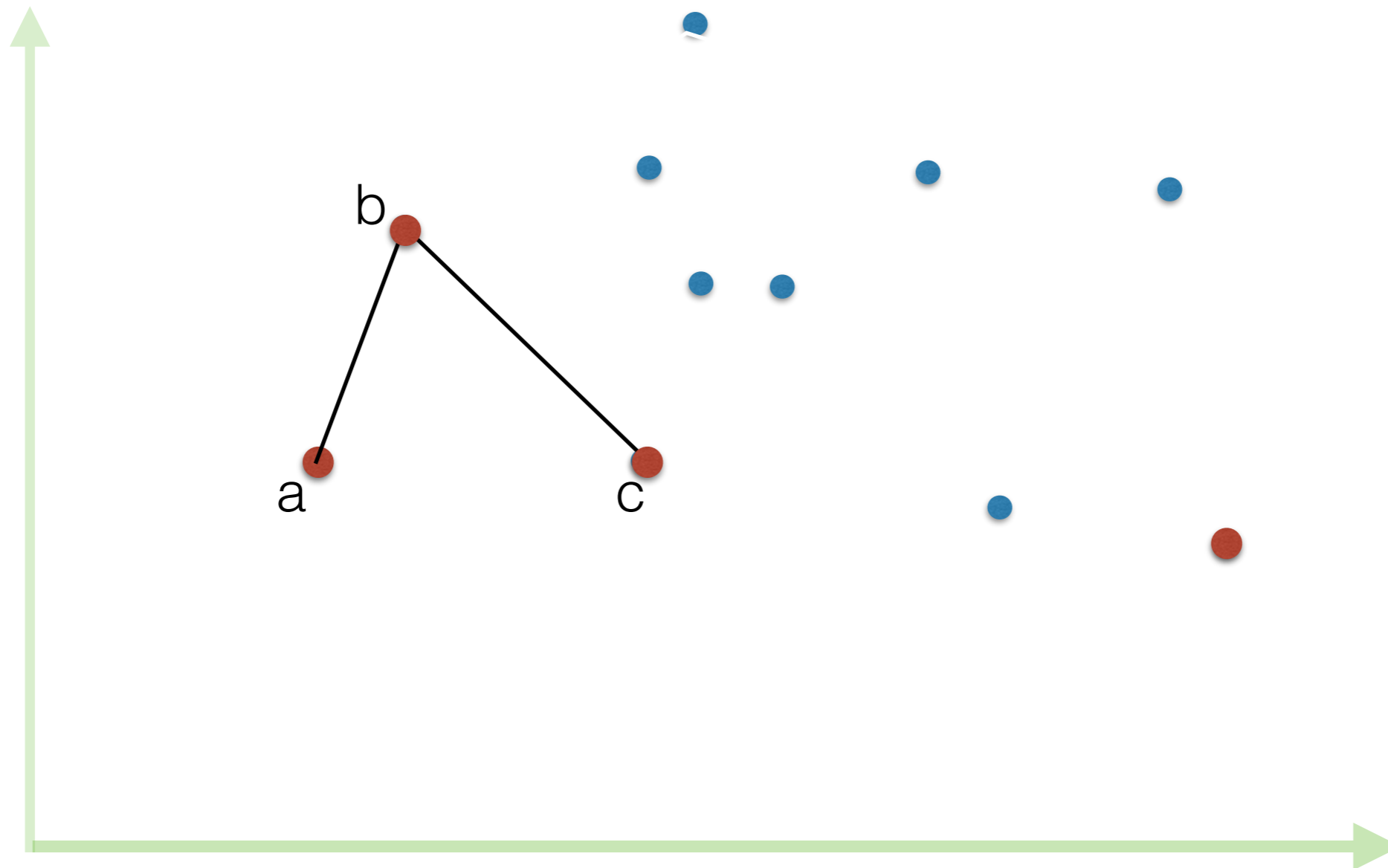
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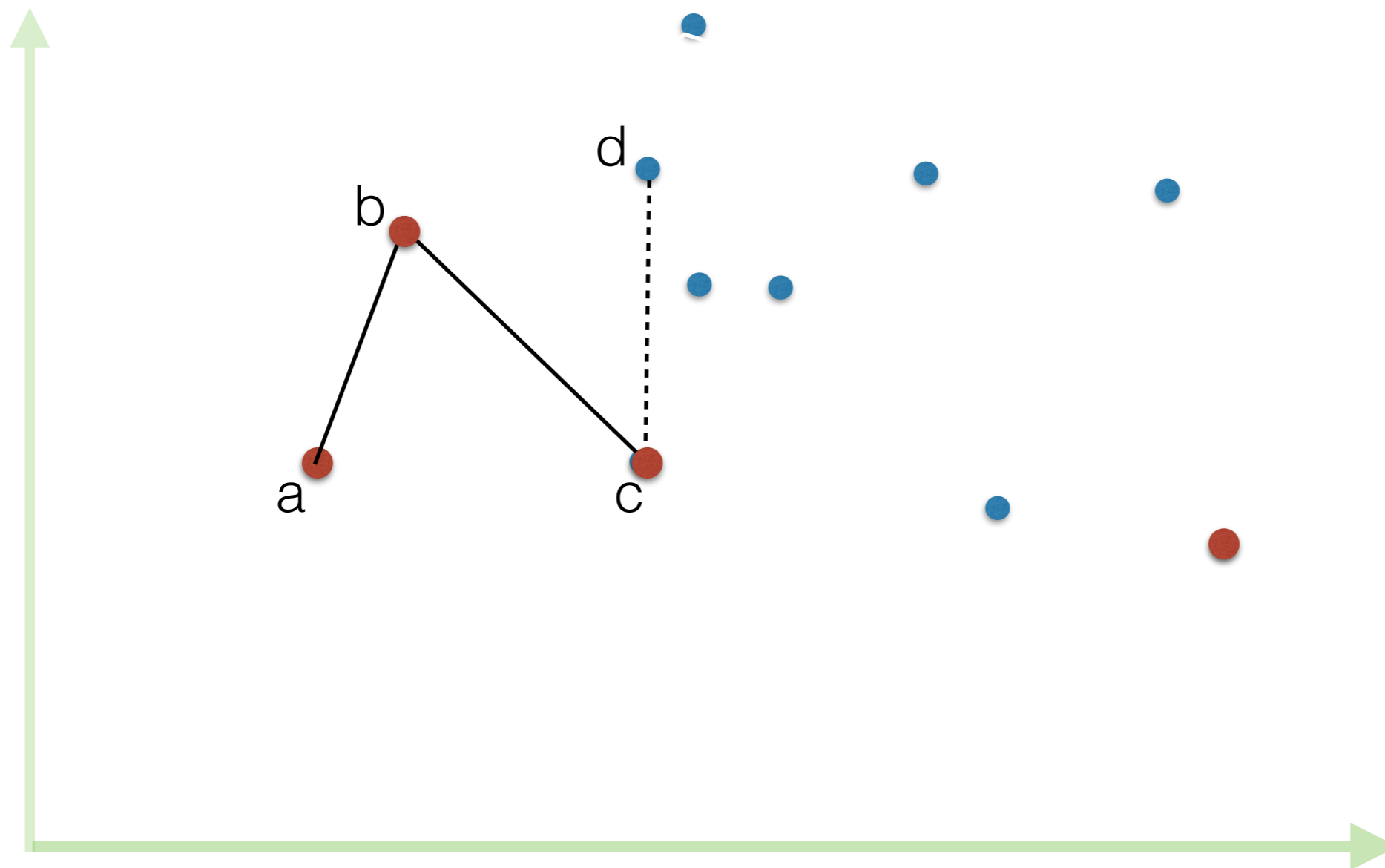
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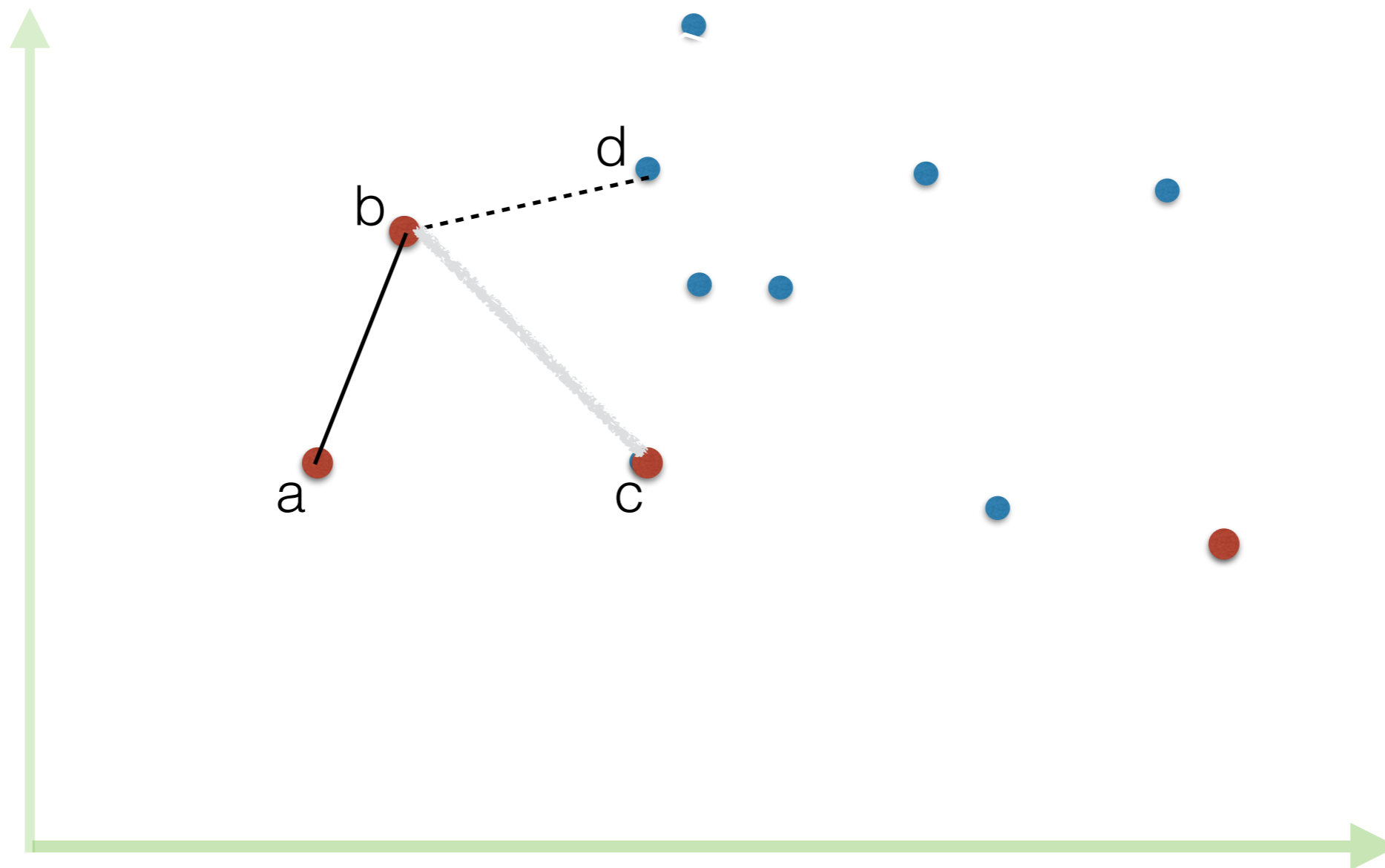
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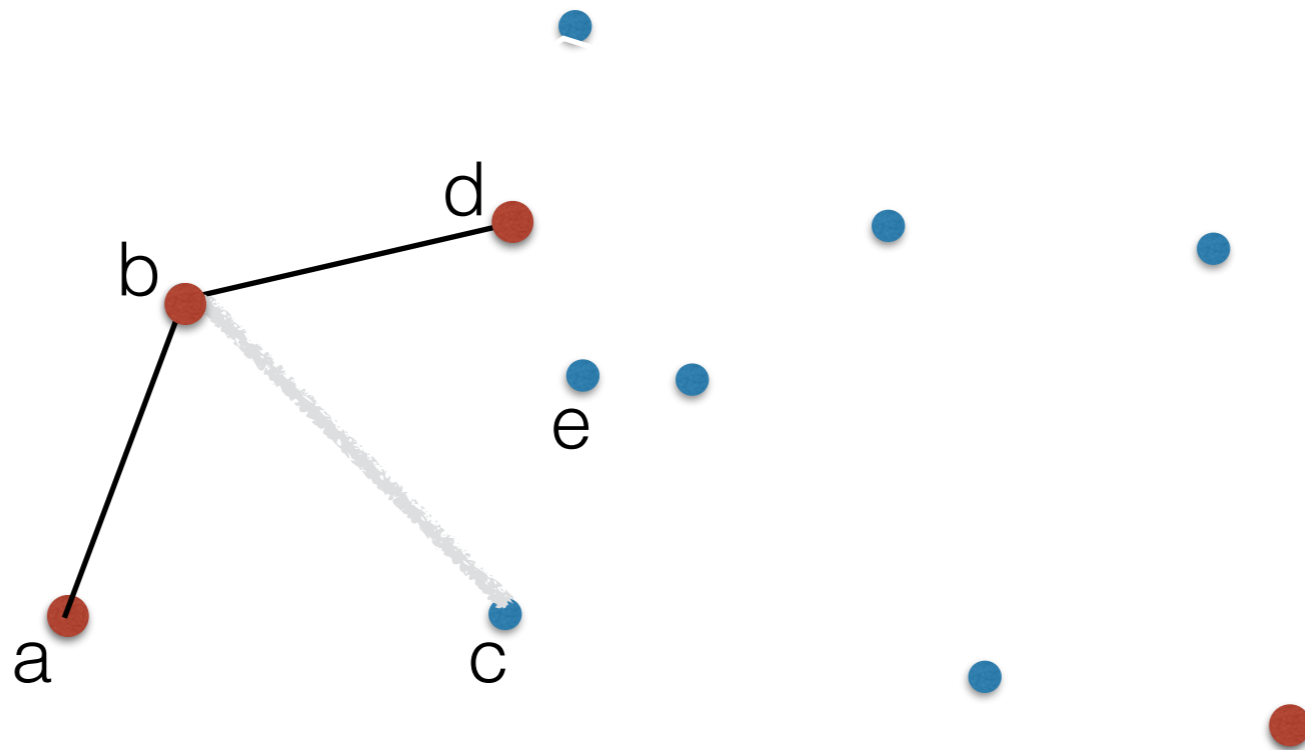
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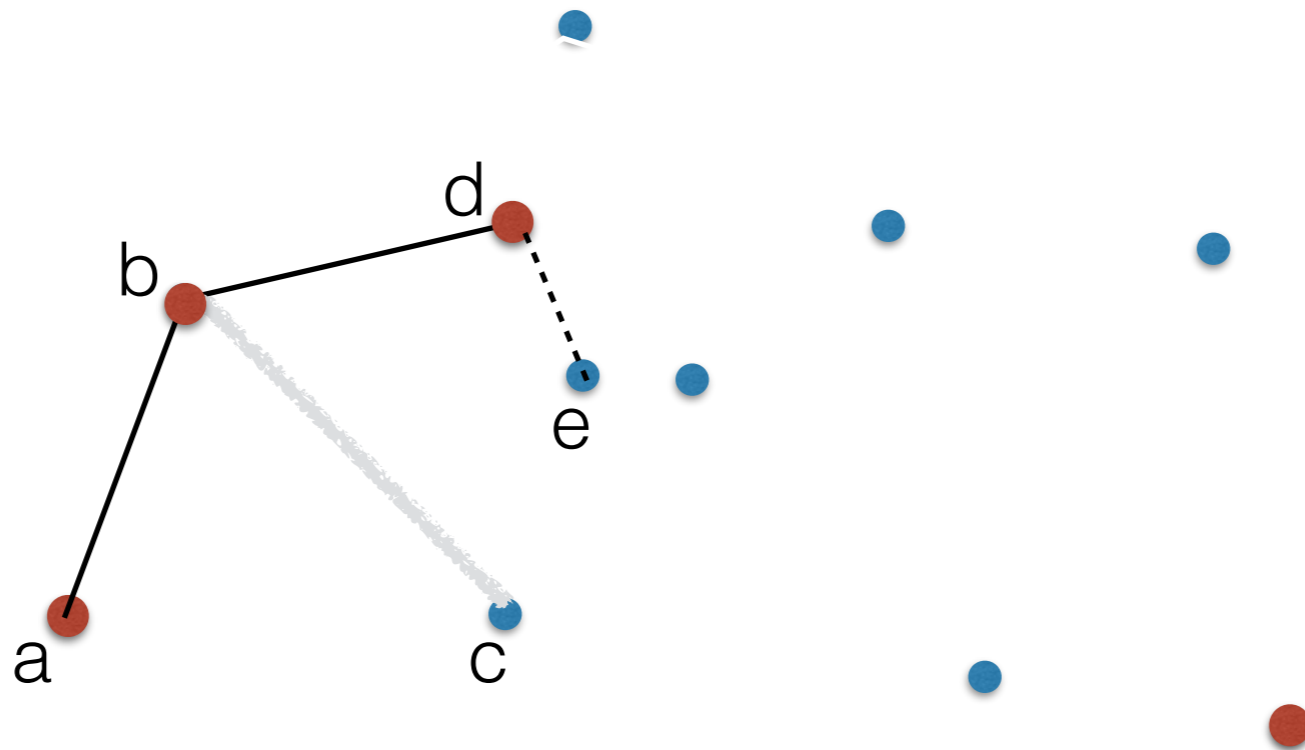
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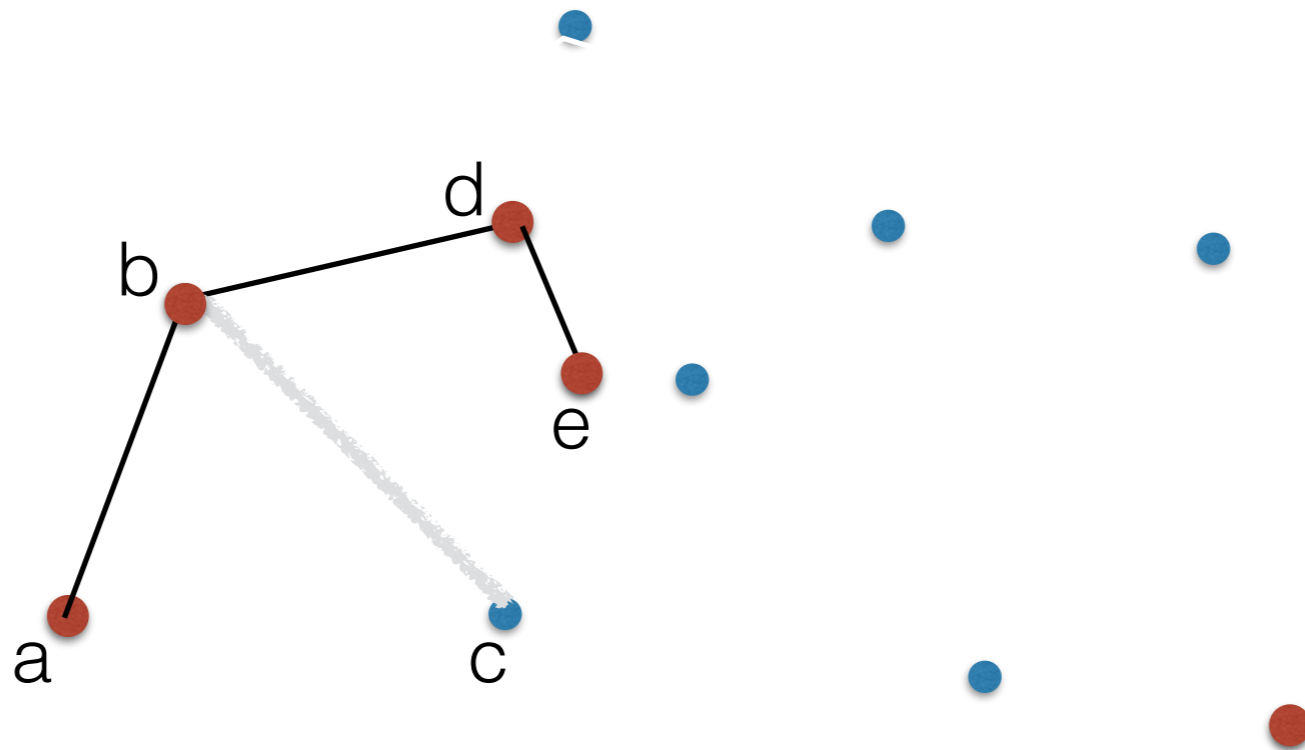
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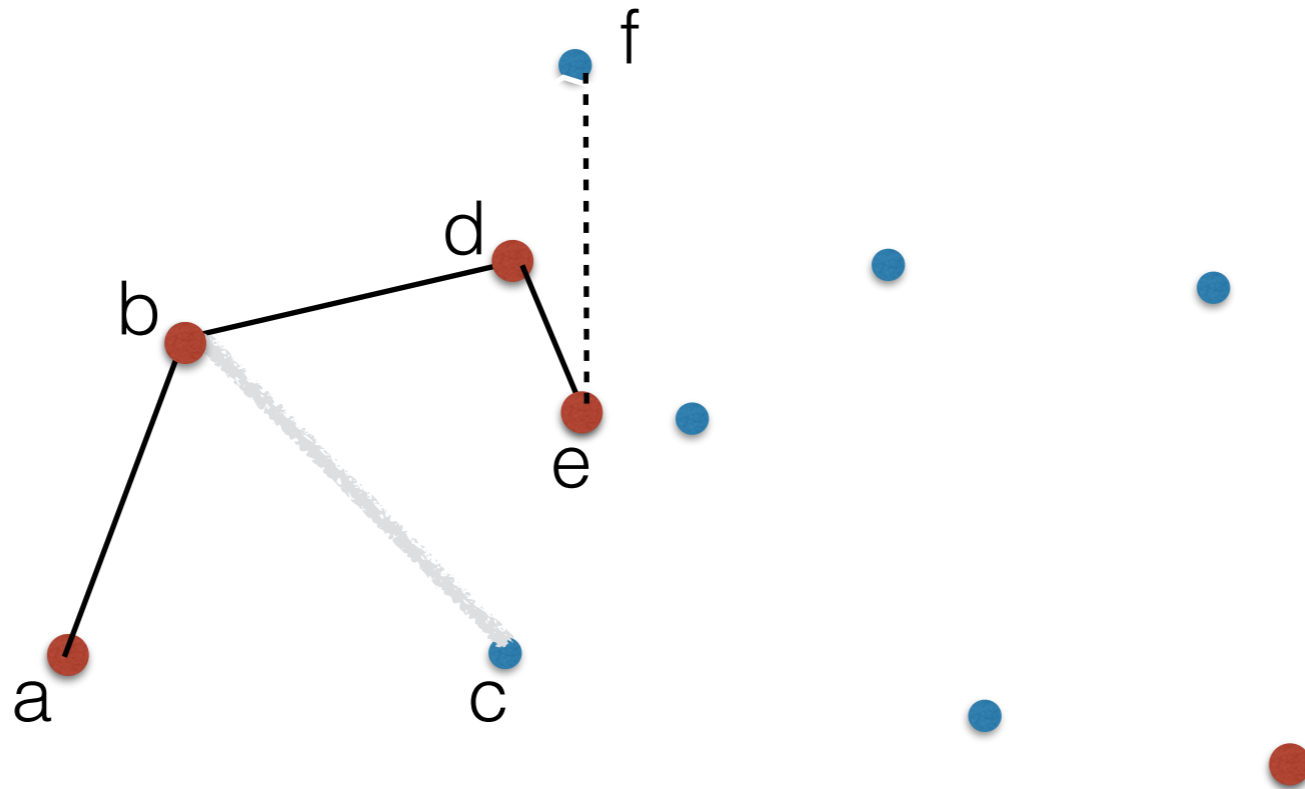
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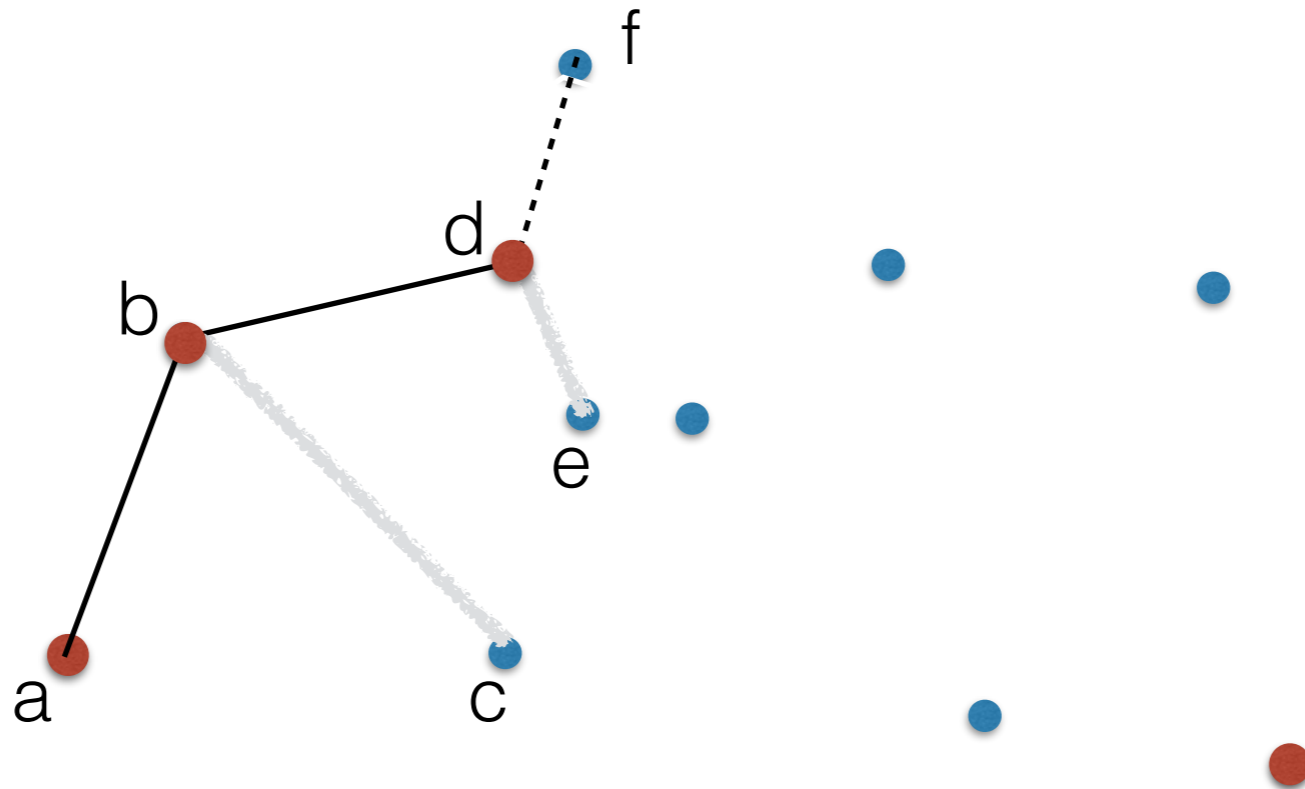
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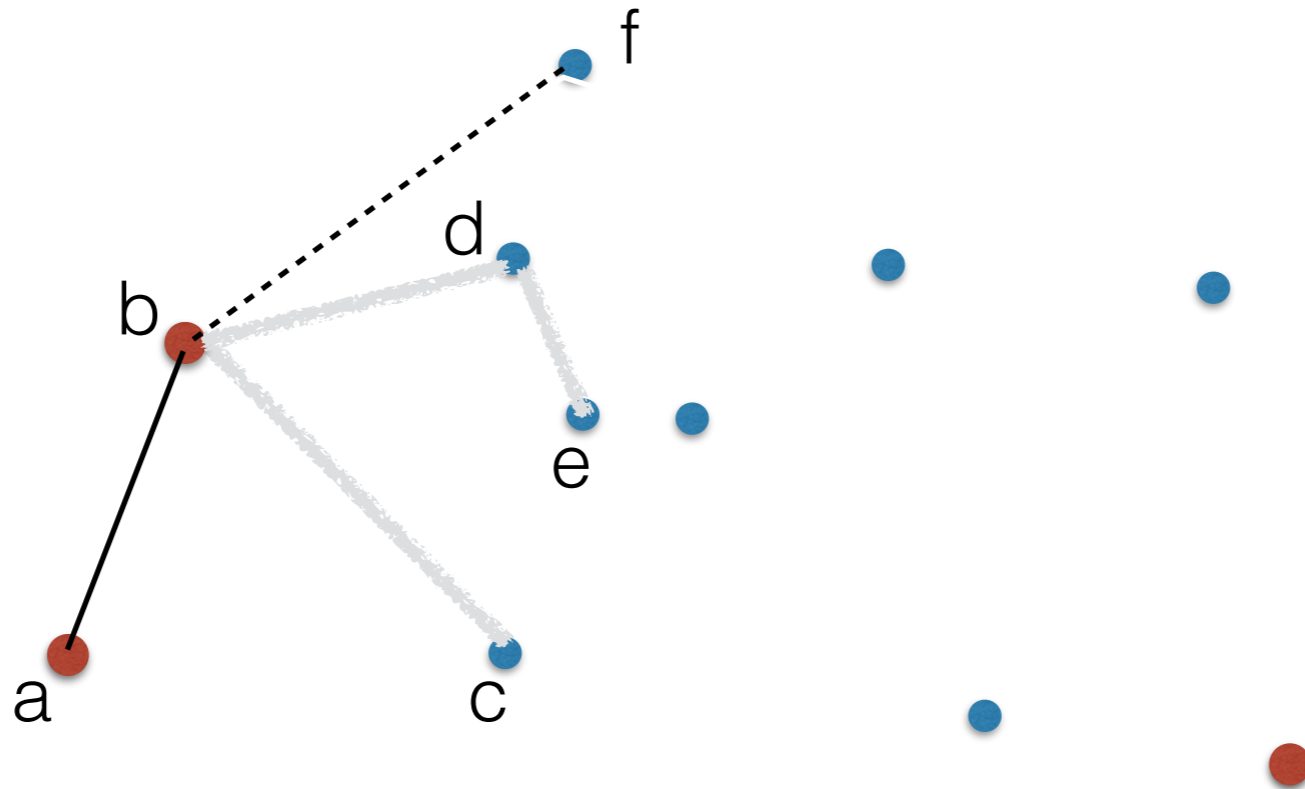
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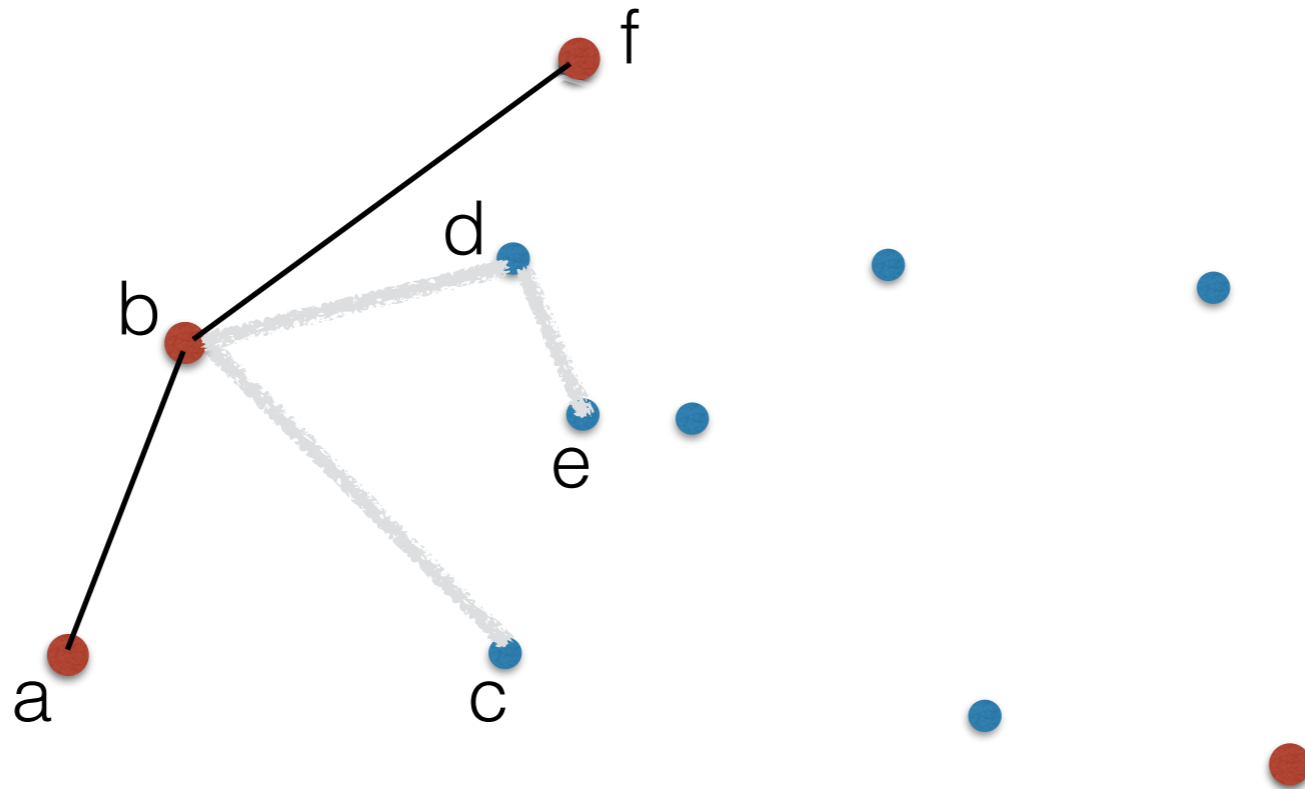
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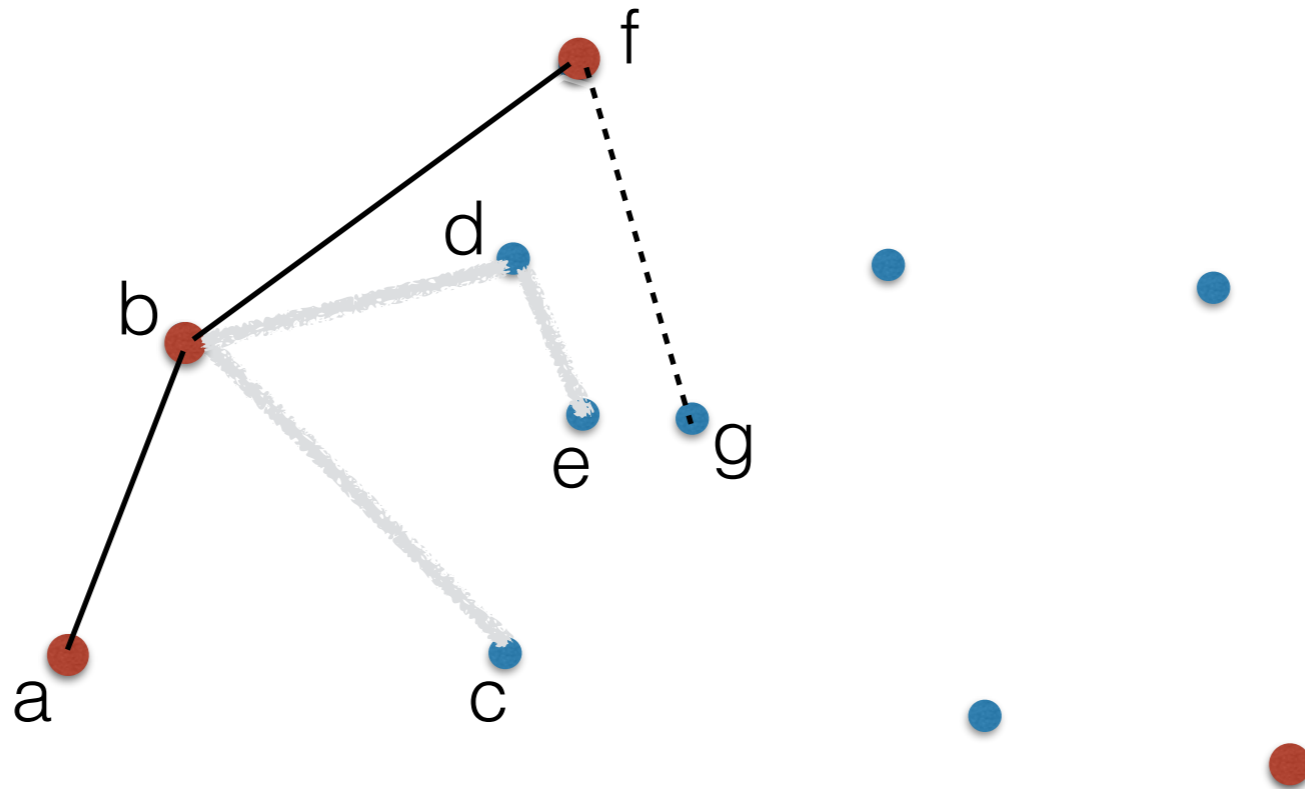
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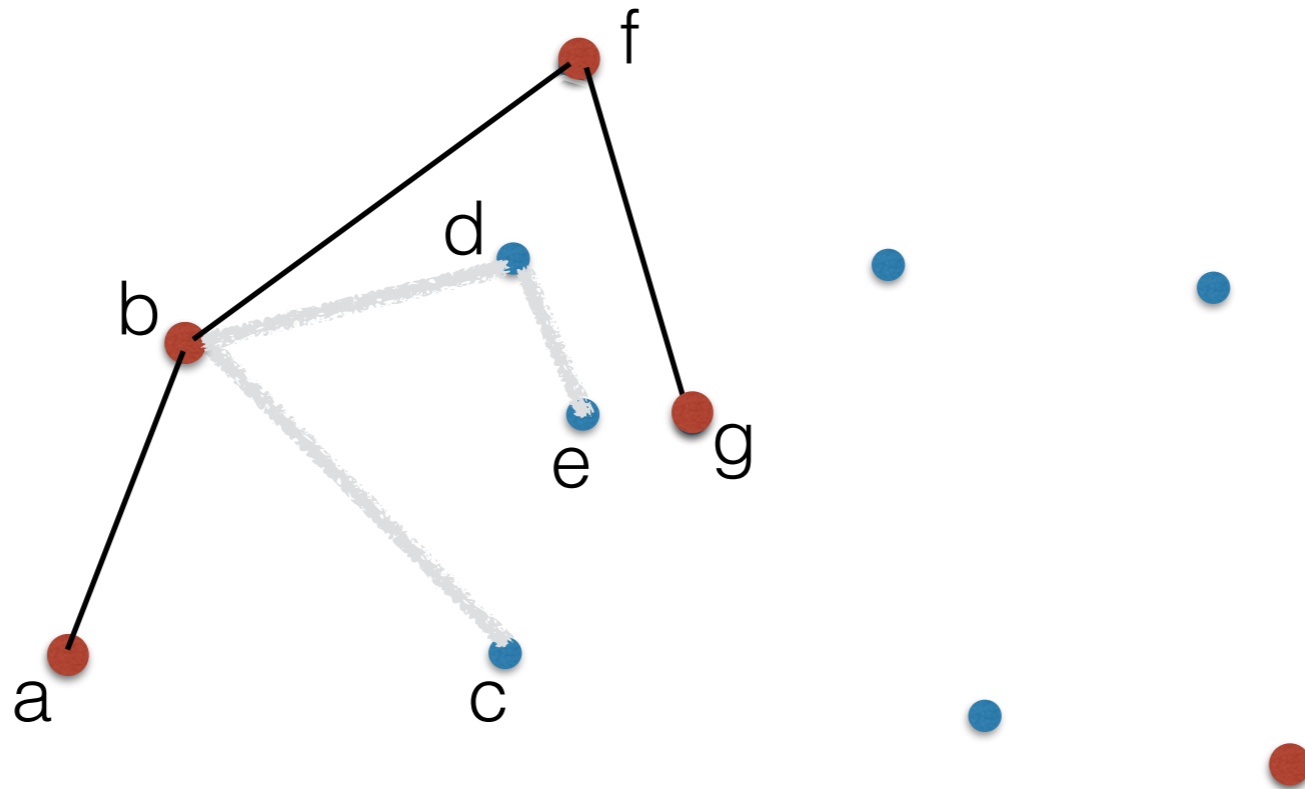
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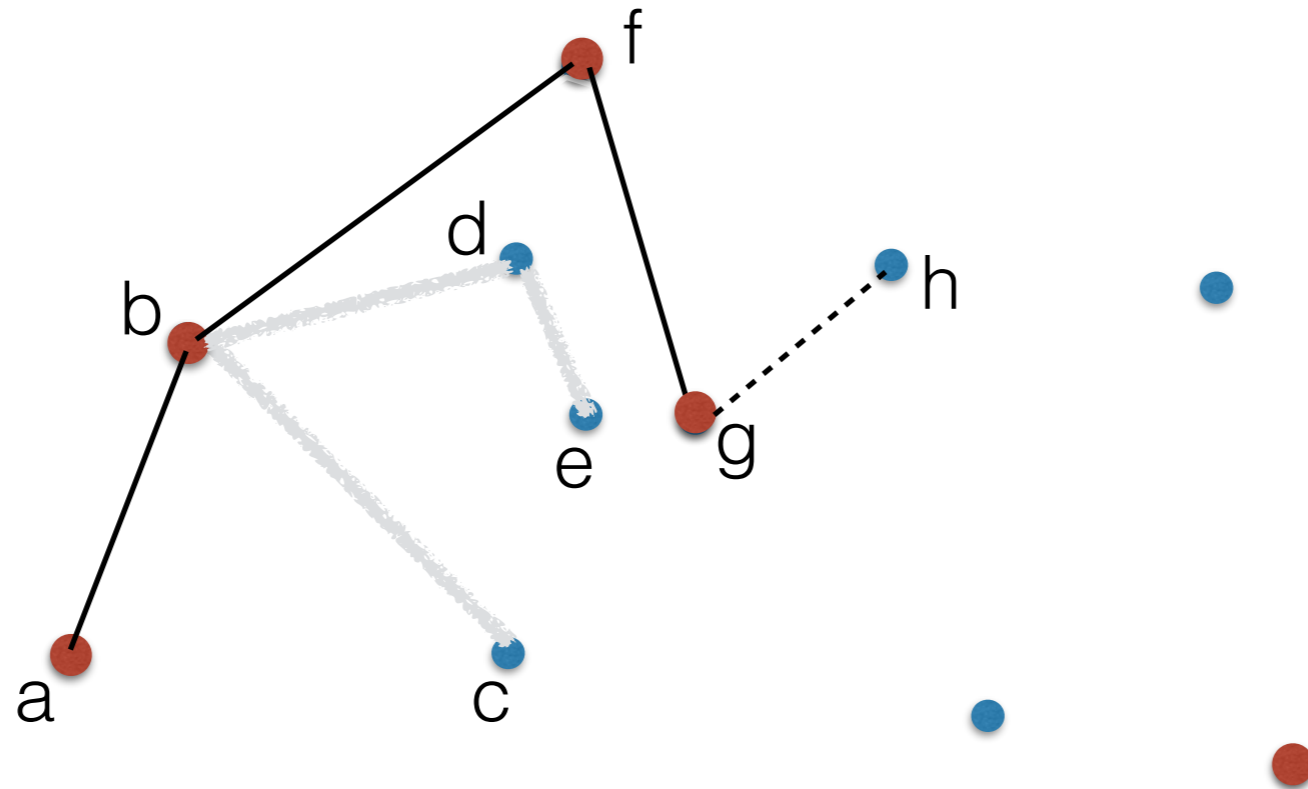
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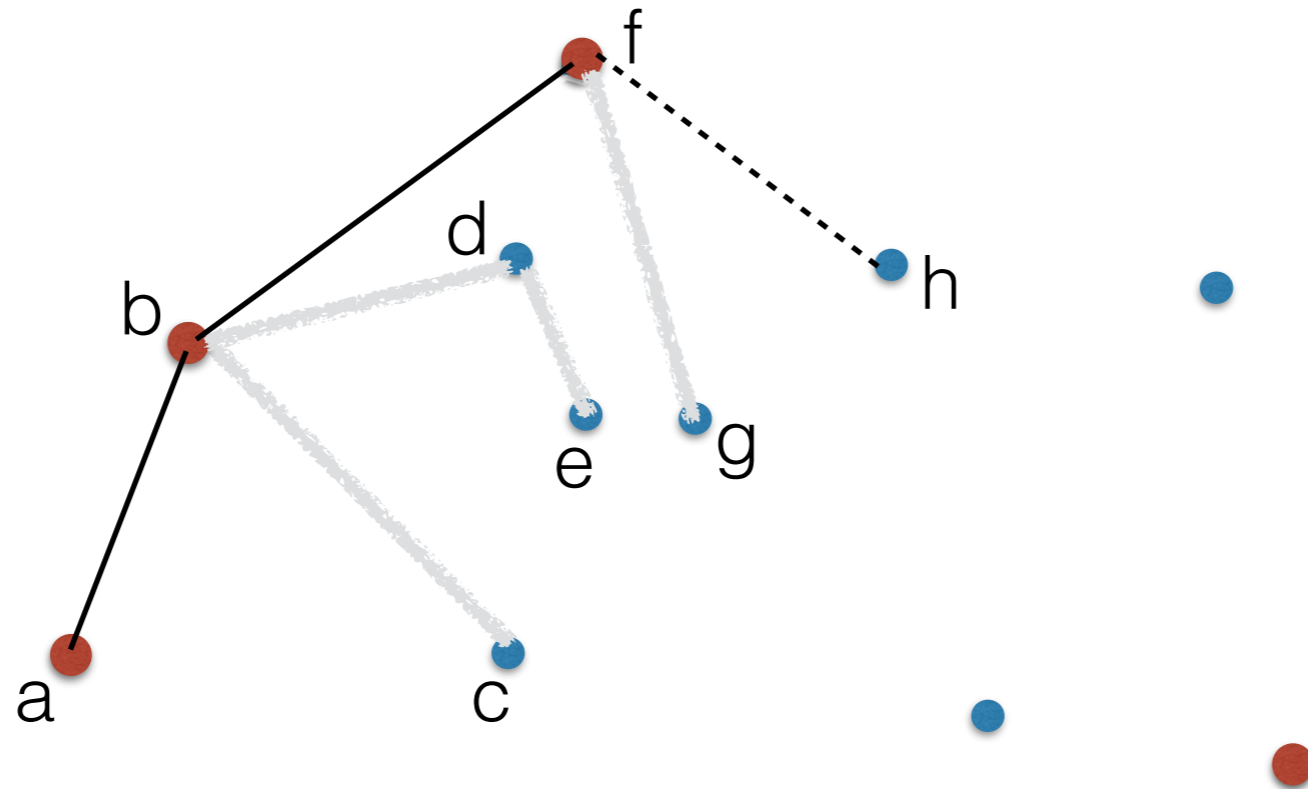
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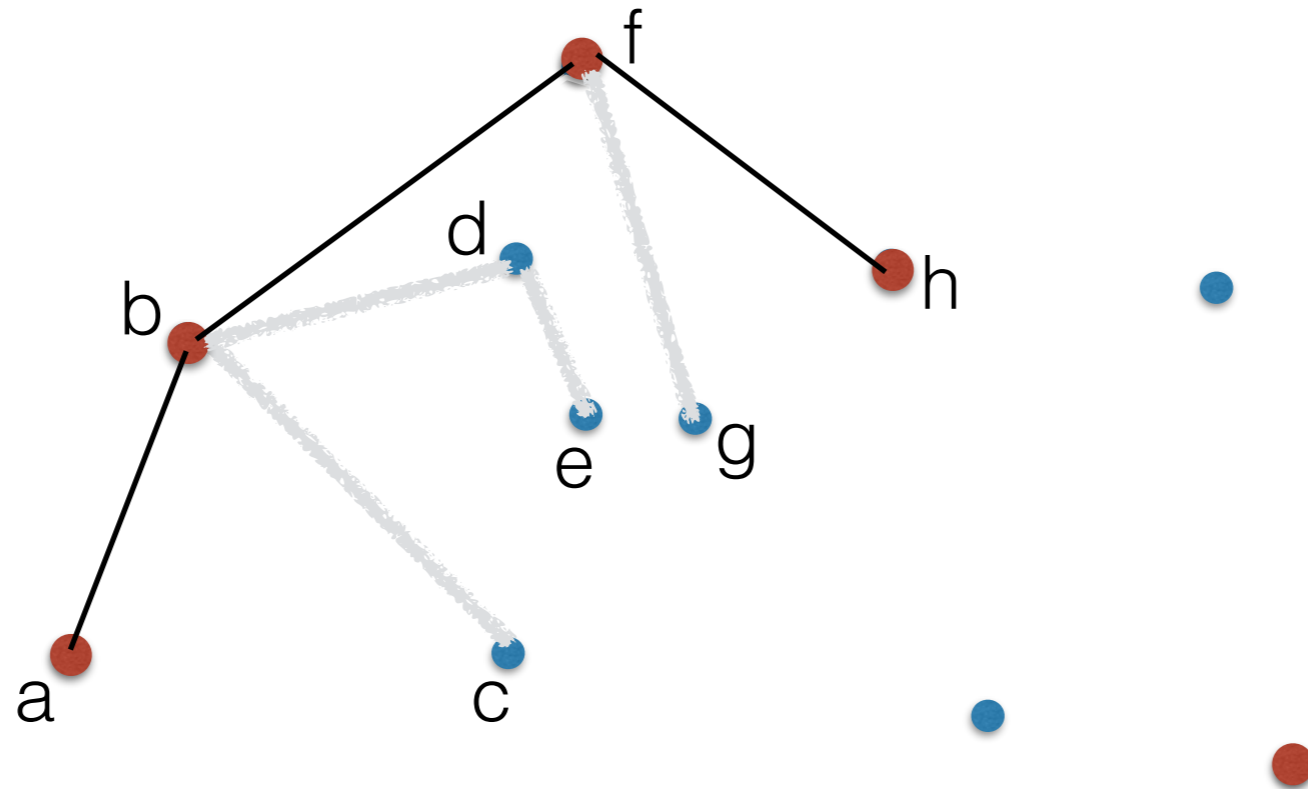
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- Goal: find the CH of P_1
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and so on..

Andrew's Monotone Chain Algorithm (1979)

- Alternative to Graham's scan
- Idea: Traverse points in (x,y) lexicographic order (instead of radial order)
- Runs in sort + scan
- Sorting lexicographically is faster than sorting radially

Convex hull: summary

Naive	$O(n^3)$	
Gift wrapping	$O(nh)$	1973
Graham scan	$O(n \lg n)$	1972
Andrew monotone	$O(n \lg n)$	1979
Quickhull	$O(n^2)$	1977

Can we do better?

Lower bound

What is a lower bound?

- Given an algorithm A, its **worst-case running time** is the **largest** running time on any input of size n

$$T_A(n) = \max_{|P|=n} \{ T(n) \mid T(n) \text{ is the running time of algorithm A on input P} \}$$

What is a lower bound?

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$$T_A(n) = \max_{|P|=n} \{ T(n) \mid T(n) \text{ is the running time of algorithm } A \text{ on input } P \}$$

- A lower bound for CH: What is the **worst-case running time** of the **best possible** CH algorithm?

$$\min_A \{ T_A(n) \}$$

Consider **all** possible CH algorithms A

Take the overall smallest worst-case running time

What is a lower bound?

- Lower bounds depend on the machine model.
 - The standard model is the decision tree (comparison) model.
 - Sorting lower bound in the decision tree model is $\Omega(n \lg n)$.

How do we prove lower bounds?

- Prove directly
 - Theorem: Any sorting algorithm that uses only comparisons uses at least $\Omega(n \lg n)$ comparisons in the worst case.
 - Proof: We saw this in Algorithms..
- Or via **reduction** from a problem known to have a lower bound
 - We'll use this to show that any algorithm for ConvexHull must have worst-case complexity $\Omega(n \lg n)$

Lower bounds by reduction

- We know that $\Omega(n \lg n) \leq \text{Sorting}$
- If we could show that ConvexHull is at least as hard as Sorting

Sorting

\geq

Convex hull



This would imply that ConvexHull is $\Omega(n \lg n)$

How do we show `Sorting` \leq `Convex hull` ?

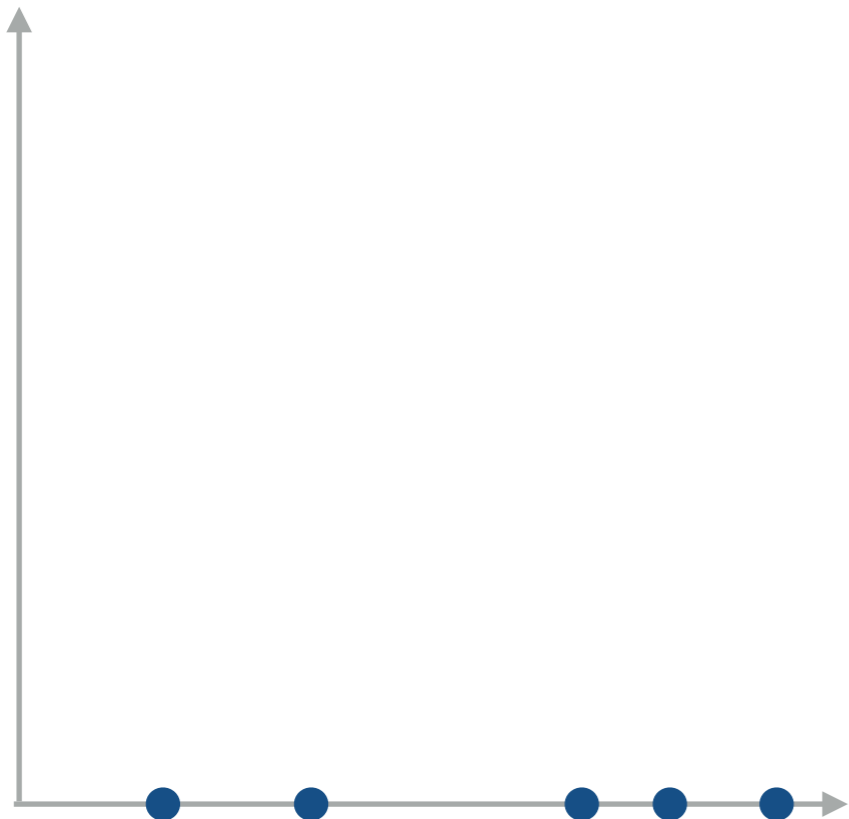
- We want to show that ConvexHull gives an upper bound to Sorting. This would be true if we could solve Sorting via ConvexHull.
- We'll show that we can use ConvexHull to Sort: Let P be a set of values that need to be sorted. We'll show that there exists some instance of the CH problem that sorts P , and we can build this instance in $O(n)$ time

sort (array P)

- create a set P' of points from P
- find ConvexHull(P')
- use the convex hull to infer sorted order of P

Sorting via ConvexHull

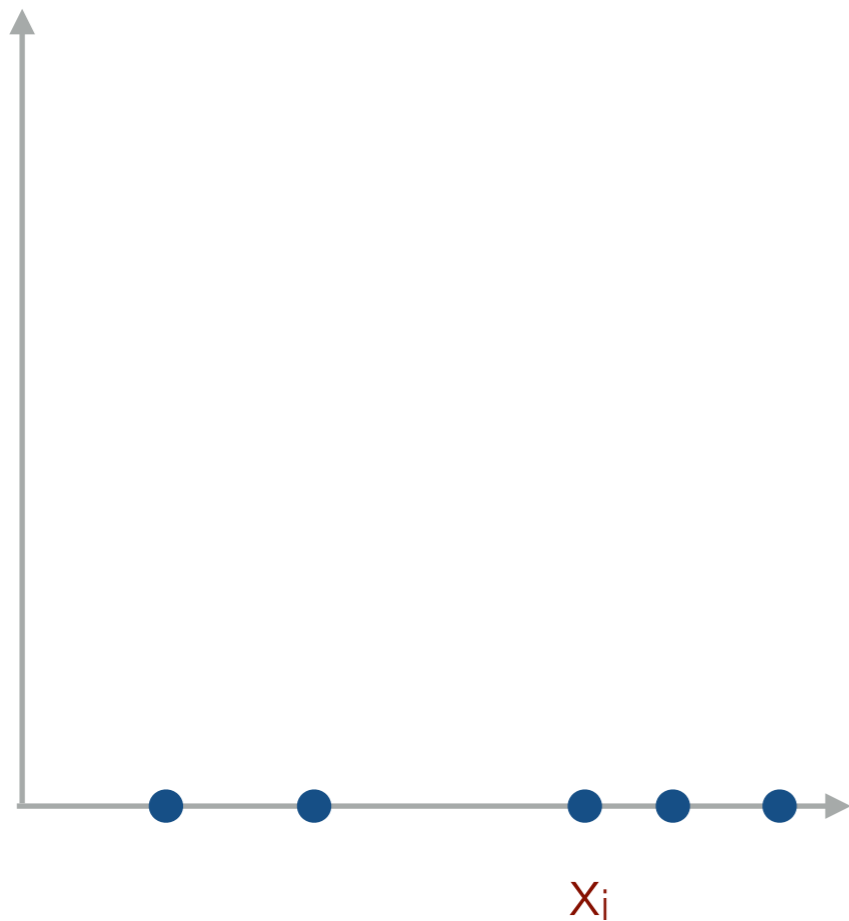
- Let P : set of values x_1, x_2, \dots, x_n to sort



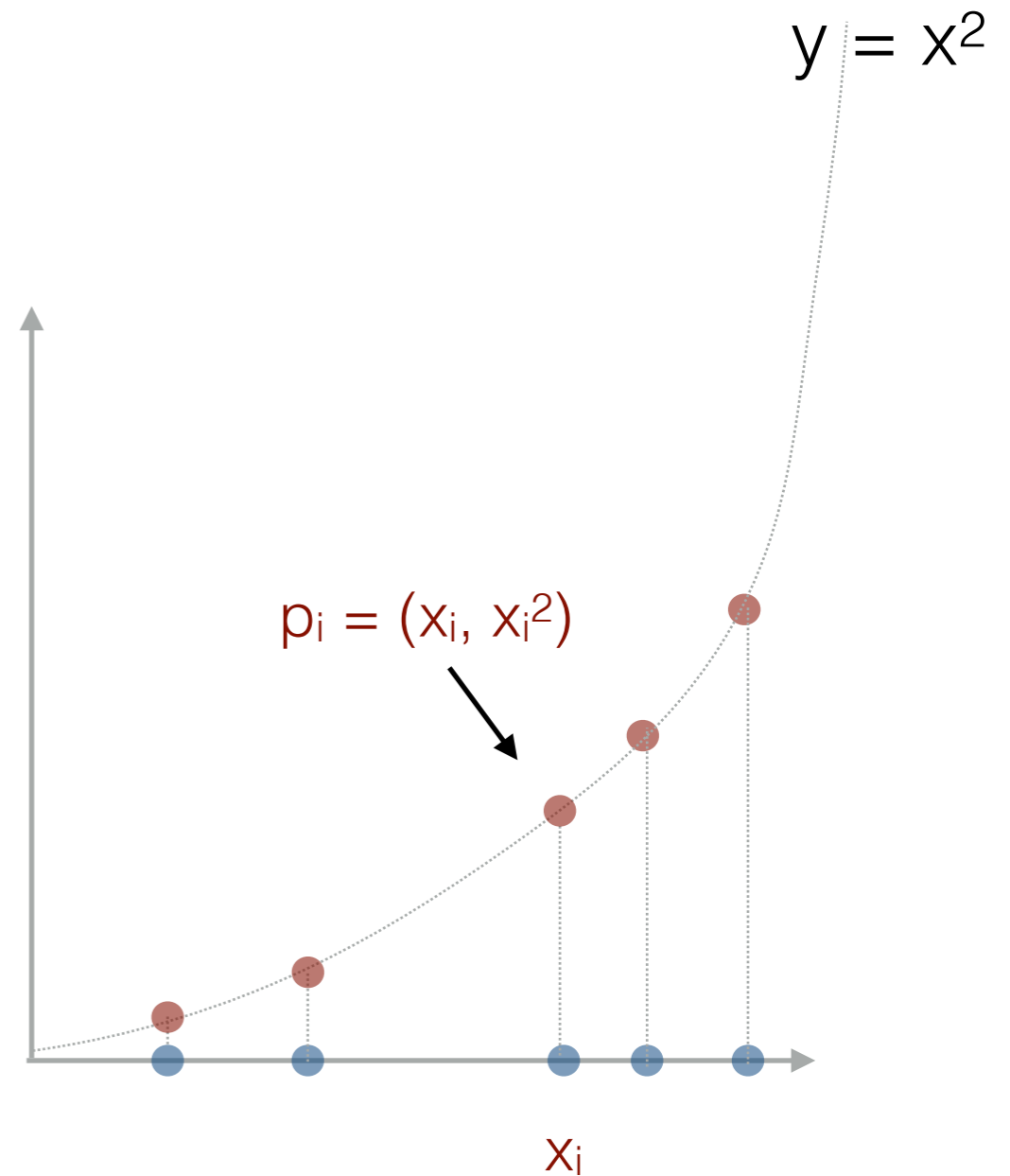
Our goal is to argue that there exists some instance of a convex hull problem that sorts our numbers.

Sorting via ConvexHull

- Let P : set of values x_1, x_2, \dots, x_n to sort

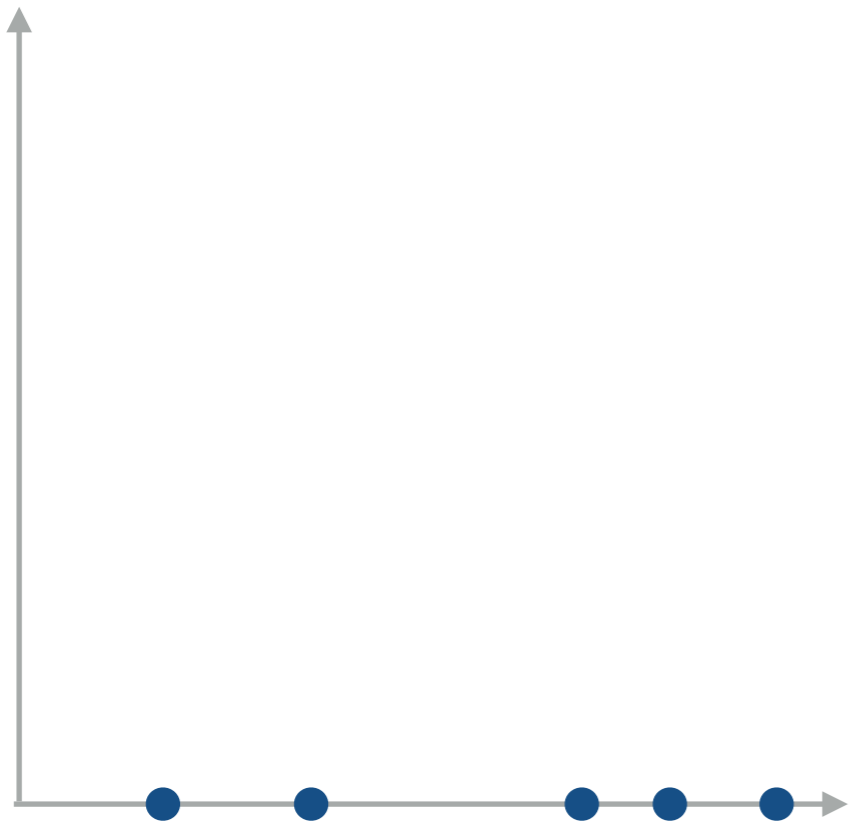


- Let P' : set points $\{ p_i = (x_i, x_i^2) \}$

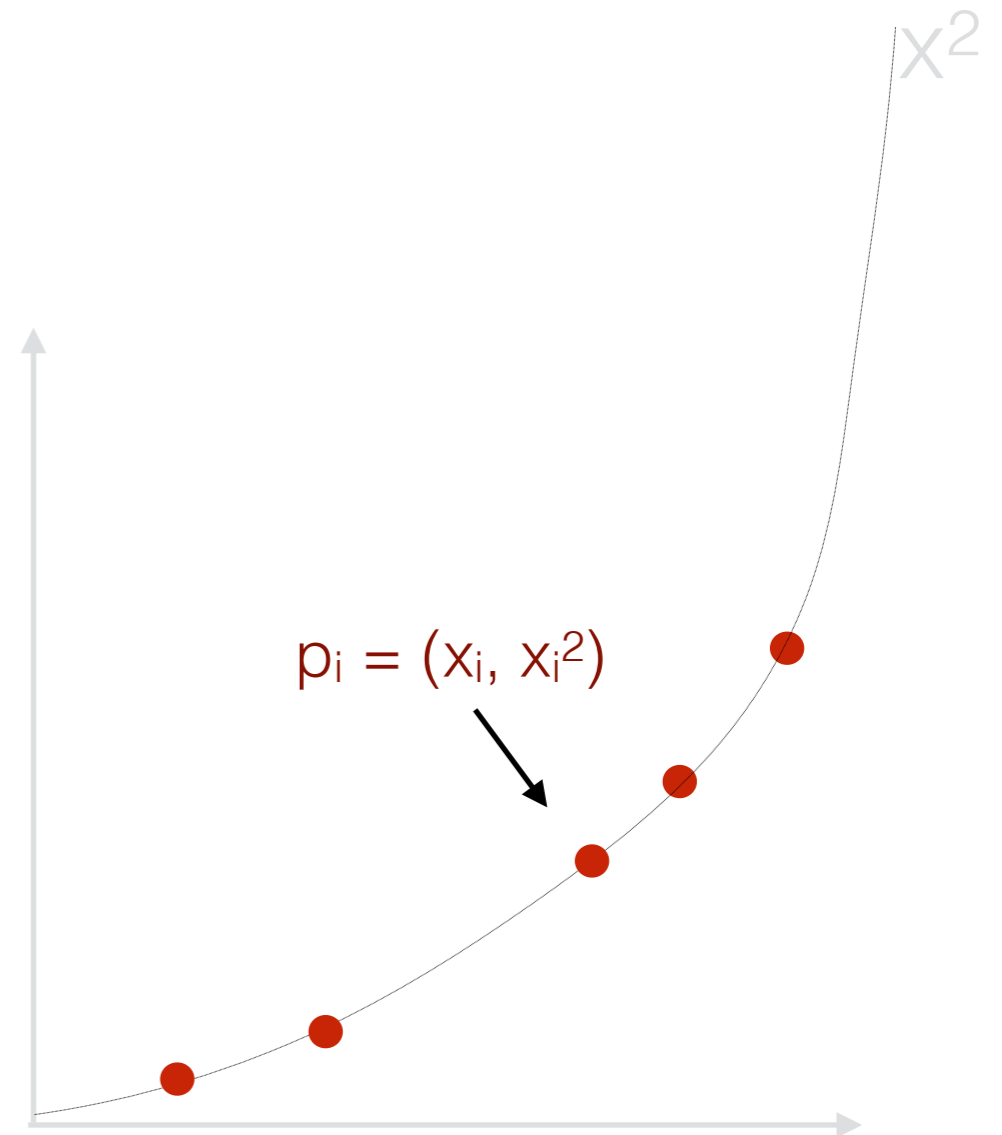


Sorting via ConvexHull

- Let P : set of values x_1, x_2, \dots, x_n . to sort

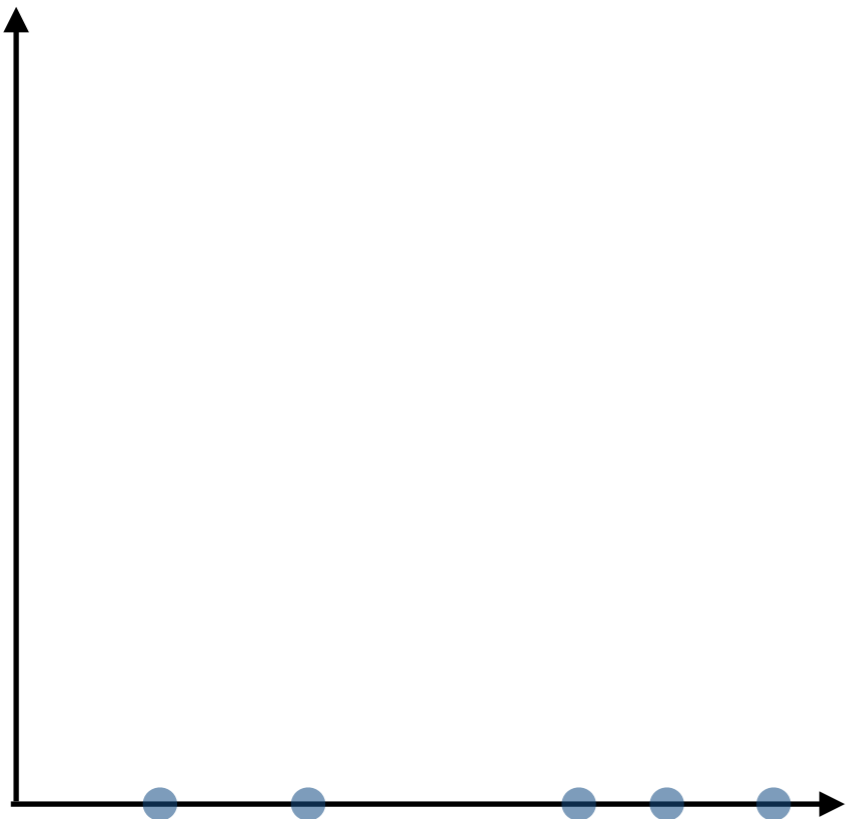


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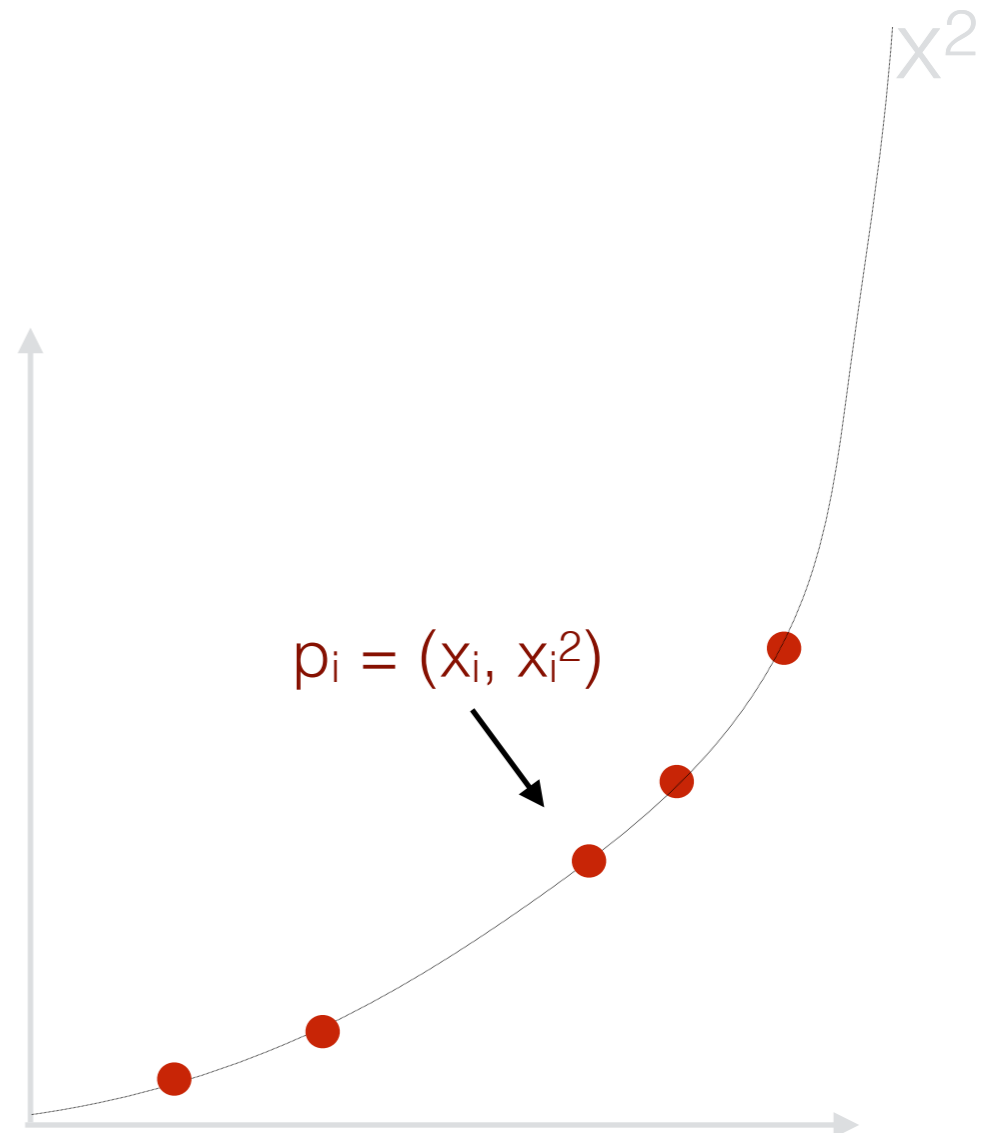


Sorting via ConvexHull

- Let P : set of values x_1, x_2, \dots, x_n to sort



- Let P' : set points $\{ p_i = (x_i, x_i^2) \}$
- Run $CH(P')$ to find their convex hull

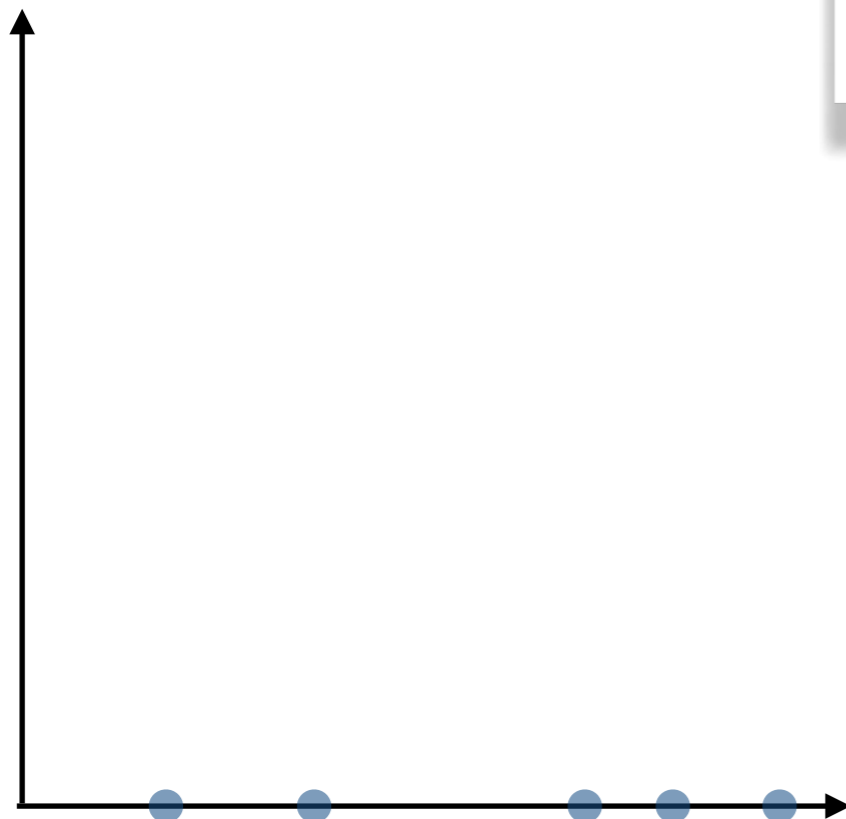


Sorting via ConvexHull

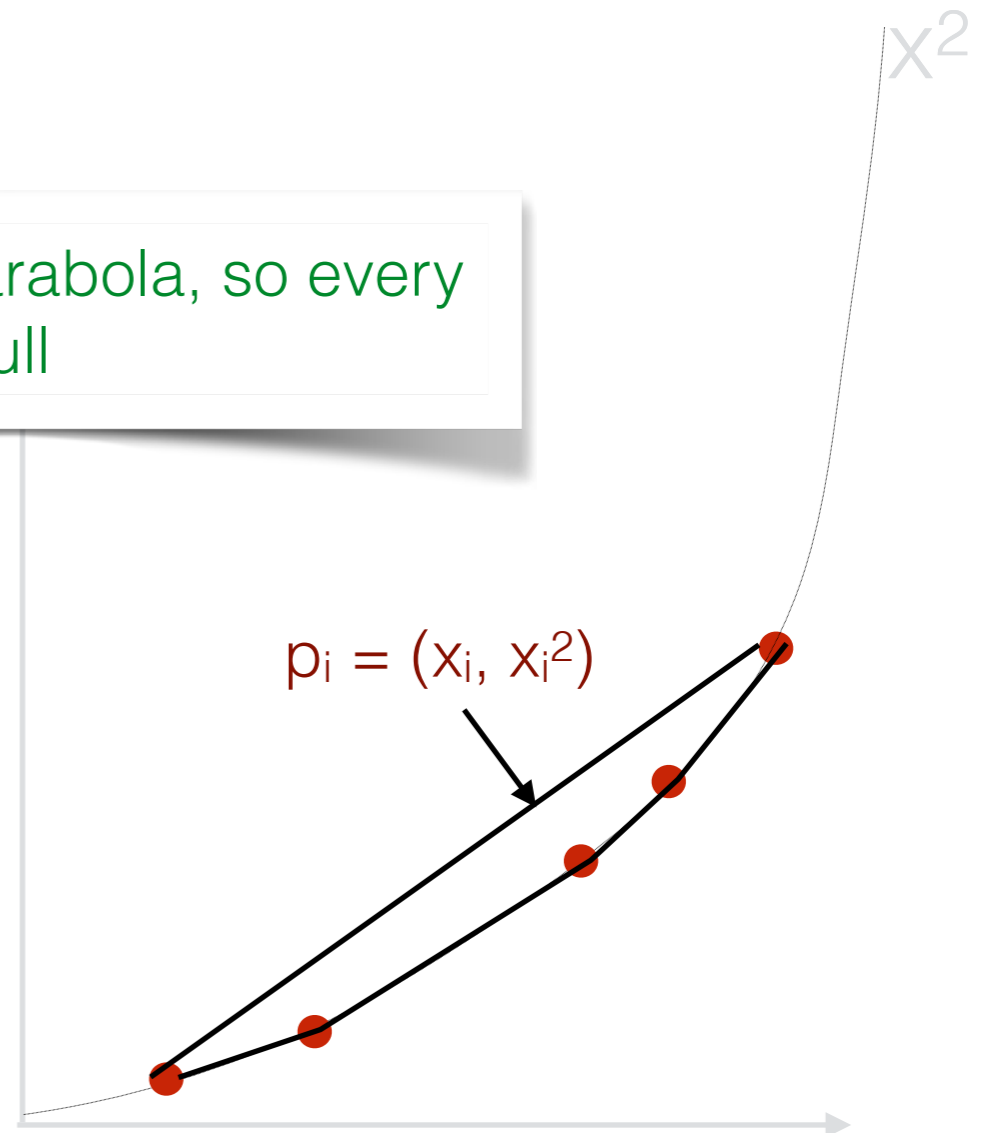
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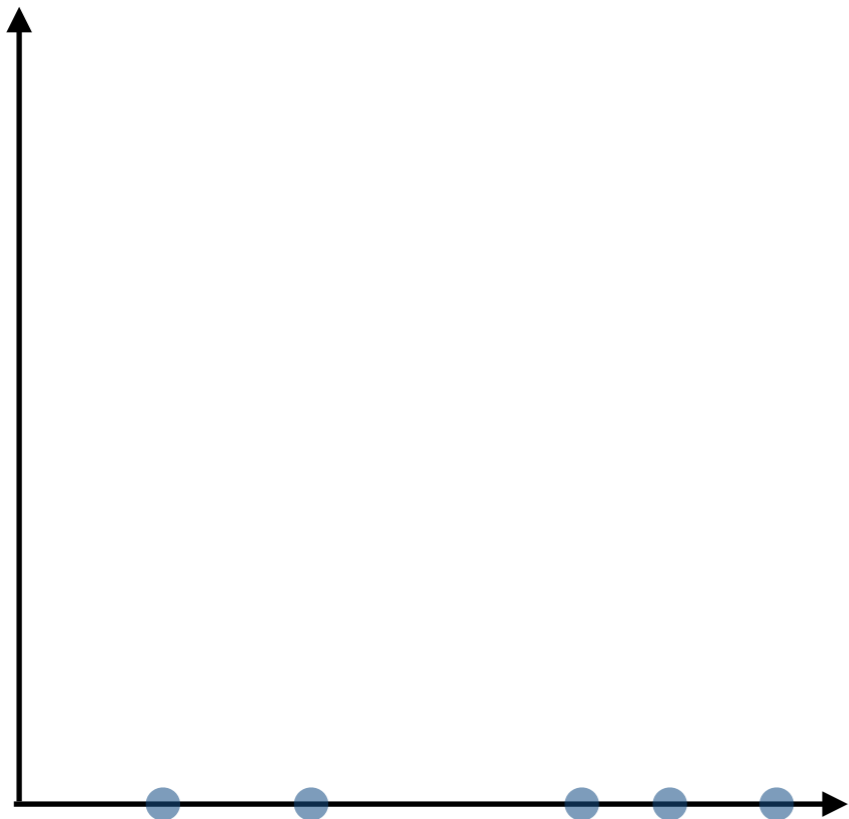


• They fall on a parabola, so every point is on the hull

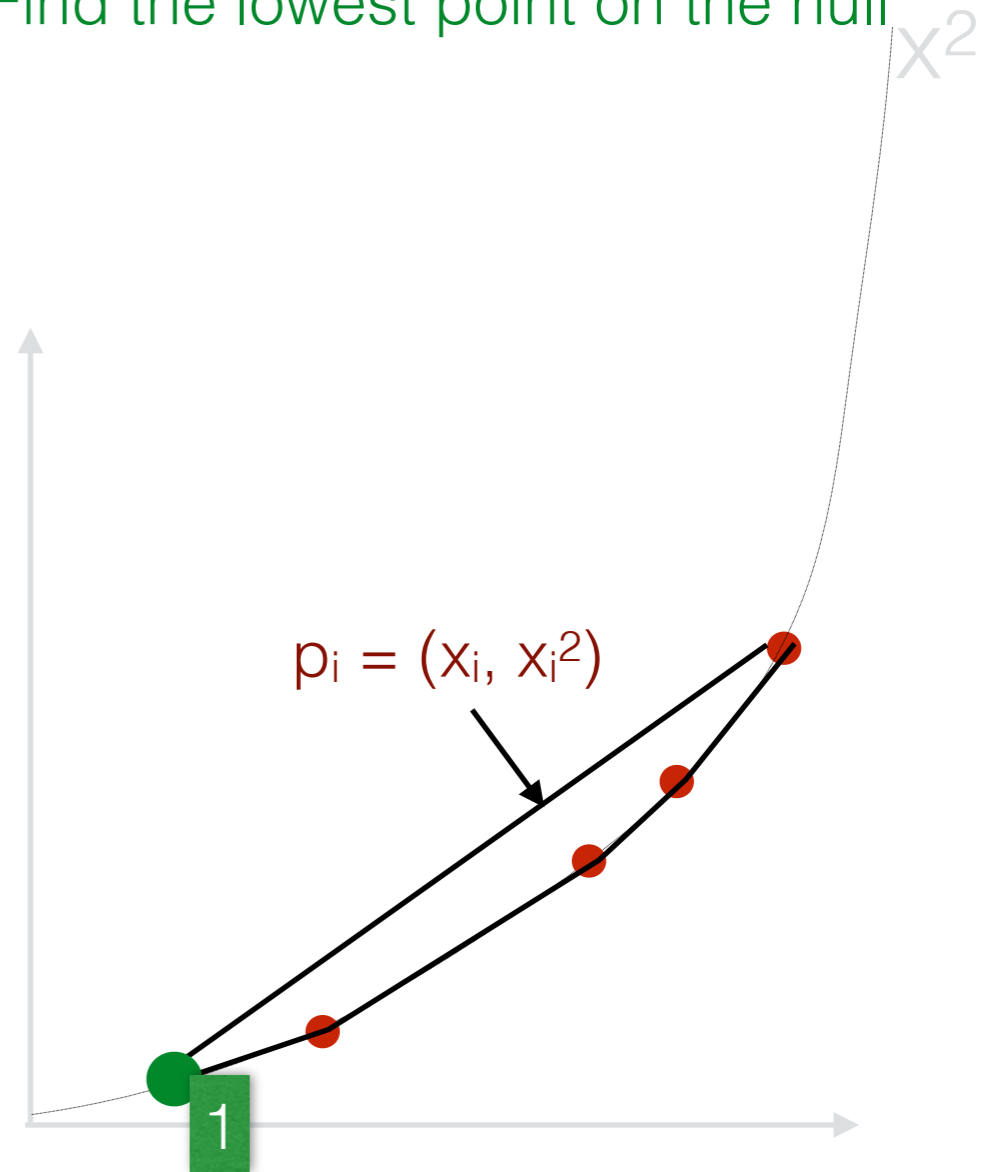


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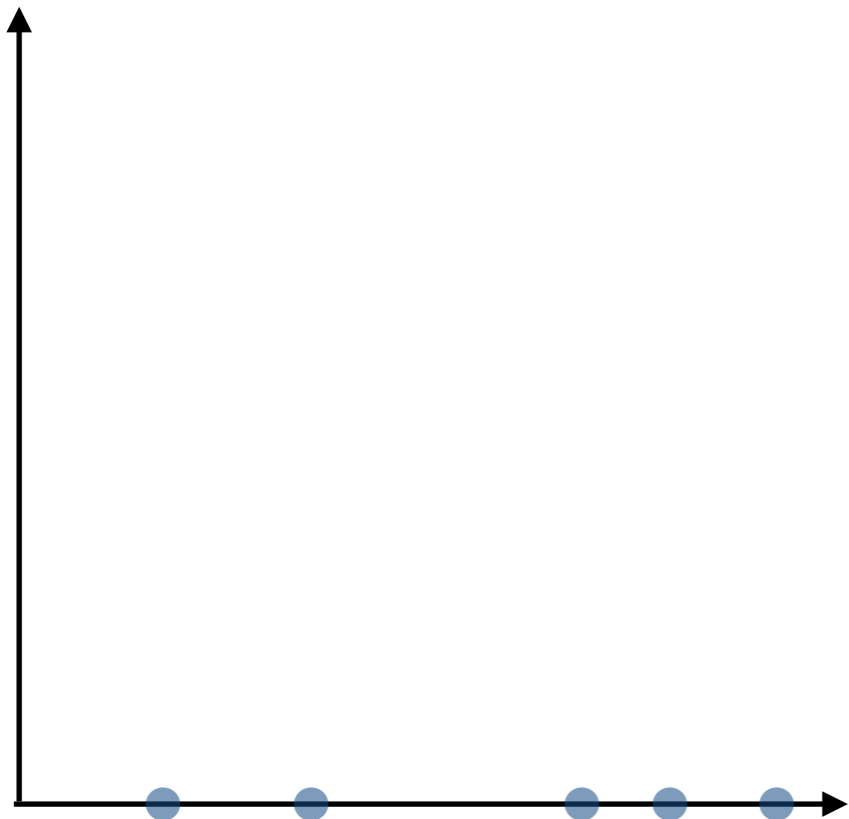


- Let P' : set points $\{ p_i = (x_i, x_i^2) \}$
- Run $CH(P')$ to find their convex hull
- Find the lowest point on the hull

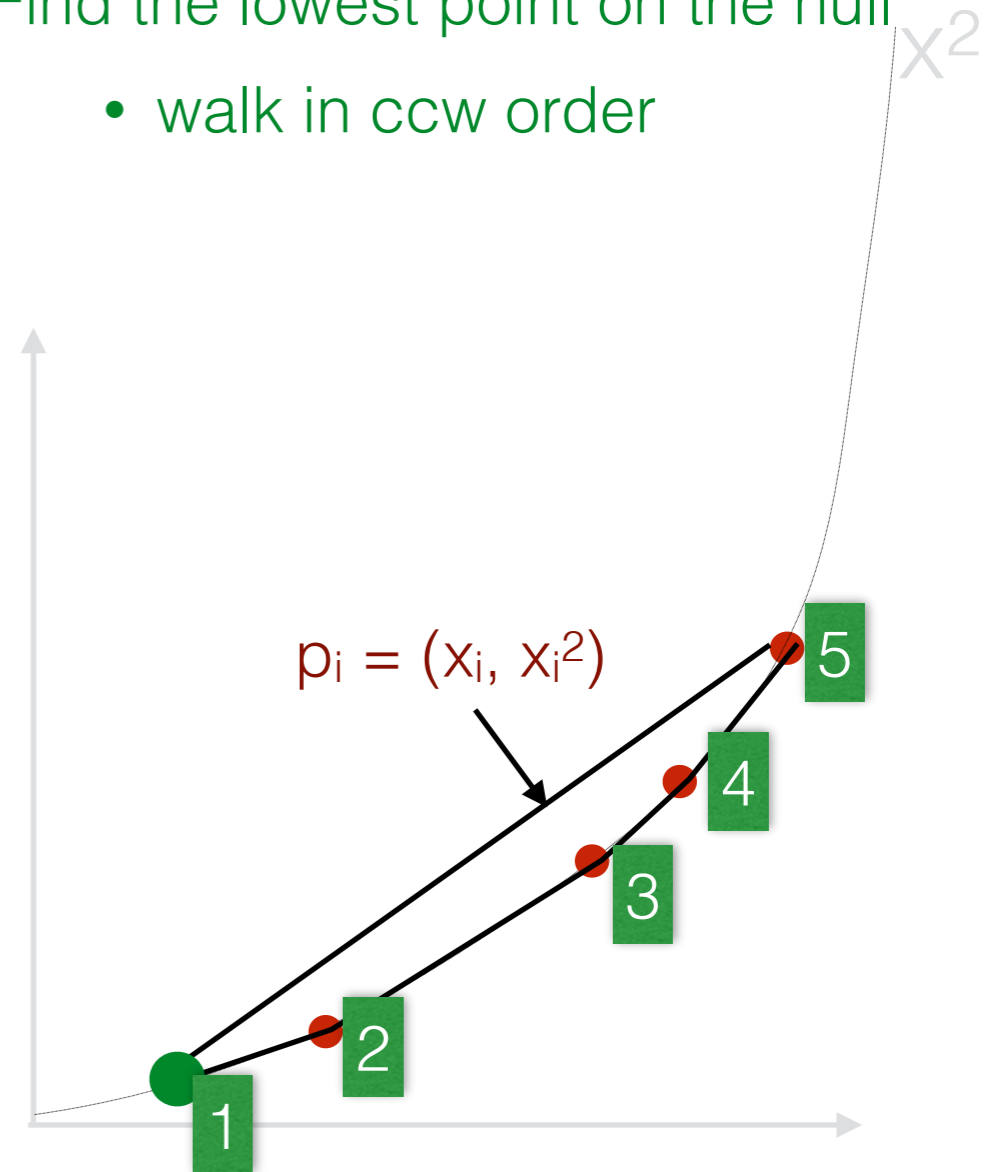


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- Let P : set of values x_1, x_2, \dots, x_n to sort

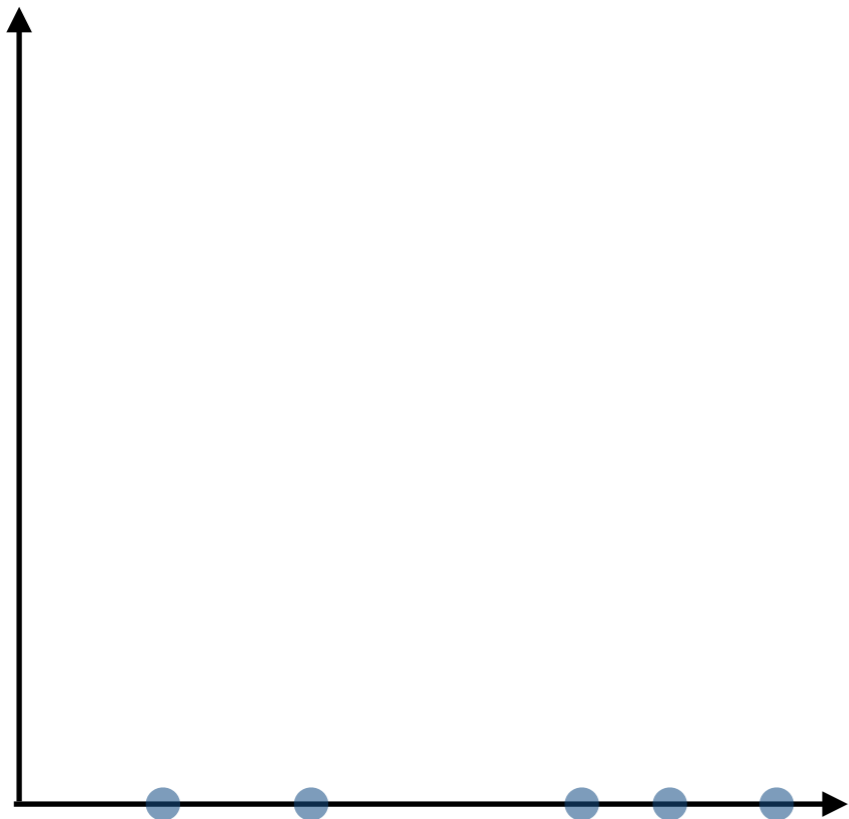


- Let P' : set points $\{ p_i = (x_i, x_i^2) \}$
- Run $CH(P')$ to find their convex hull
- Find the lowest point on the hull
 - walk in ccw order



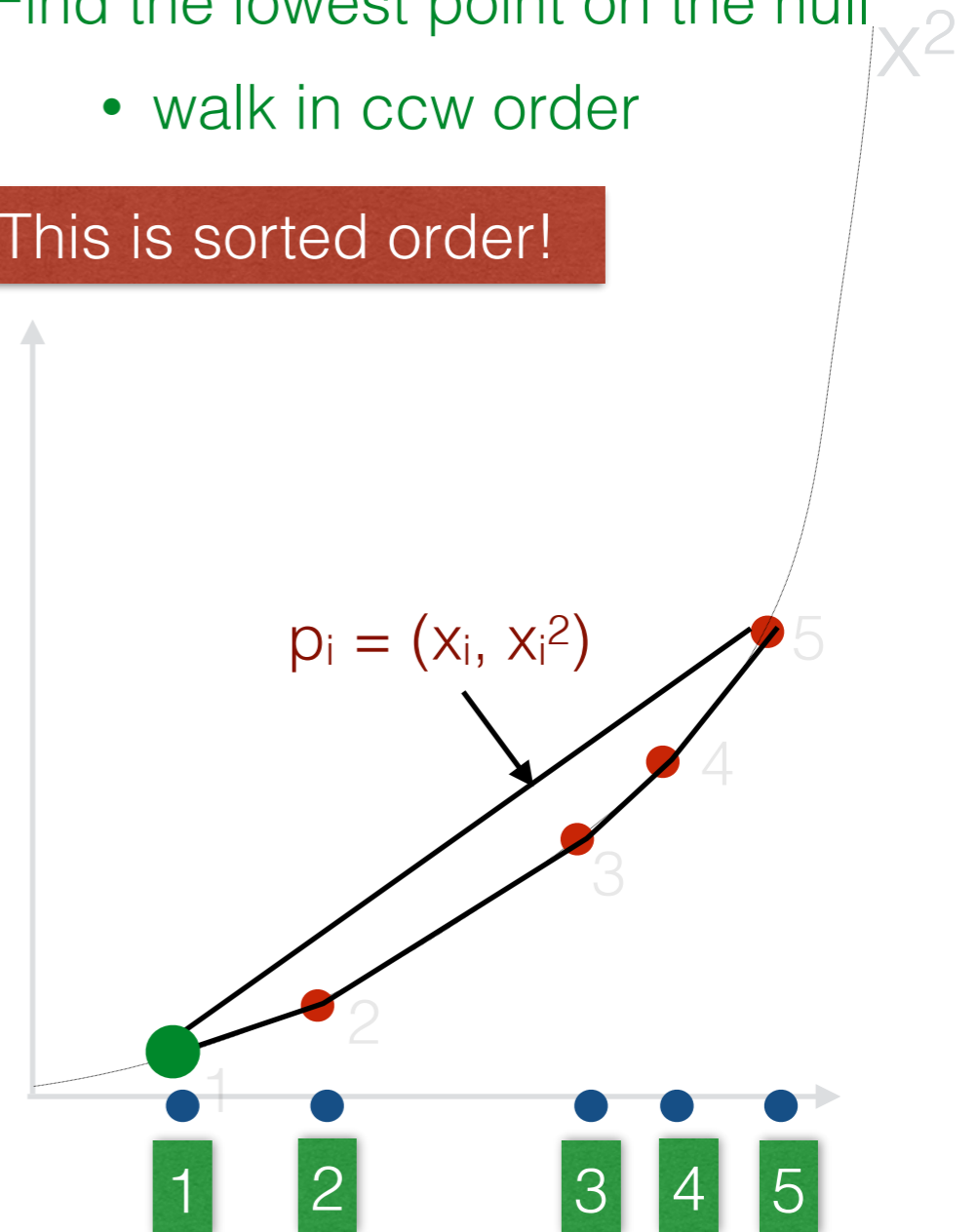
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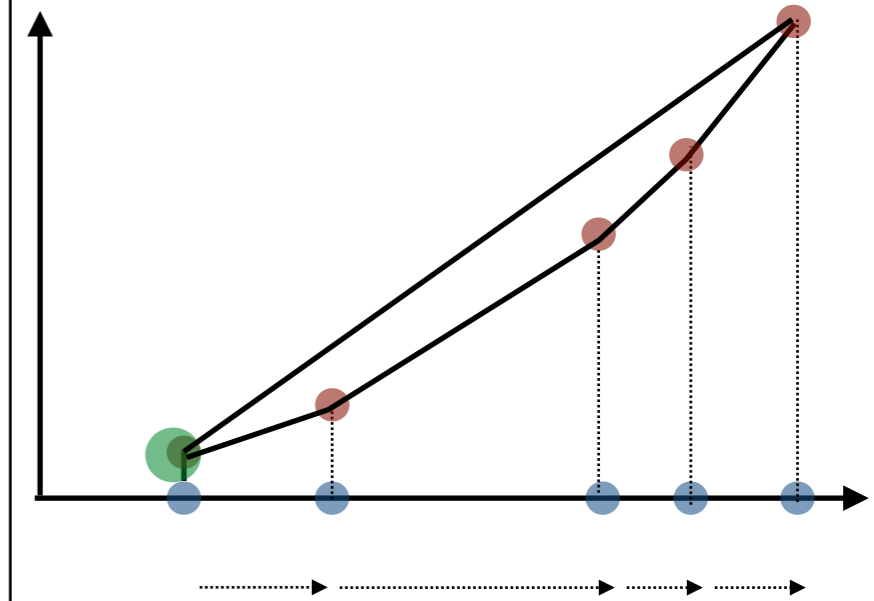
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 - walk in ccw order

This is sorted order!



Sorting via ConvexHull

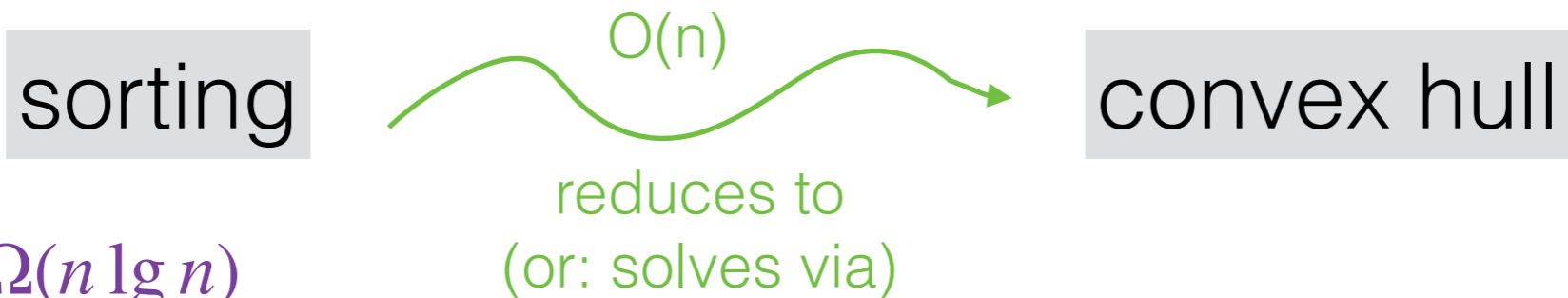
- Input: set of points x_1, x_2, \dots, x_n
 - Form a set of 2D points (x_i, x_i^2) .
 - Run the CH algorithm to construct their convex hull.
 - Find the lowest point on the hull, and walk from in ccw order. This is sorted order!



Analysis: runs in $O(\text{CH}(n)) + O(n)$

- This shows that CH is an upper bound for sorting, or $\text{Sorting} \leq \text{ConvexHull}$
- If we could find the CH faster than $\Theta(n \lg n)$, we could use it to sort faster than $\Theta(n \lg n)$, which is impossible!

Summary



sorting is $\Omega(n \lg n)$

We show that we can use ConvexHull to Sort: Let P be a set of values that need to be sorted. We'll show that there exists some instance of the CH problem that sorts P , and we can build this instance in $O(n)$ time

$$\text{Sort}(n) = O(n) + O(\text{Convex Hull}(n))$$

CH must be $\Omega(n \lg n)$

Sorting reduces to CH

- What we actually proved is that
 - Any CH algorithm **that produces the boundary in order** must take $\Omega(n \lg n)$ in the worst case.
- If we did not want the boundary in order, can the CH be constructed faster?
 - It was an open problem for a while
 - Finally, it was established quite recently that a convex hull algorithm, **even if it does not produce the boundary in order**, still needs $\Omega(n \lg n)$ in the worst case

- Yes, Graham scan is the ultimate CH algorithm but...
 - not output sensitive
 - does not extend to 3D
- The (re)search continues

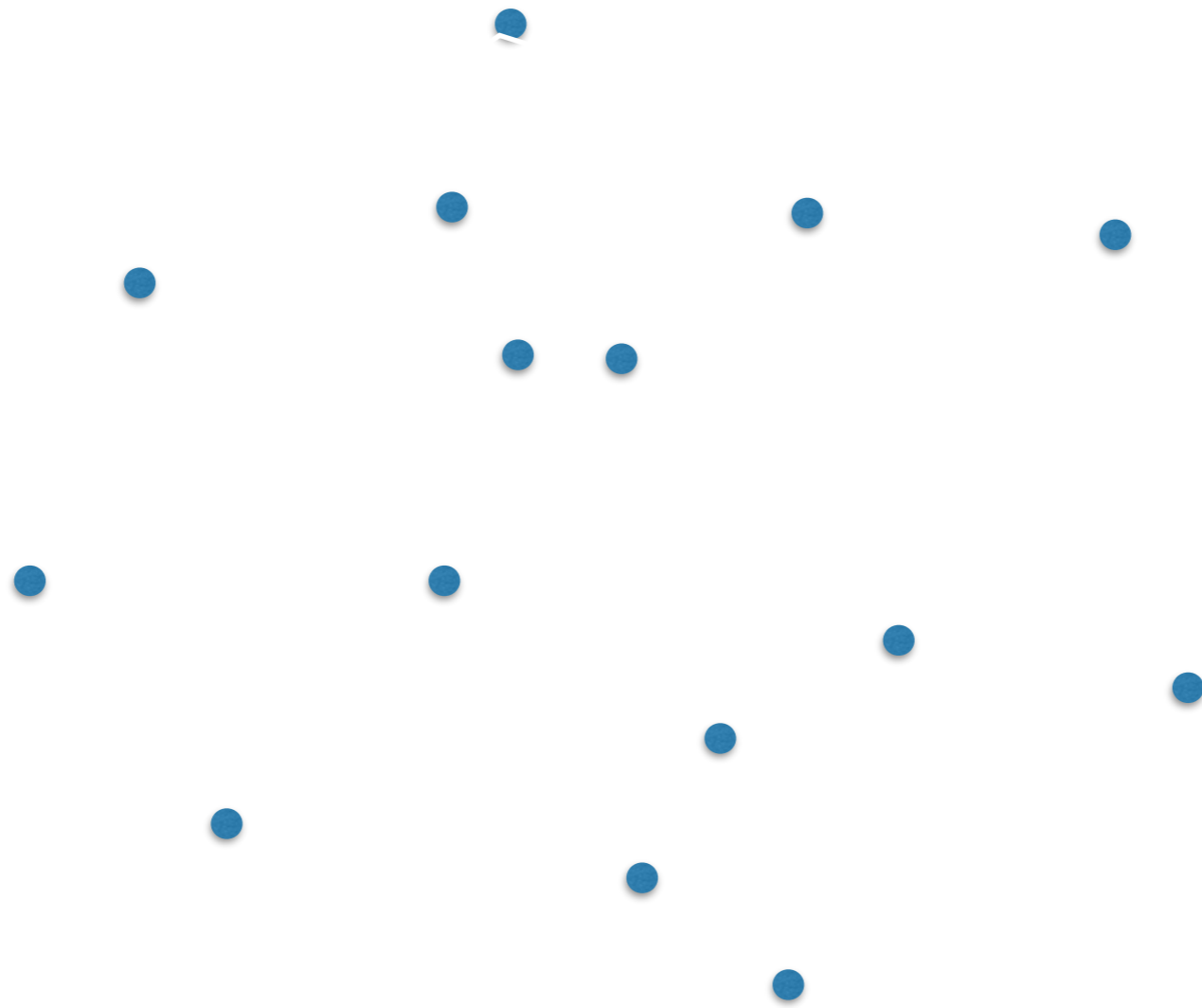
An incremental algorithm for CH

Incremental algorithms

- Goal: solve problem P
- Idea: traverse points one at a time and solve the problem for points seen so far
- Incremental Algorithm
 - initialize solution S
 - for $i=1$ to n
 - //S represents solution of p_1, \dots, p_{i-1}
 - update S to represent solution of p_1, \dots, p_{i-1}, p_i

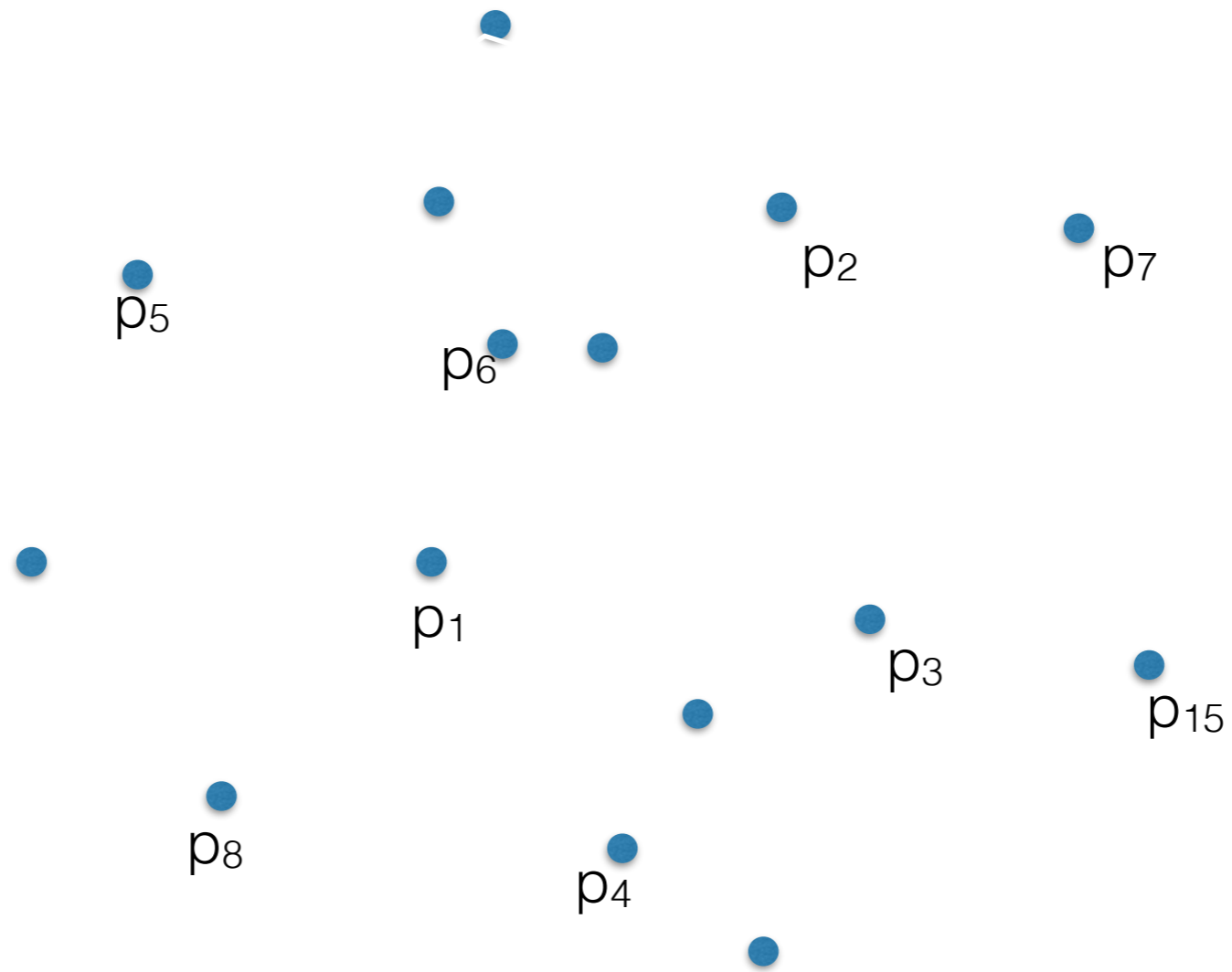
Incremental algo for CH

- $CH = \{\}$
- for $i=1$ to n
 - //CH represents the CH of $p_1..p_{i-1}$
 - update CH to represent the CH of $p_1..p_i$



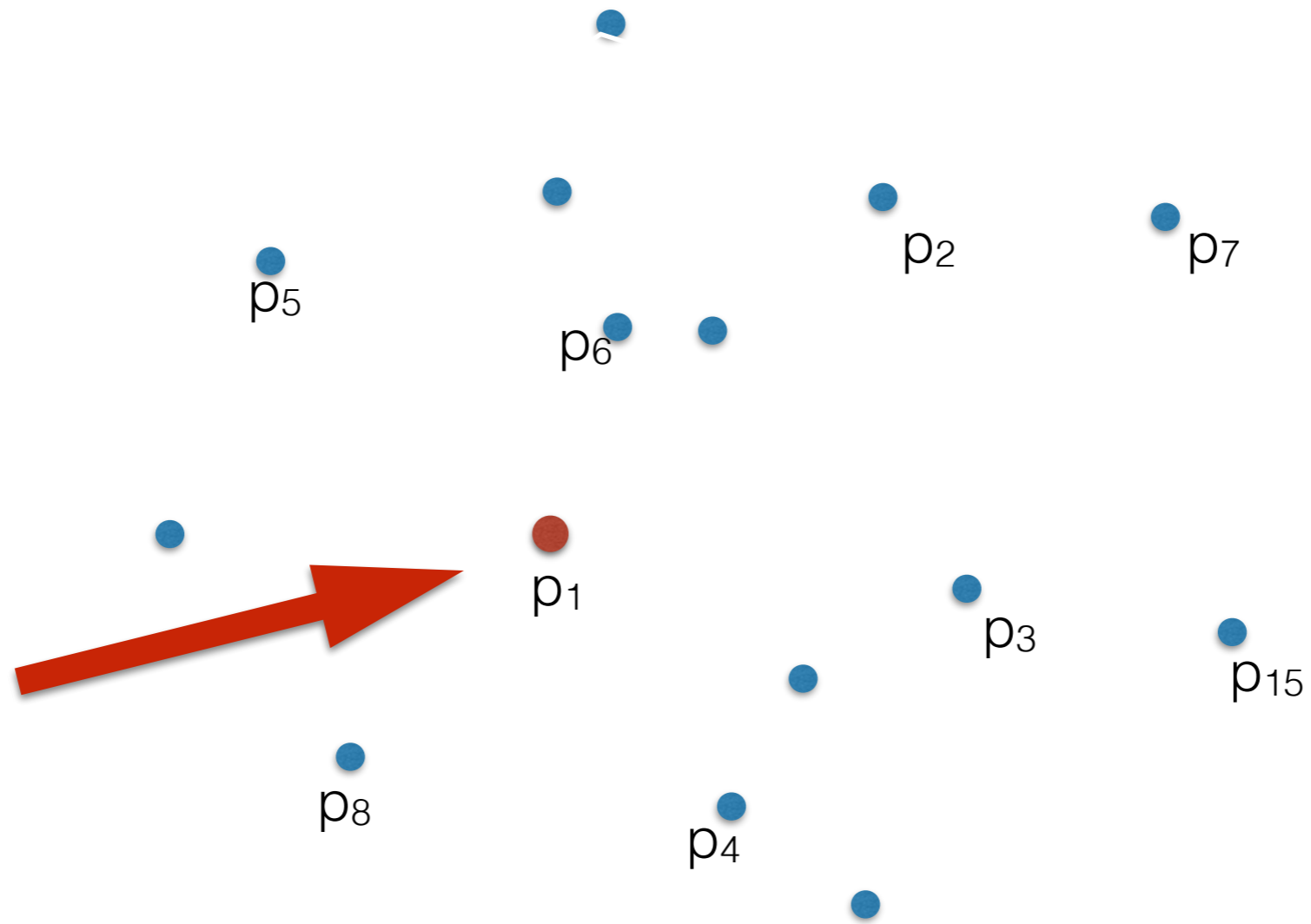
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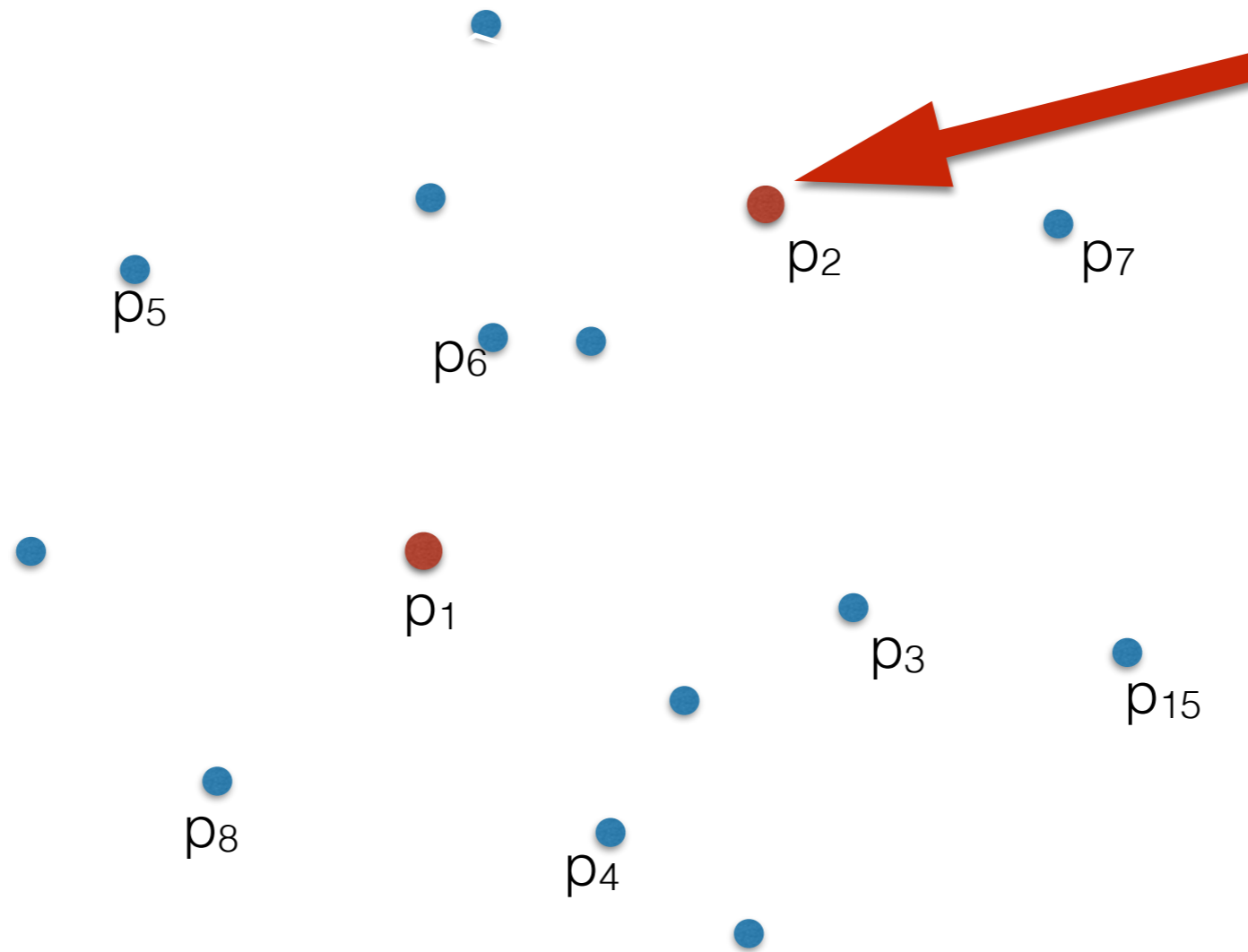
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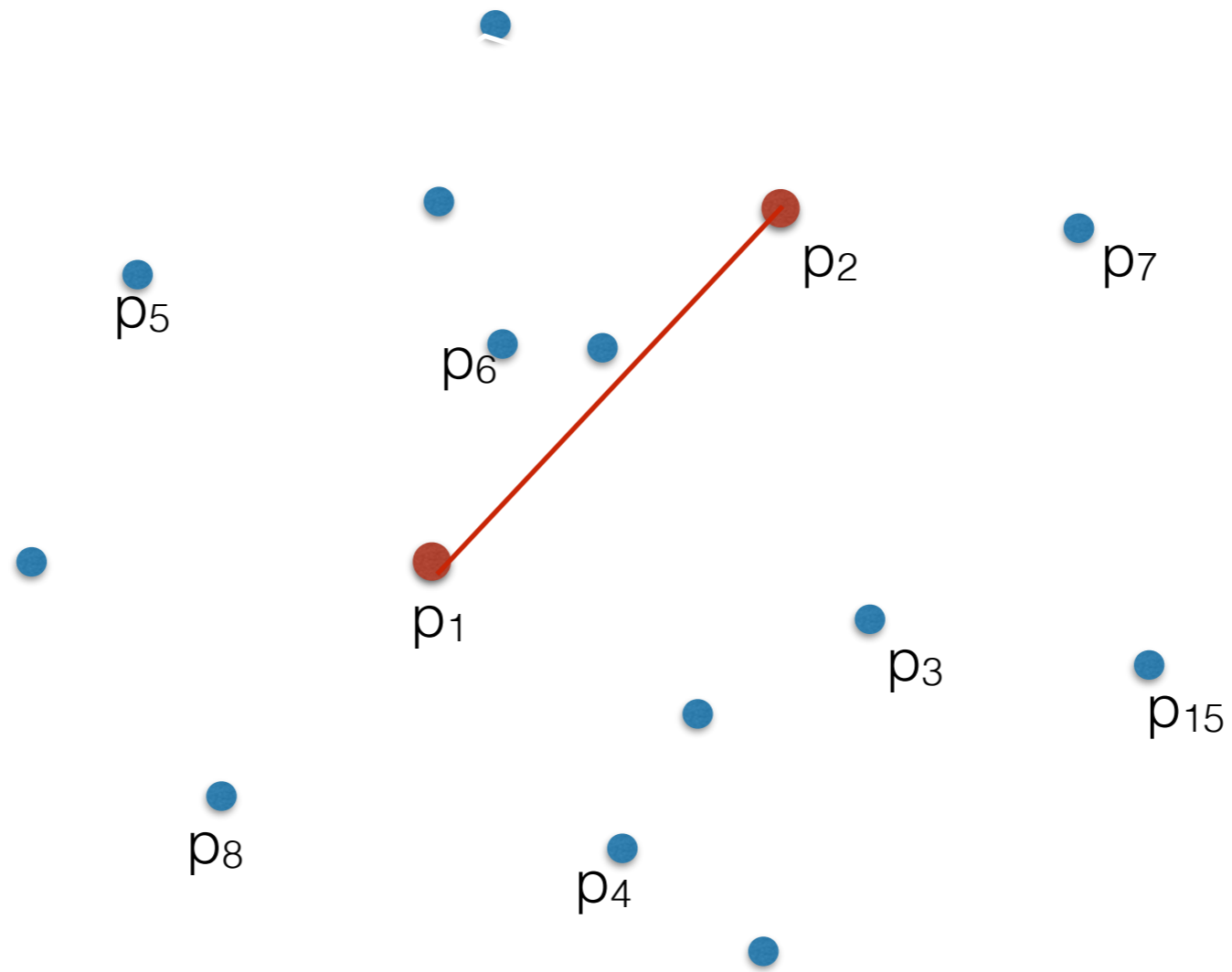
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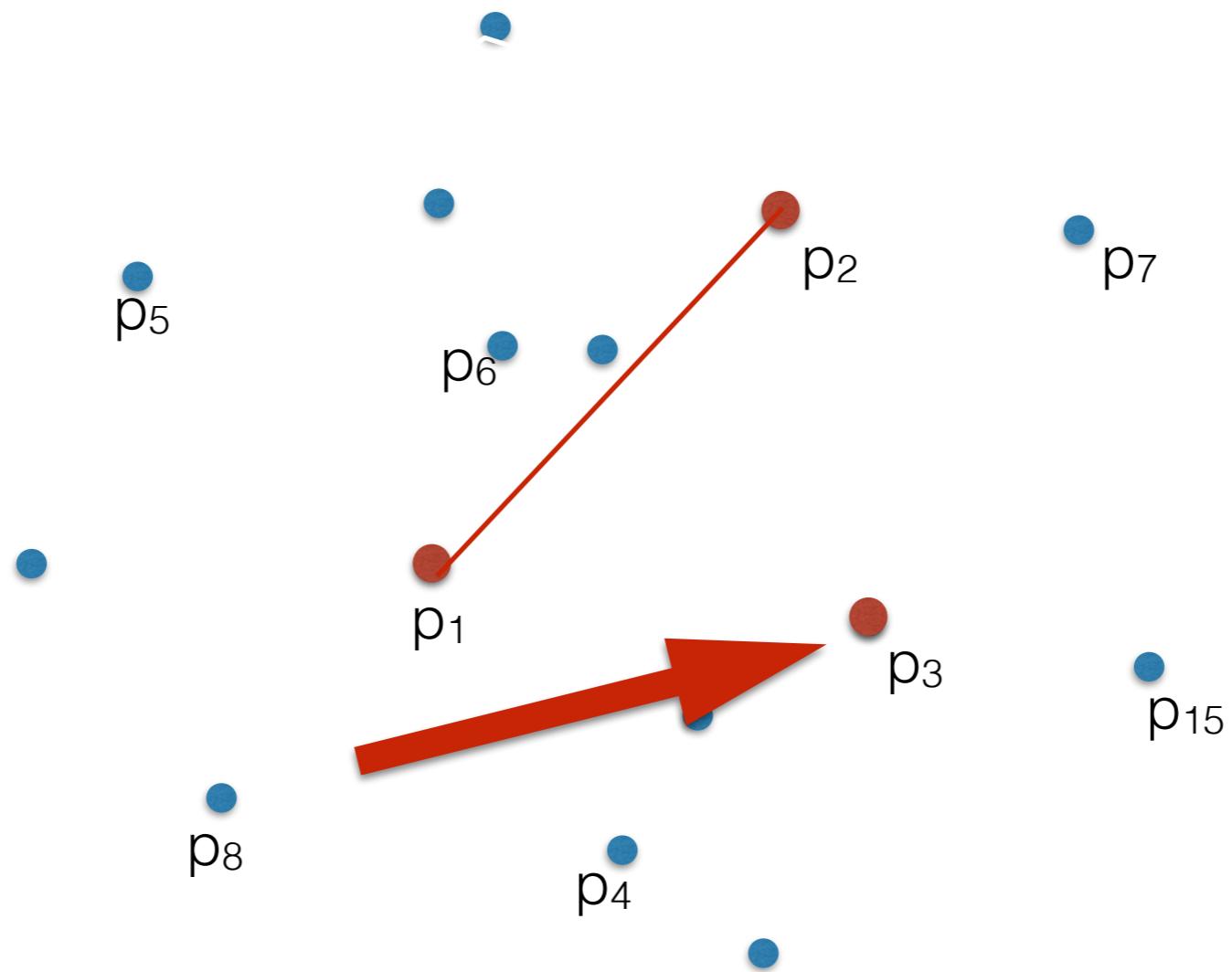
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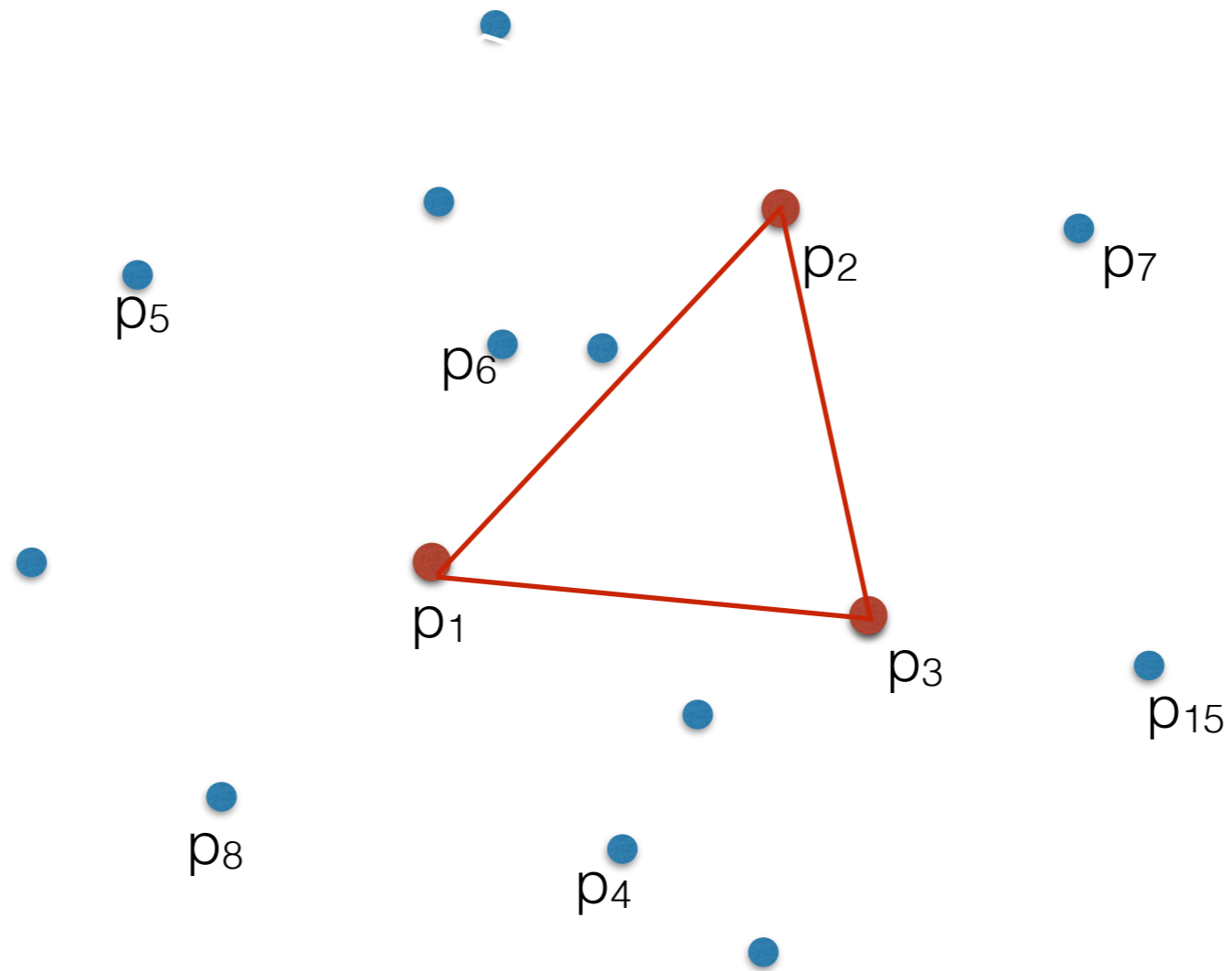
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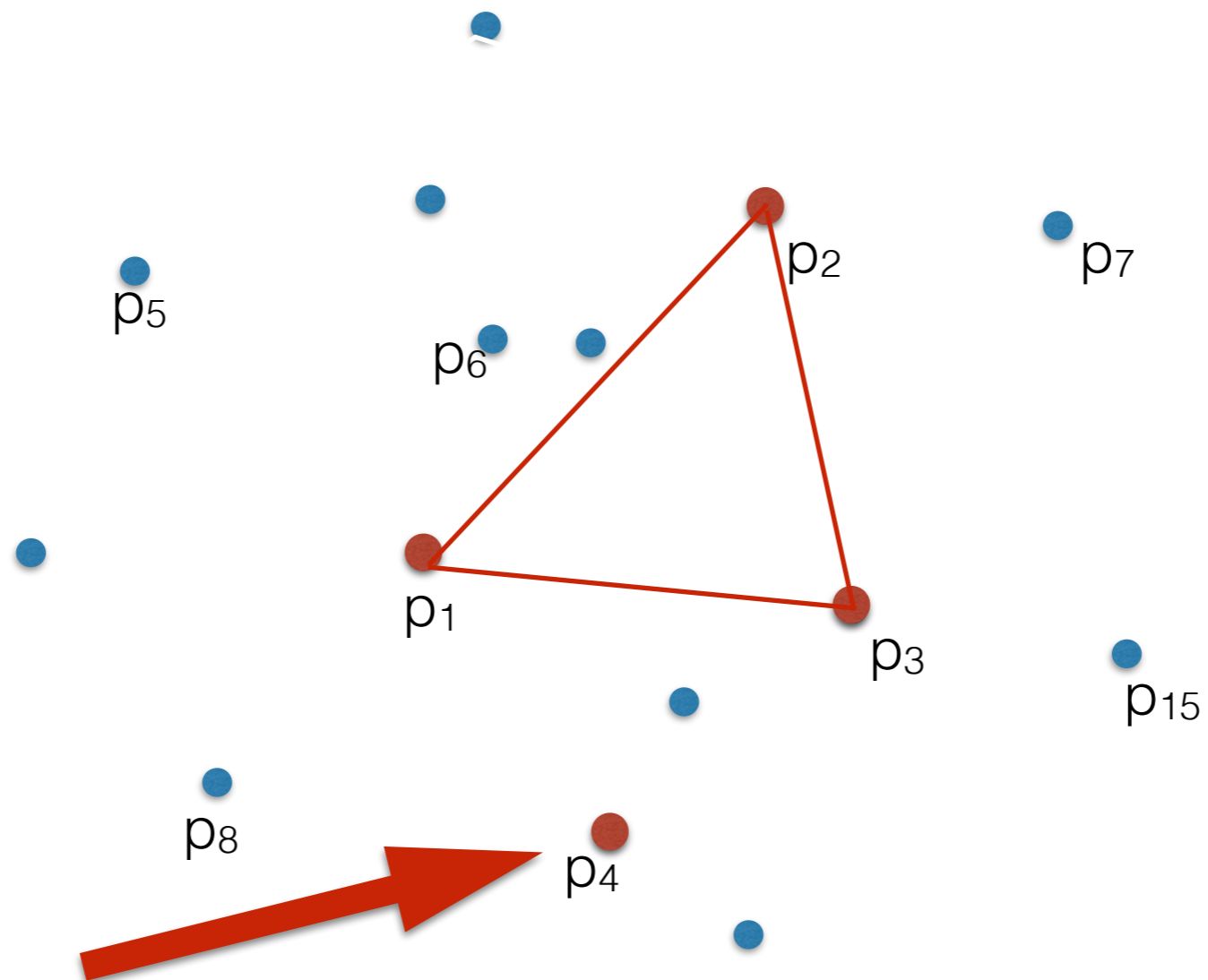
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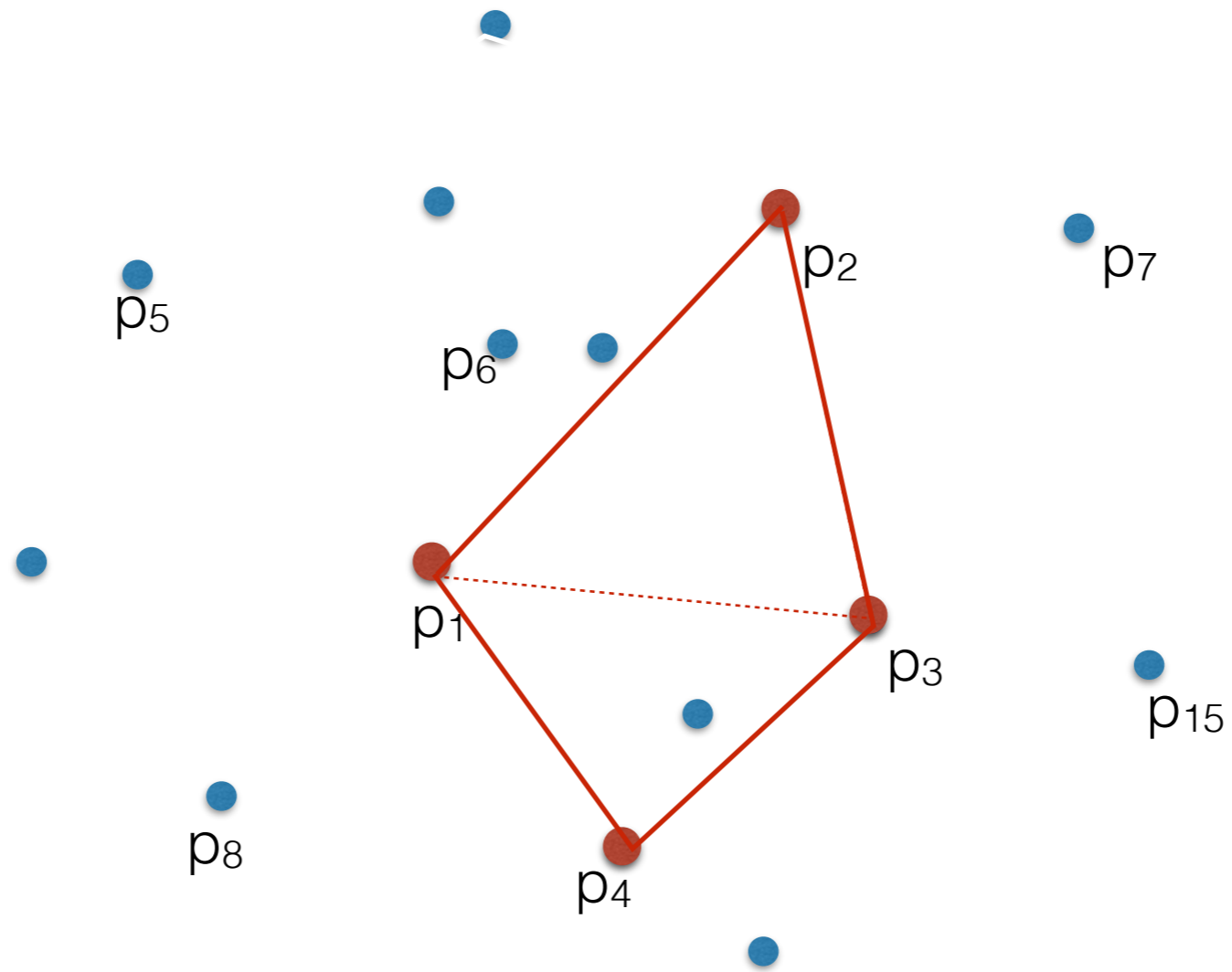
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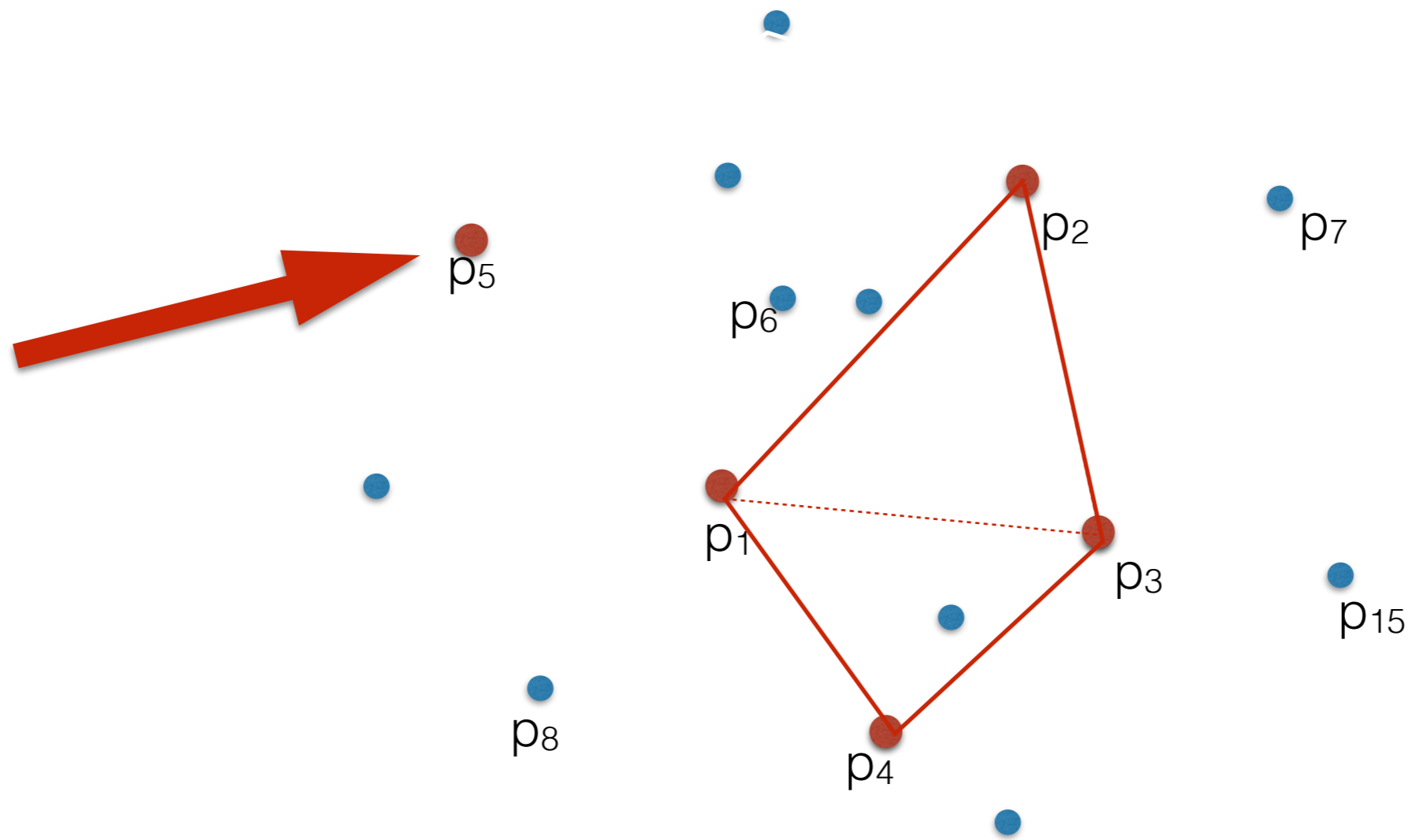
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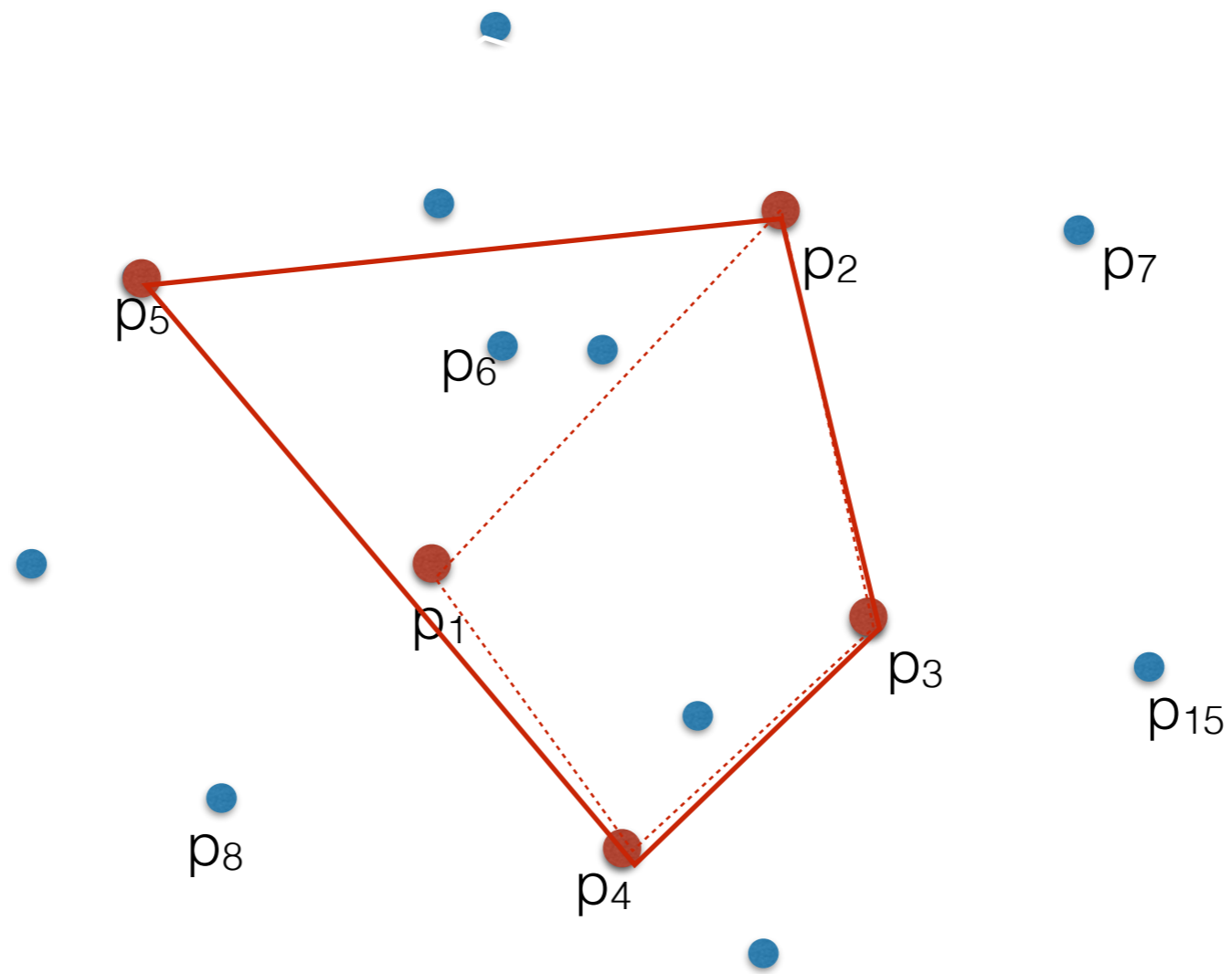
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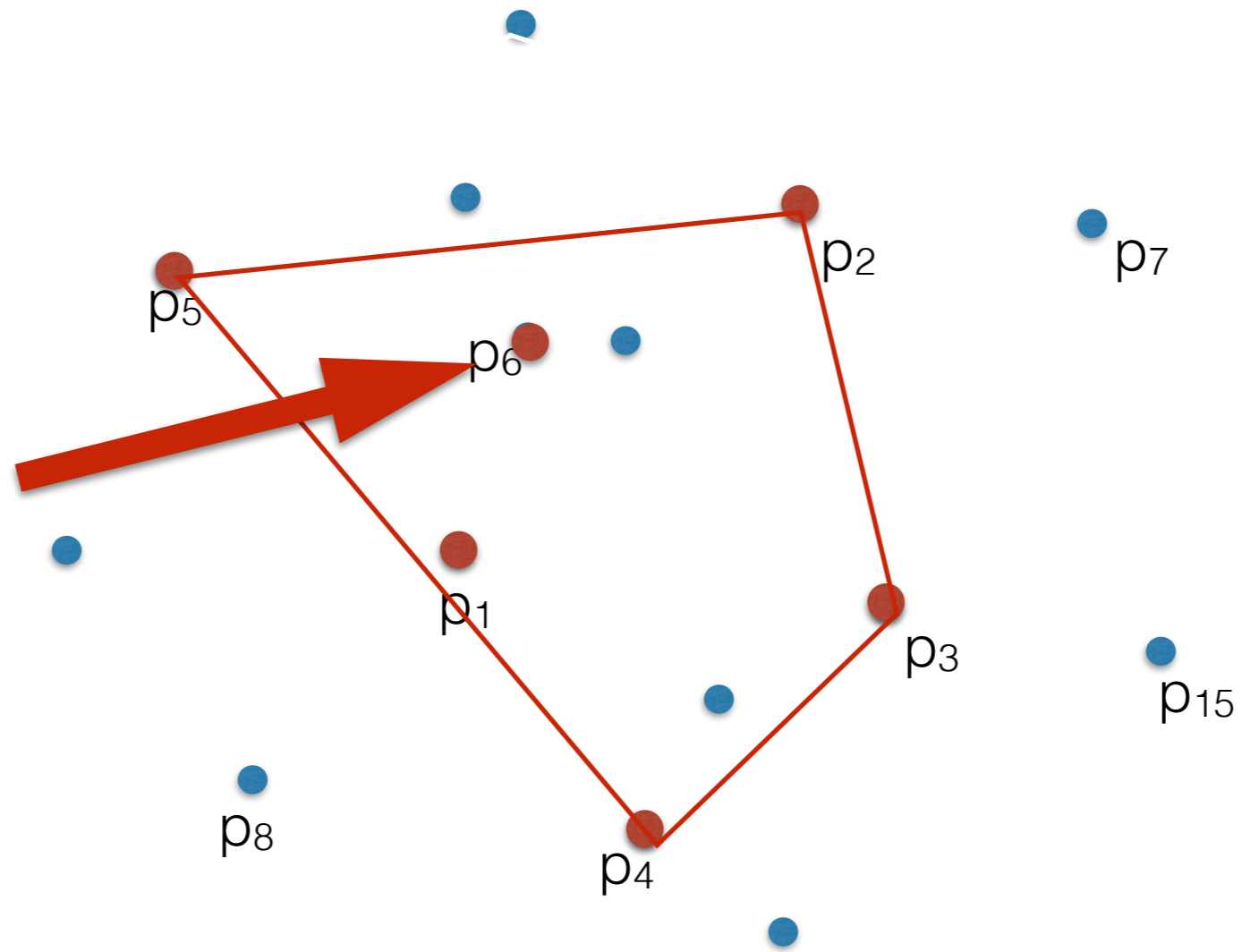
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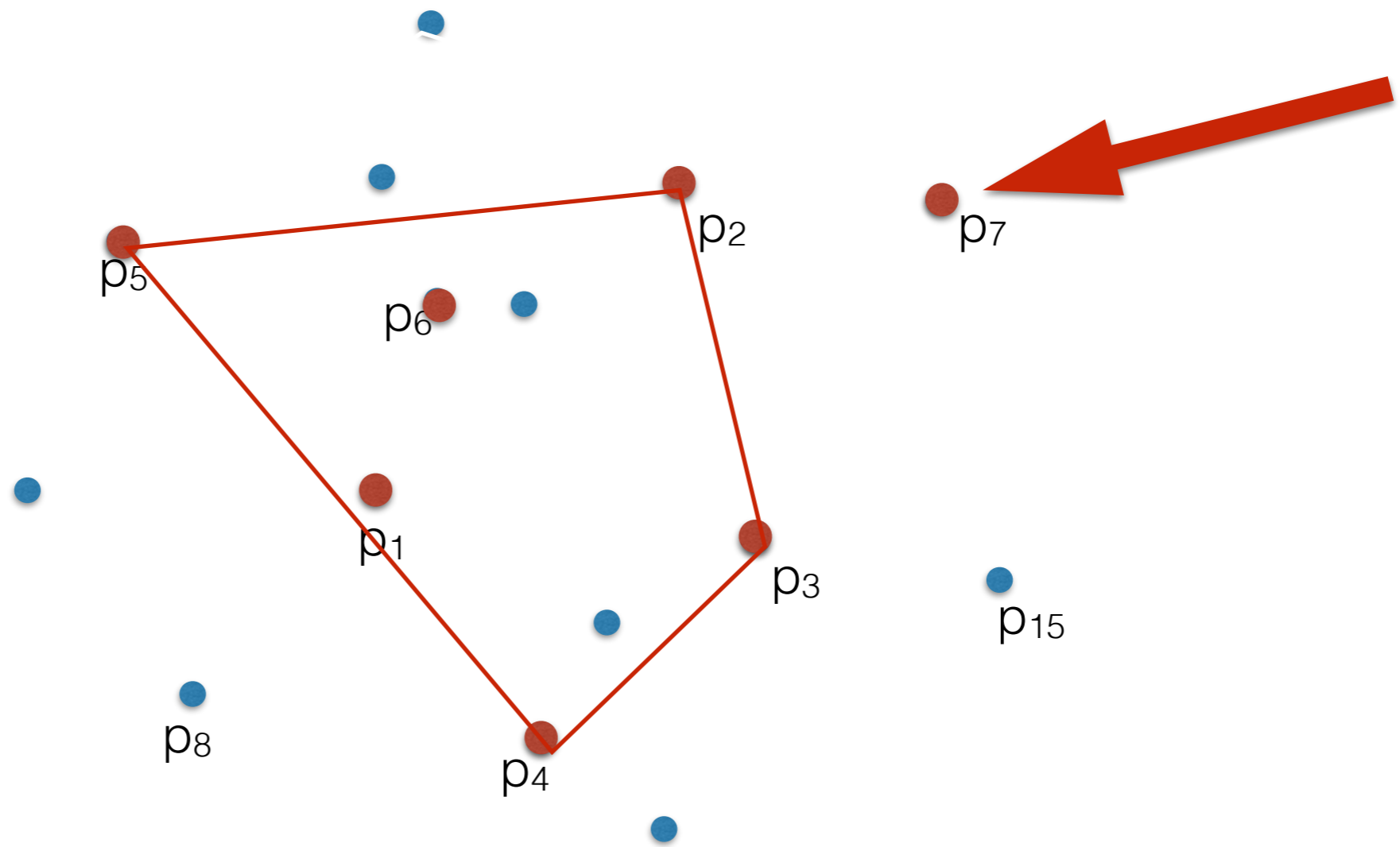
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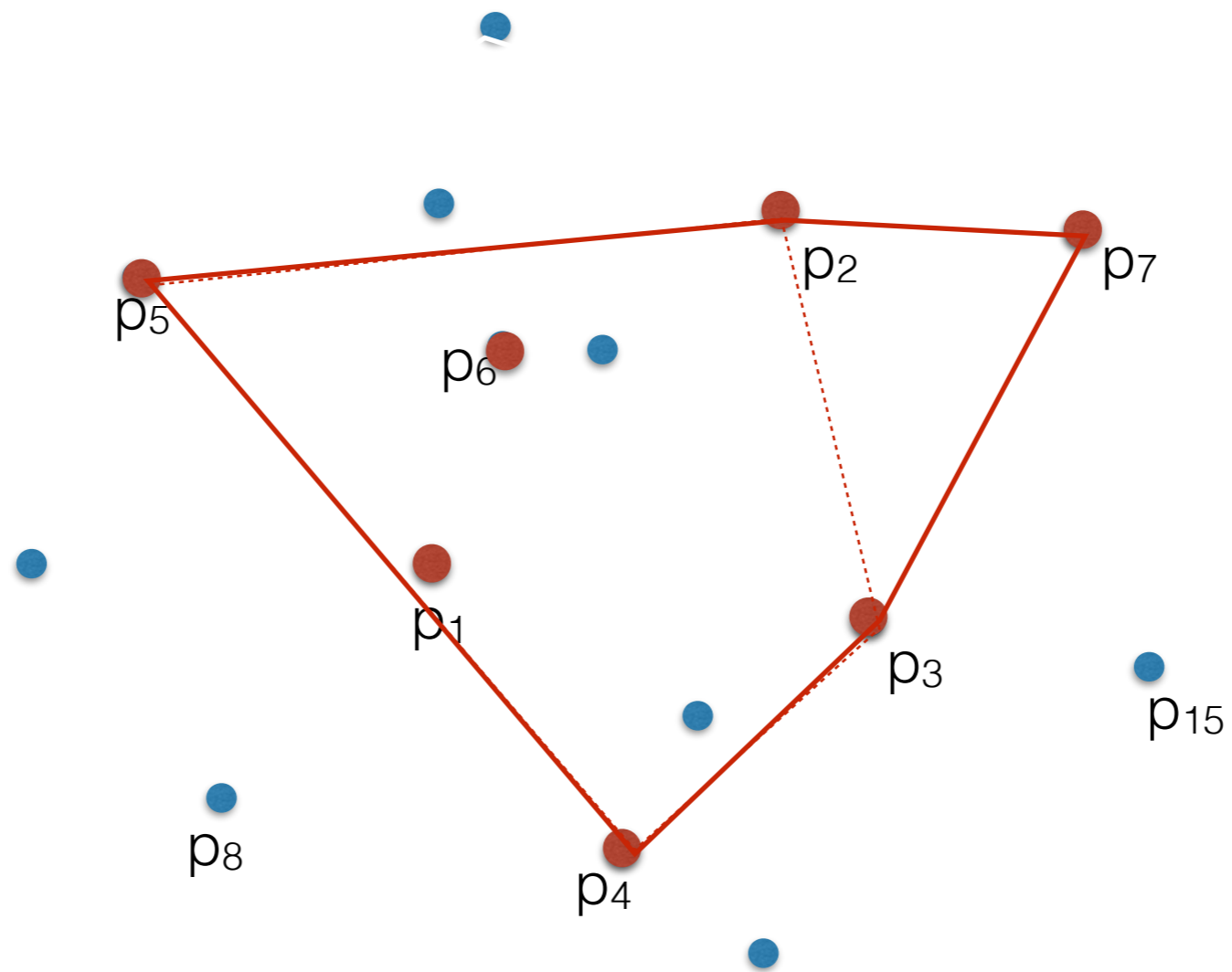
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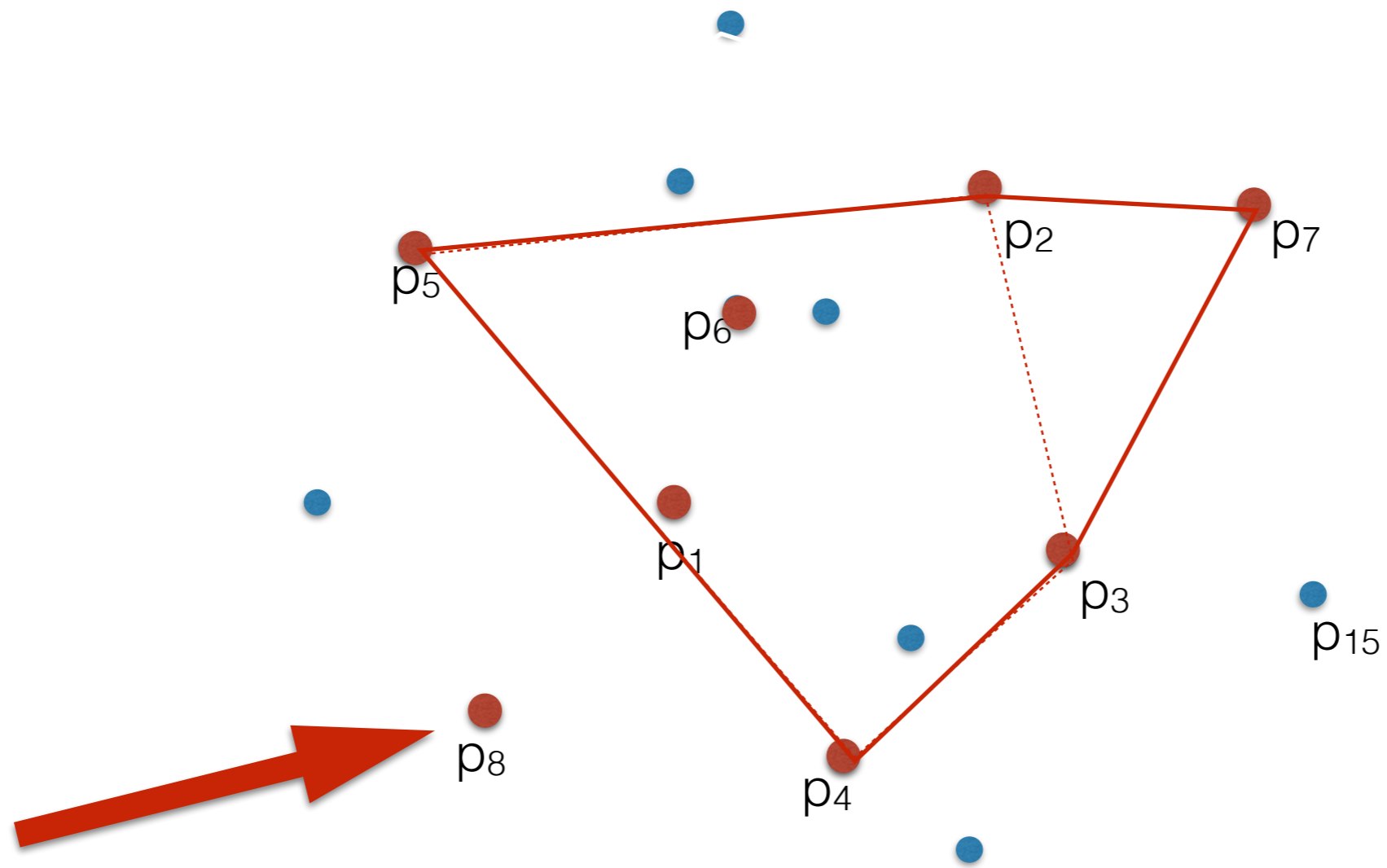
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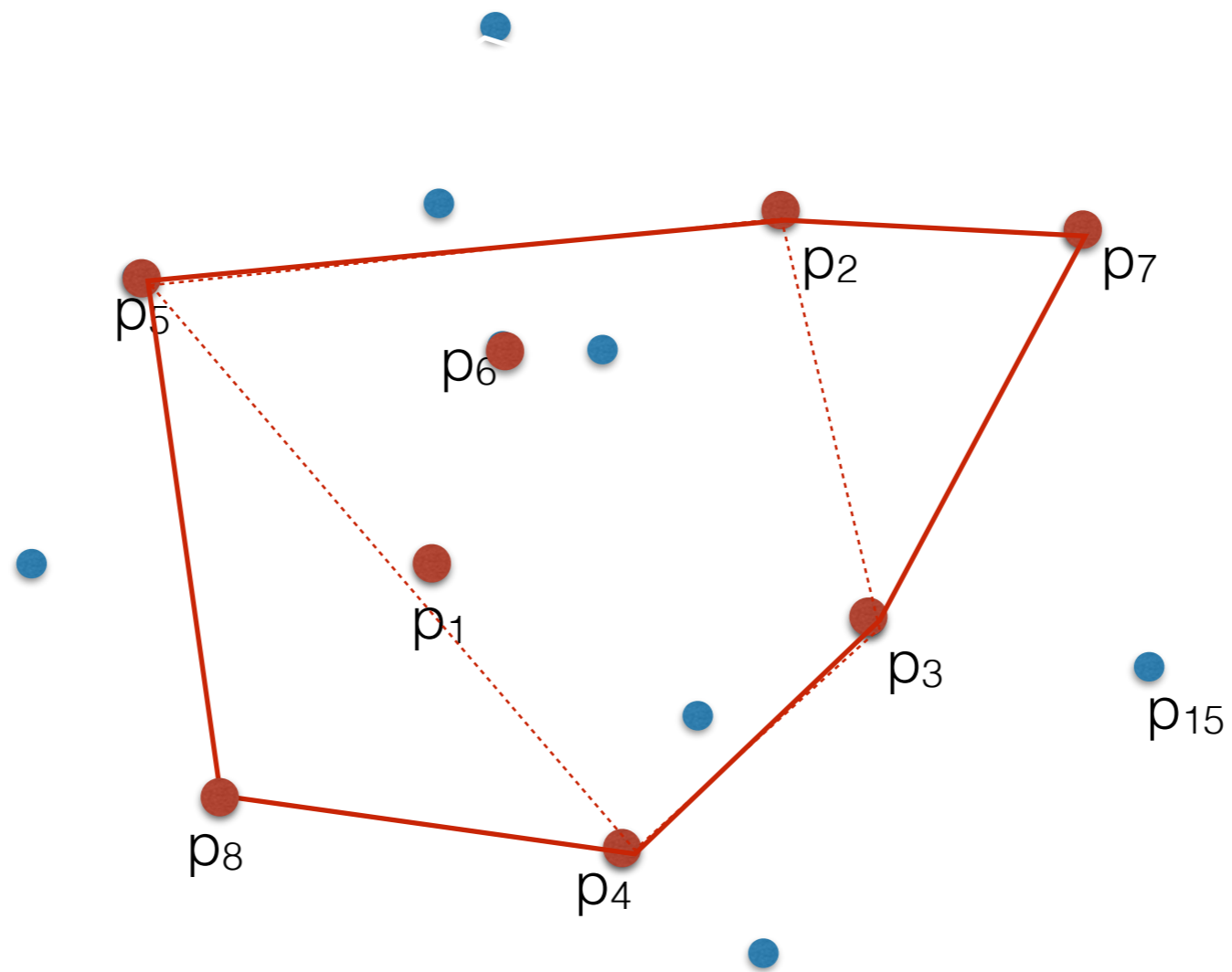
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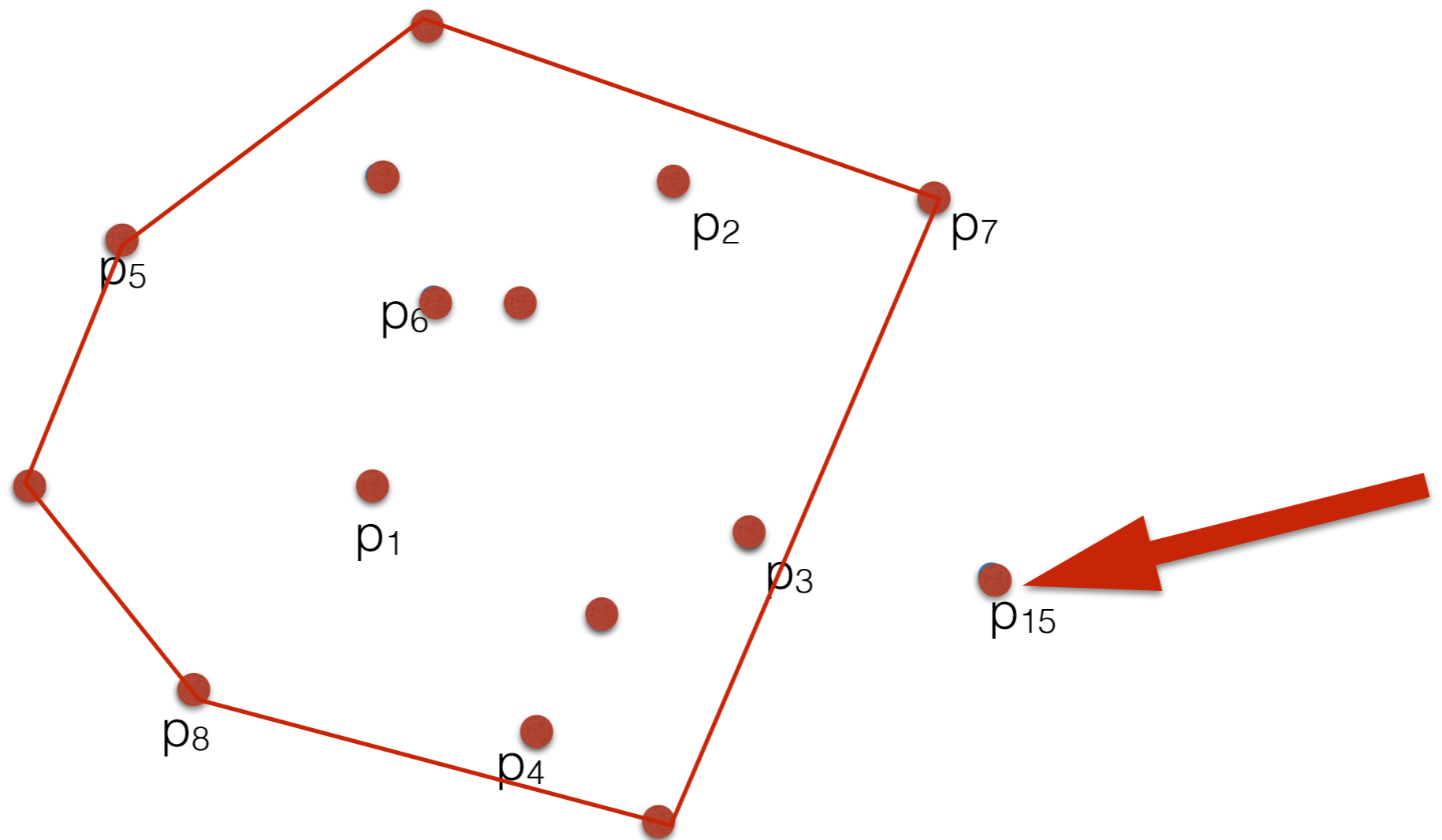
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Incremental algo for CH

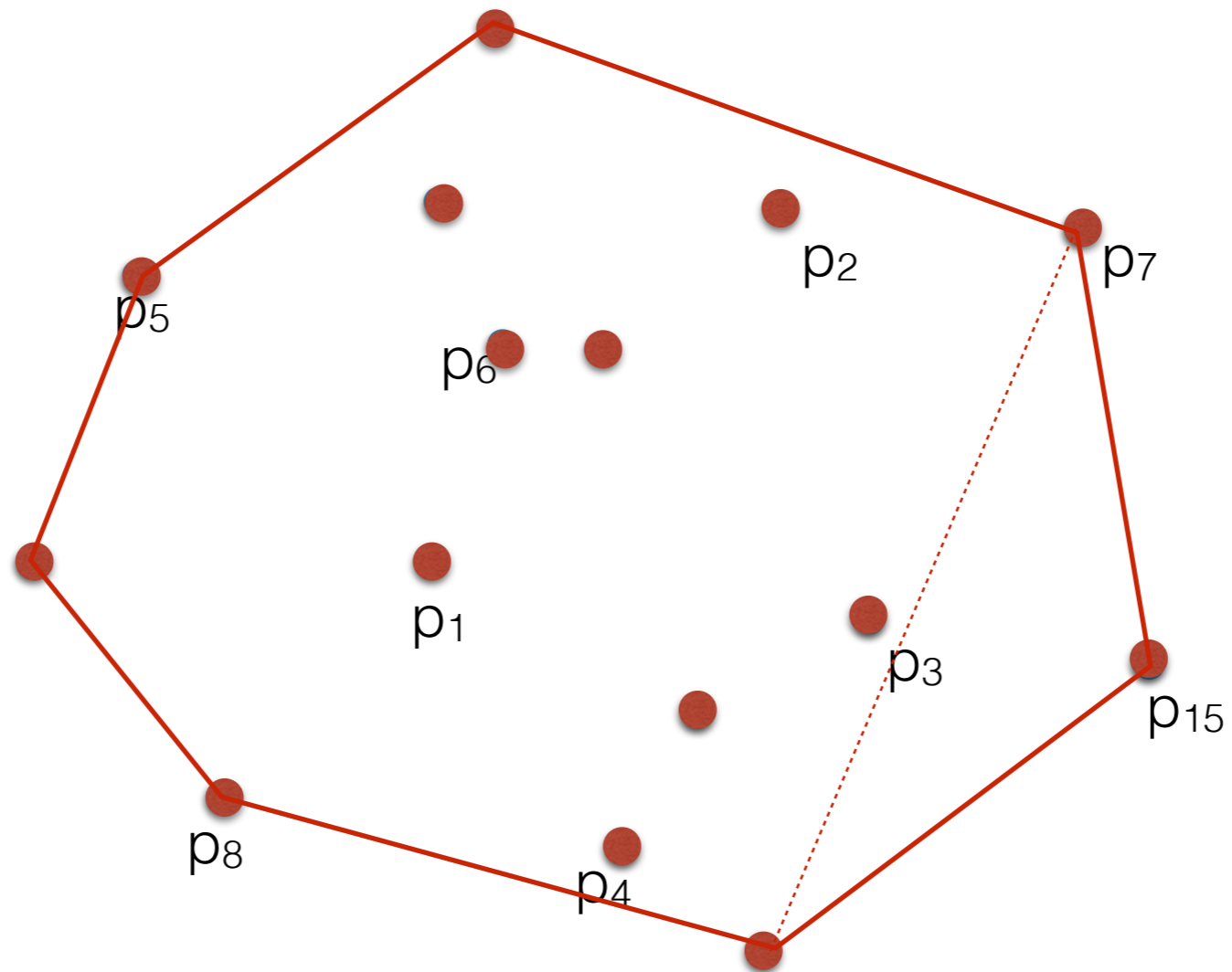
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and so on



Incremental algo for CH

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- The basic operation is adding a point to a convex polygon
 - CASE 1: p is in polygon
 - CASE 2: p outside polygon

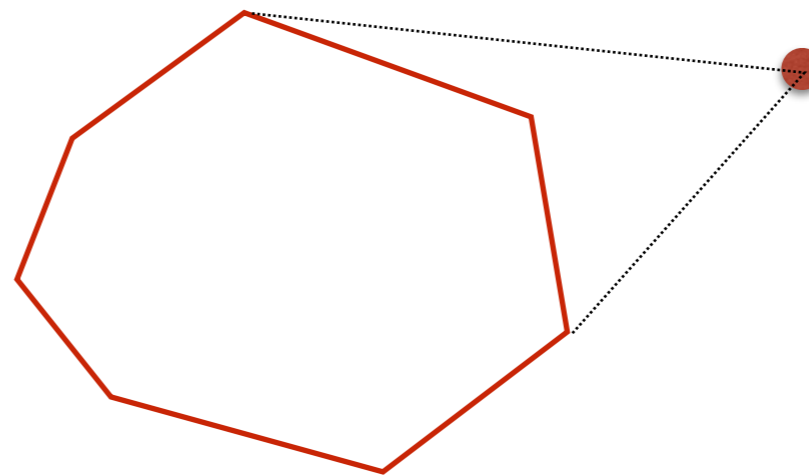
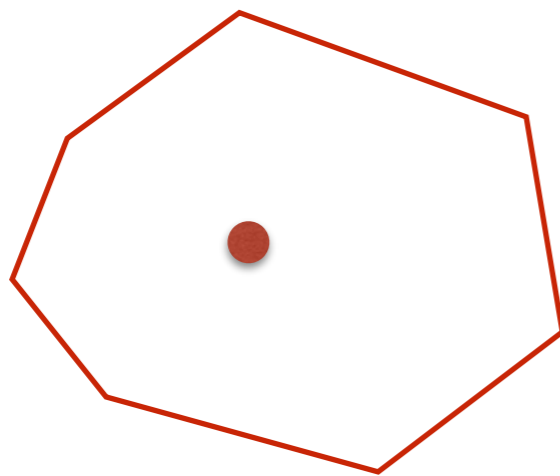
How do you handle each case?

- Class work: Pick a set of points, simulate the incremental approach, and try to answer the question: how do you handle each case?

Incremental algo for CH

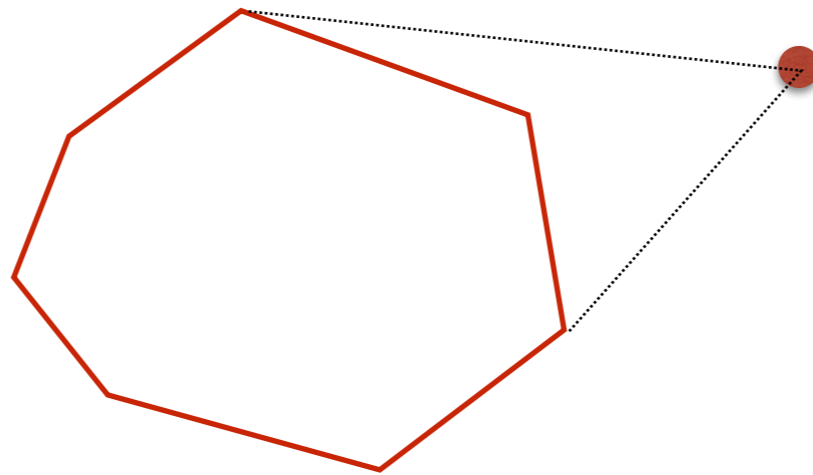
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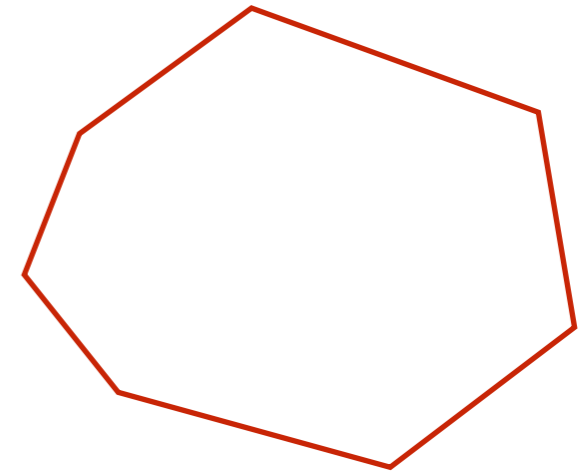
Incremental algo for CH

- Issues to solve
 - What's a good representation for a (convex) polygon?
 - We need a point-in-convex-polygon test
 - How to handle CASE 2 ?



Representing a polygon

A polygon is represented as a list of vertices in boundary order.
(the convention is counter-clockwise order)

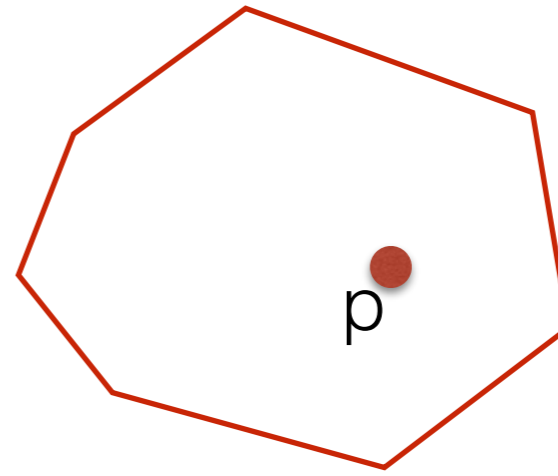


```
typedef struct _polygon{  
    int k; //number of vertices  
    Point* vertices; //the vertices, ccw in boundary order  
} Polygon;
```

or

```
Vector<Point>          //note: the vertices, ccw in boundary order
```

Point in convex polygon

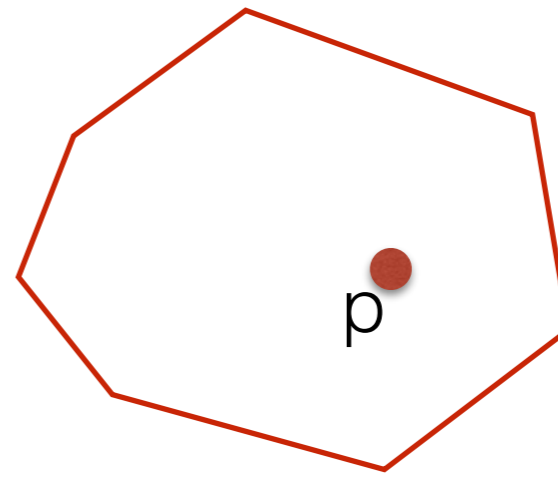


//return TRUE iff p on the boundary or inside H; H is convex a polygon

bool point_in_polygon(point p, polygon H)

What has to be true in order for p to be inside?

Point in convex polygon



```
//return TRUE iff p on the boundary or inside H; H is convex a polygon
```

```
bool point_in_convex_polygon(point p, polygon H)
```

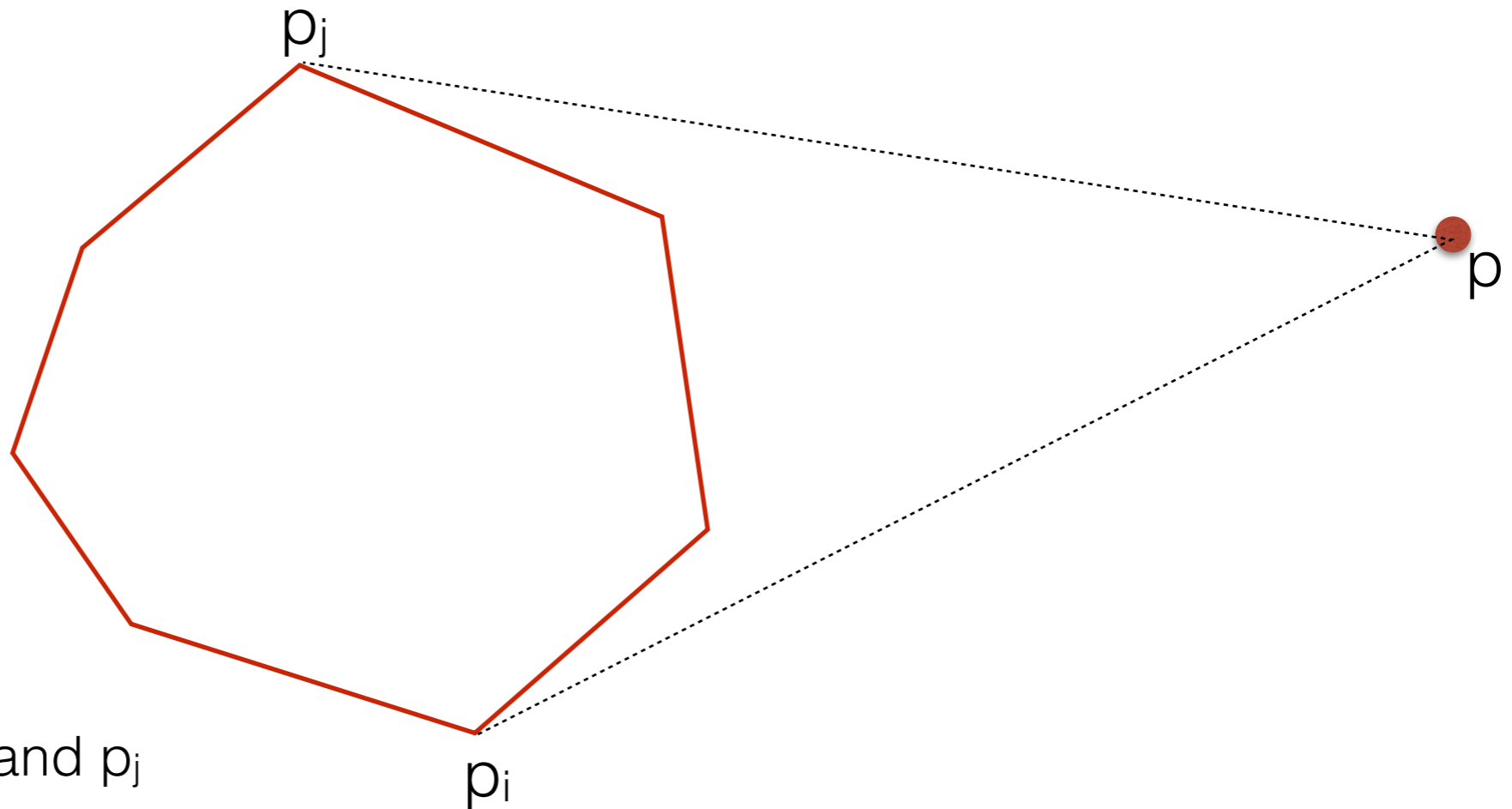
```
//p is inside if and only if it is on or to the left of all edges, oriented ccw
```

```
//note: this is NOT true for a non-convex polygon – can you show a
```

```
//counter-example?
```

Analysis: $O(k)$ where k is the size of the polygon

Case 2:

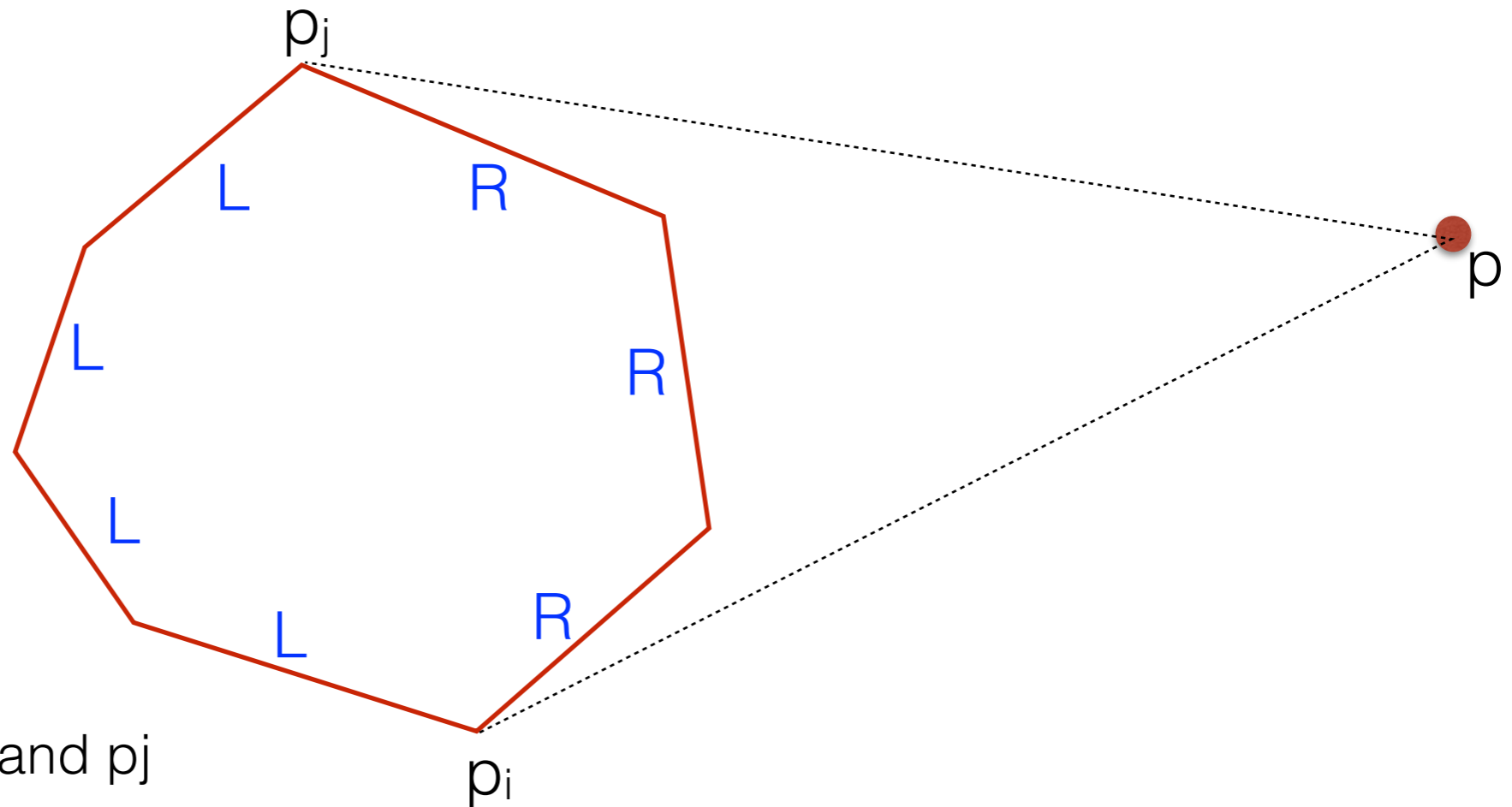


We want to find p_i and p_j

IDEAS?

Hint: Check the orientation of p wrt the edges of the polygon.

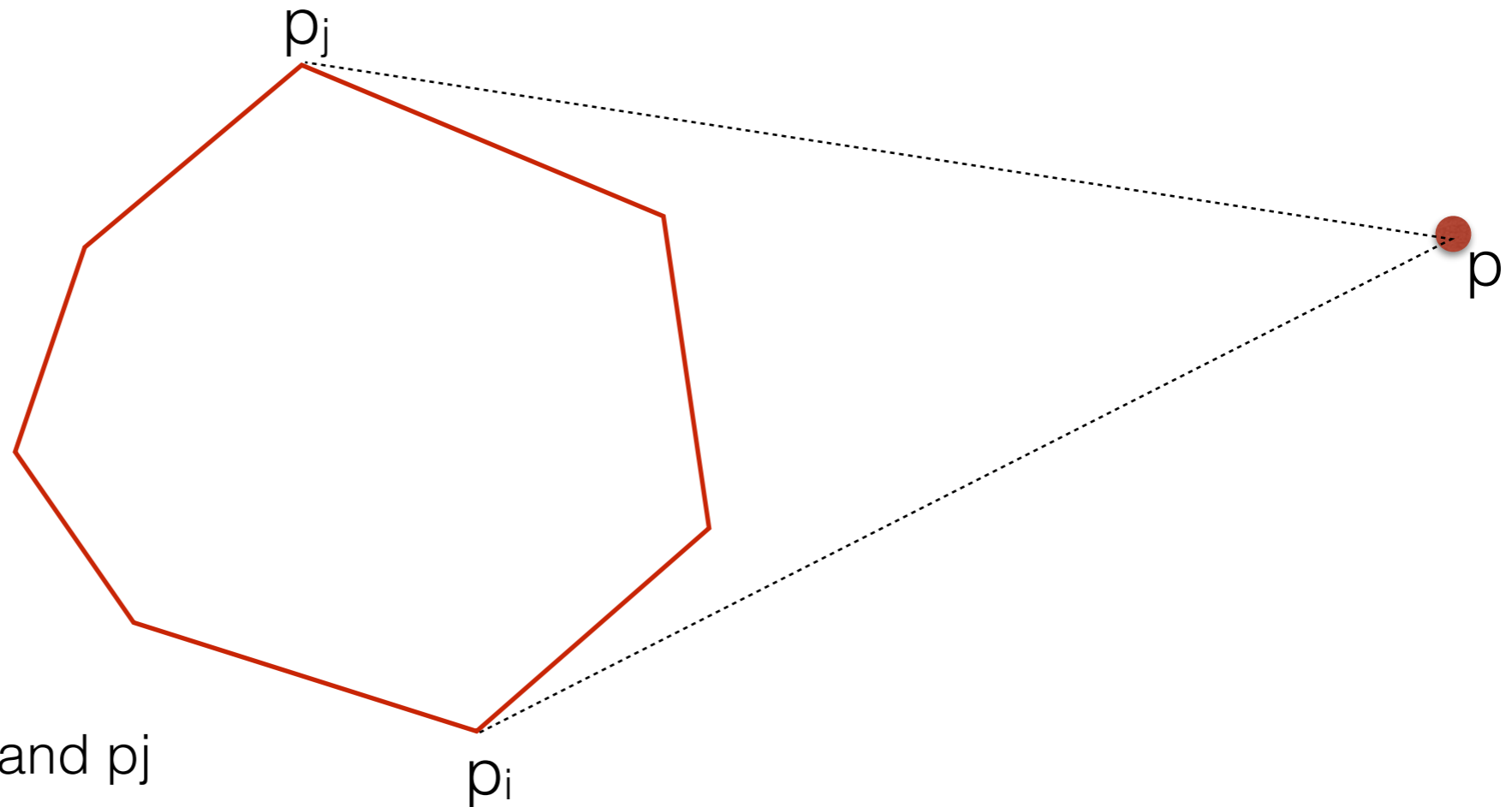
Case 2:



We want to find p_i and p_j

Hint: Check the orientation of p wrt the edges of the polygon.

Case 2:



We want to find p_i and p_j

What do you notice? How can we use this to find the tangent points? Sketch an algorithm. How long does it take?

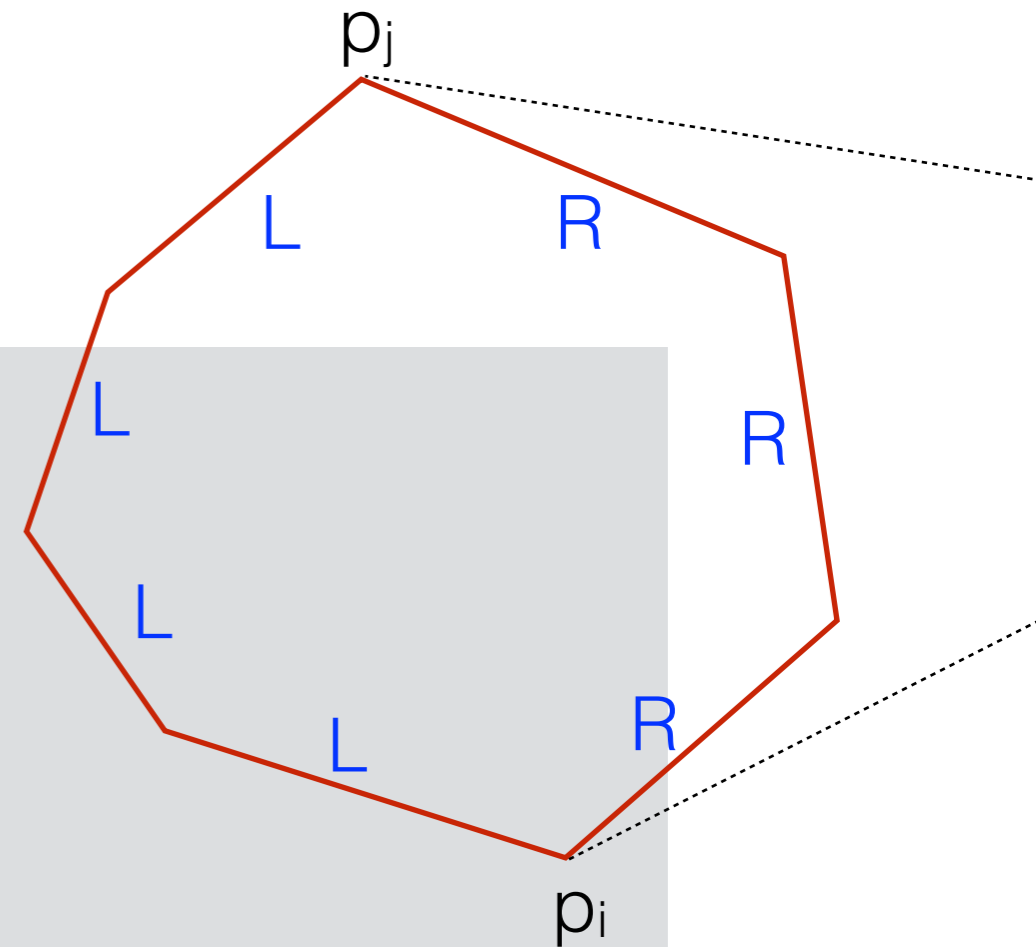
Hint: Check the orientation of p wrt the edges of the polygon.

Finding tangent points

Input: point p outside H

polygon $H = [p_0, p_1, \dots, p_{k-1}]$ convex

- for $i=0$ to $k-1$ do
 - $prev = ((i == 0)? k-1: i-1);$
 - $next = (i==k-1)? 0; k+1);$
 - if XOR (p is left-or-on (p_{prev}, p_i) , p is left-or-on (p_i, p_{next}))
 - then: p_i is a tangent point



Putting it all together

Incremental CH

- $H = [p_1, p_2, p_3]$
- for $i=4$ to n do
 - //add p_i to H
 - if `point_in_polygon(p_i , H)`
 - //do nothing
 - else
 - find p_k the tangent point where orientation changes from L to R
 - find p_j the tangent point where orientation changes from R to L
 - cut out the part from p_k to p_j in H (note: p_k not necessarily before p_j in the vertex array of H . view H as wrapping around)

Incremental CH

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Simulate the algorithm on a couple of examples.
Think how p_i could come before p_j in H or the other way around.

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Analysis:

Incremental CH

- $H = [p_1, p_2, p_3]$
- for $i=4$ to n do
 - //add p_i to H
 - if $\text{point_in_polygon}(p_i, H)$ ← $O(i)$
 - //do nothing
 - else
 - find p_k the tangent point where orientation changes from L to R ← $O(i)$
 - find p_j the tangent point where orientation changes from R to L
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Analysis: $\sum_i O(i) = \Theta(n^2)$

Incremental CH

- Improvement: pre-sort the points by their x-coordinates and add them in this order. What happens?

Incremental CH

- Improvement: pre-sort the points by their x-coordinates and add them in this order. What happens?
 - point p_i is to the right of p_{i-1} , so it will be outside $CH\{p_1, p_2, \dots, p_{i-1}\}$
 - No need to check!

- pre-sort the points by their x-coordinates. Let $H = [p_1, p_2, p_3]$
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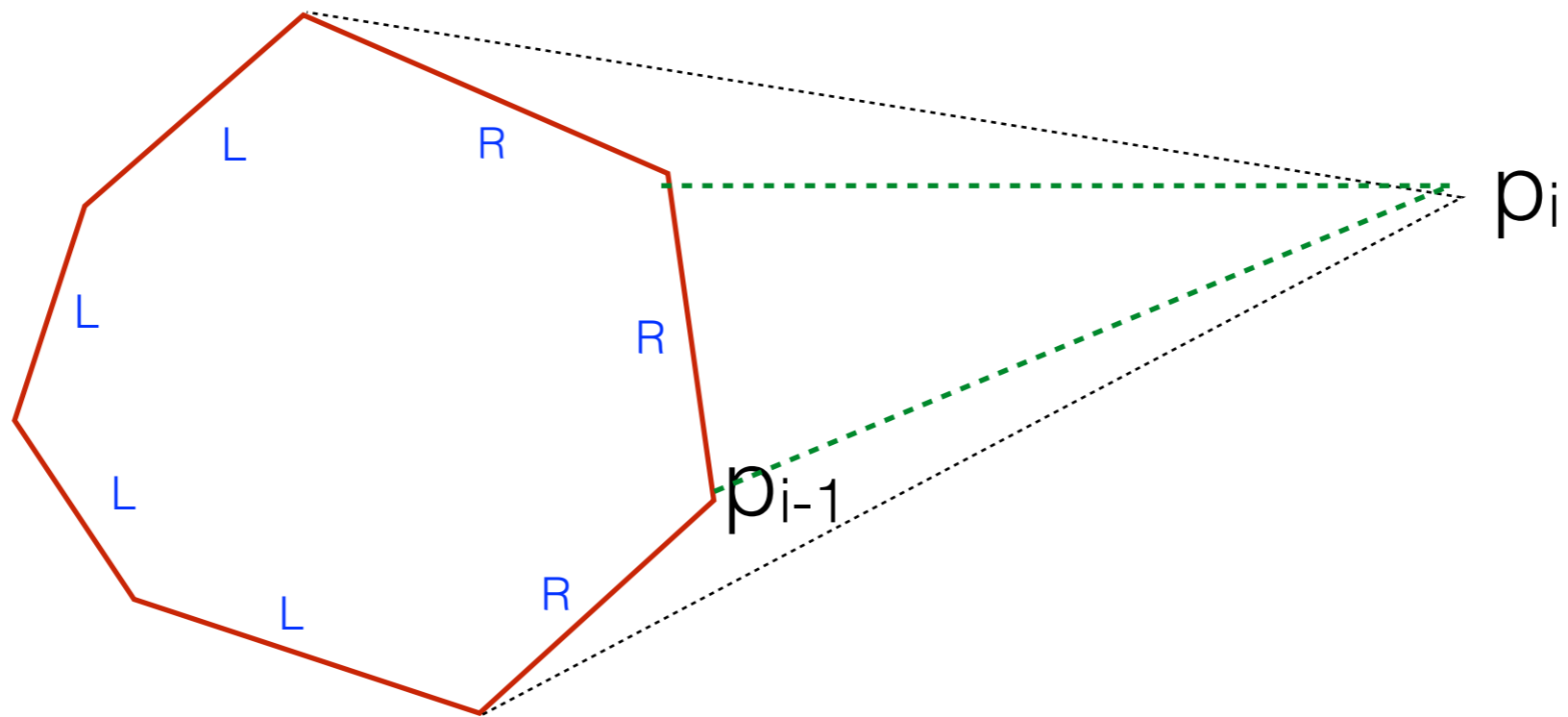
Incremental CH

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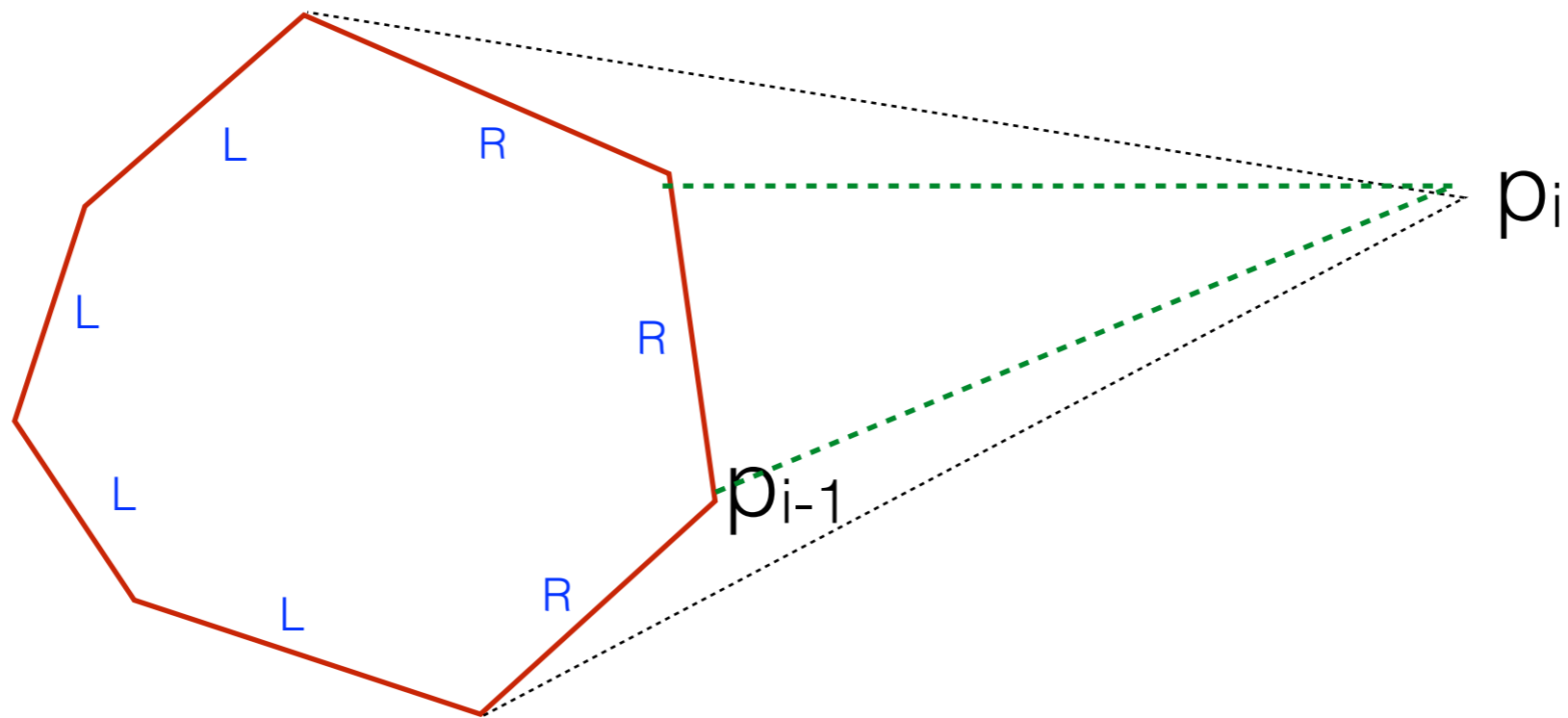
How do we make this run in $O(n)$ once sorted?

Incremental CH



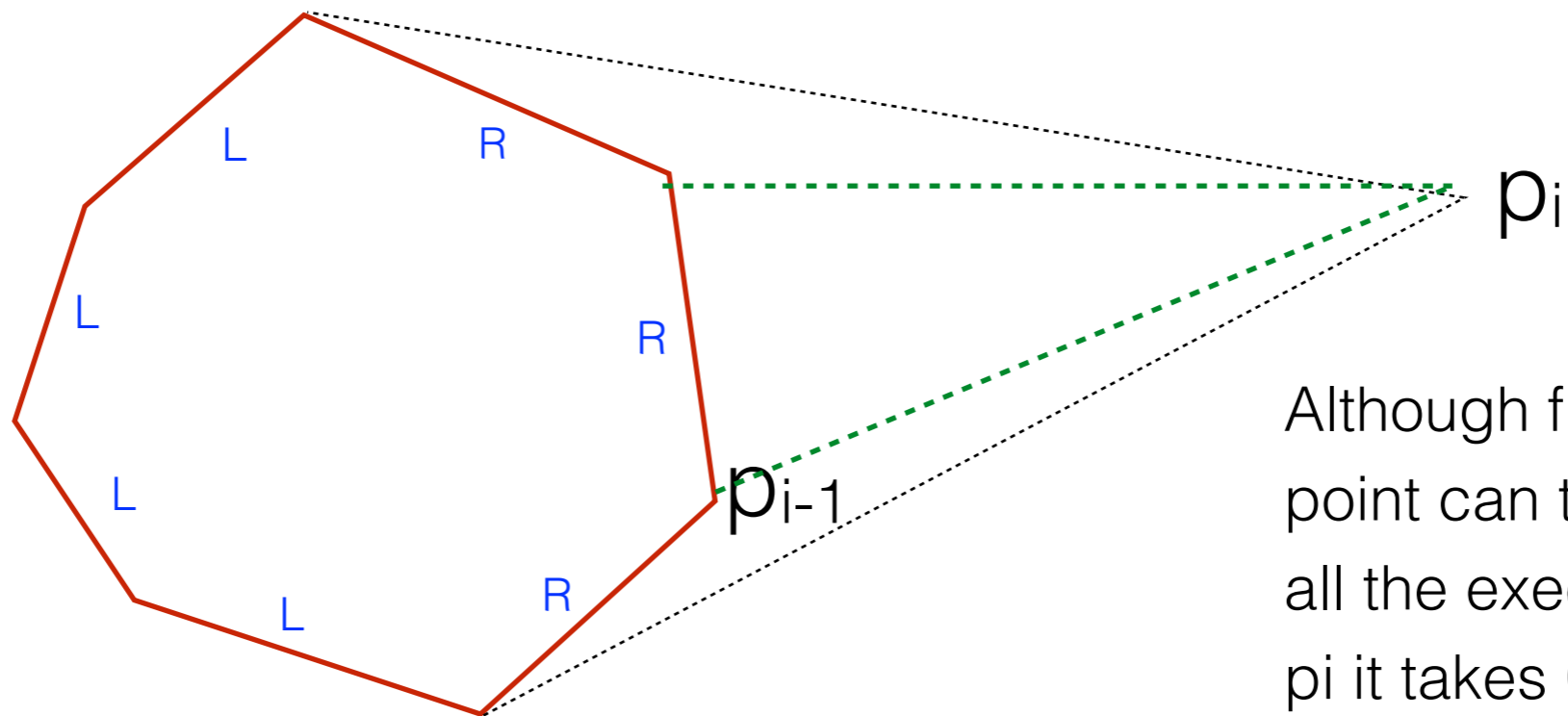
Finding tangent points of p_i to the hull H of $\{p_1, p_2, \dots, p_{i-1}\}$

- find vertex p_{i-1} on H
- $v = p_{i-1}$
- while point p_i lies to the right of $(v, \text{succ}(v))$: $v = \text{succ}(v)$
- v is the upper tangent point
- find lower tangent point analogously



Finding tangent points of p_i to the hull H of $\{p_1, p_2, \dots, p_{i-1}\}$

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Although finding a tangent point can take $O(n)$, over all the executions over all p_i it takes $O(n)$

Theorem: Incremental CH (in 2D) takes $O(n \lg n)$ to sort the points followed by $O(n)$ to construct the convex hull.

A divide-and-conquer algorithm for CH

Divide-and-conquer

DC(input P)

if P is small, solve and return

else

//divide

divide input P into two halves, P1 and P2

//recurse

result1 = **DC(P1)**

result2 = **DC(P2)**

//merge

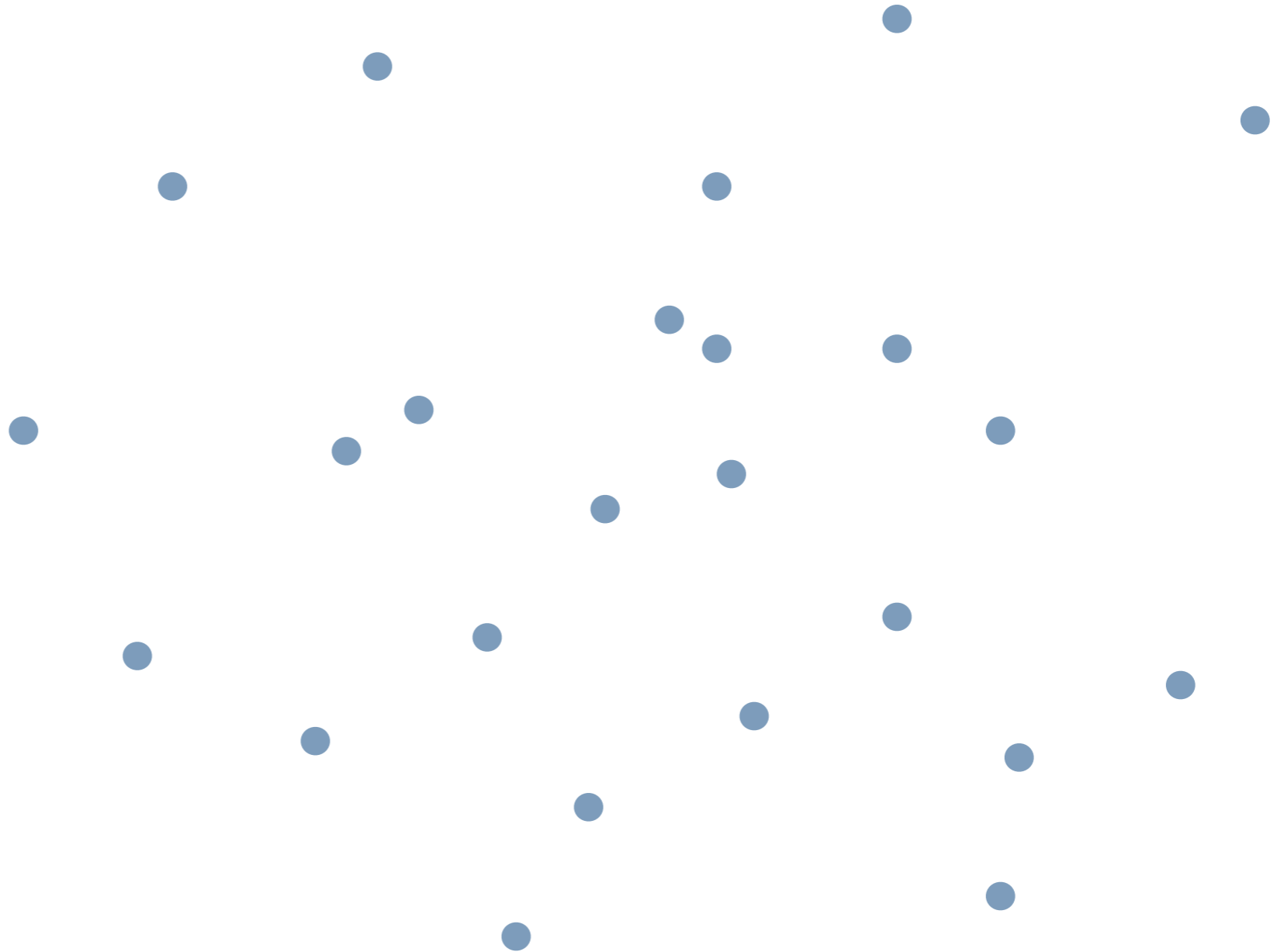
do_something_to_figure_out_result_for_P

return result

Analysis: $T(n) = 2T(n/2) + O(\text{merge phase})$

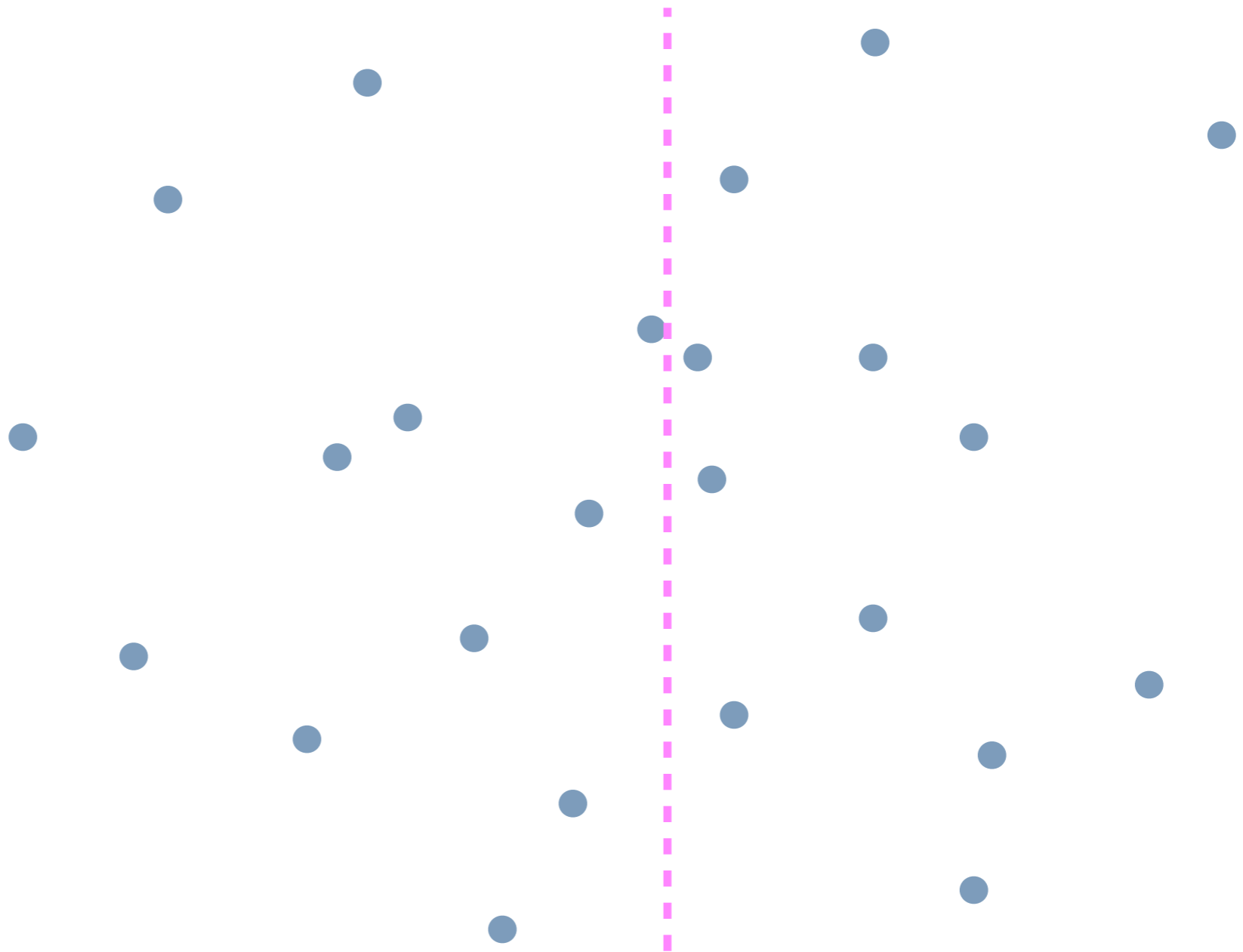
- if merge phase is **$O(n)$** : $T(n) = 2T(n/2) + O(n) \Rightarrow O(n \lg n)$

CH via divide-and-conquer



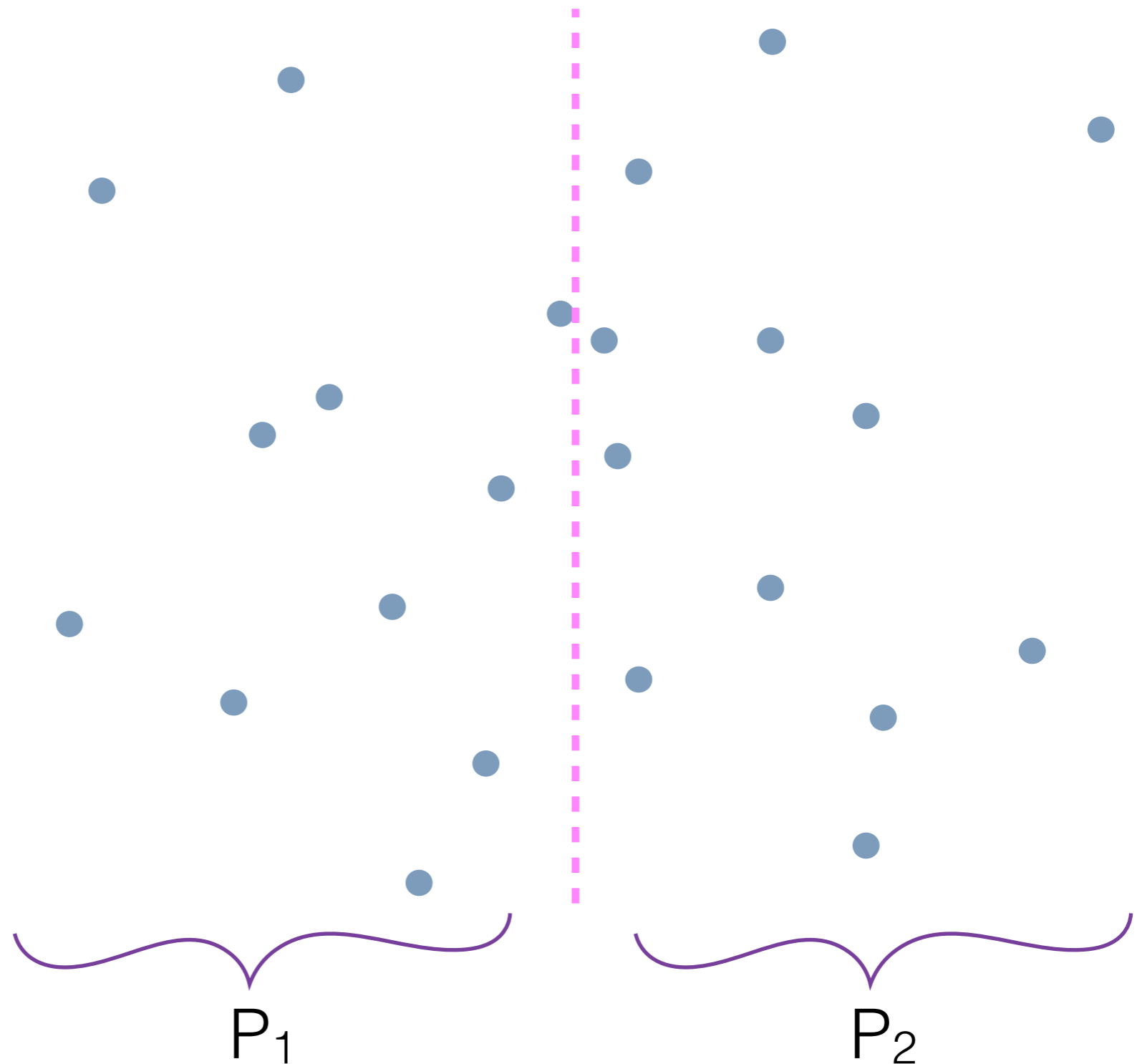
CH via divide-and-conquer

- find vertical line that splits P in half



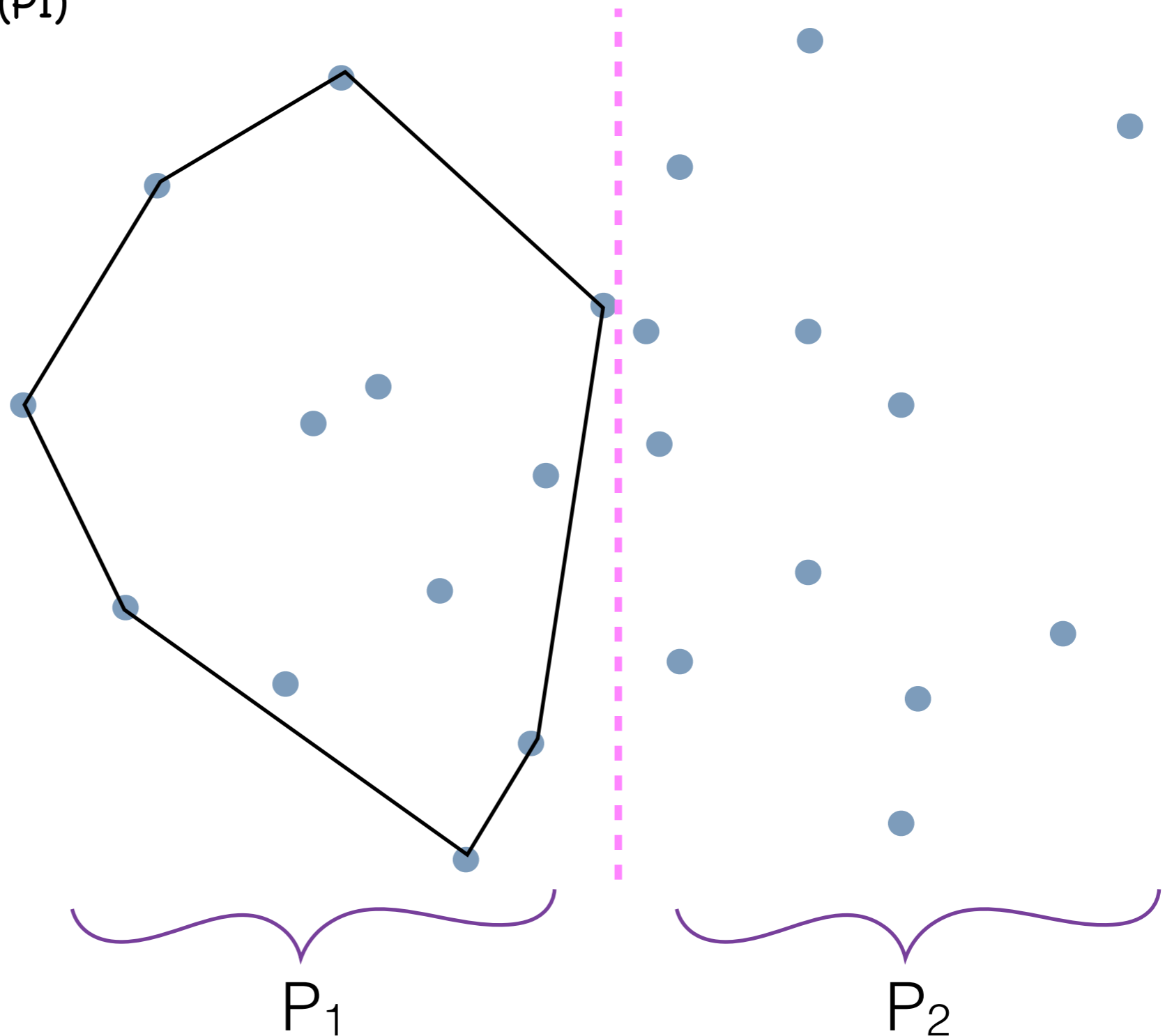
CH via divide-and-conquer

- find vertical line that splits P in half
- let P_1, P_2 = set of points to the left/right of line



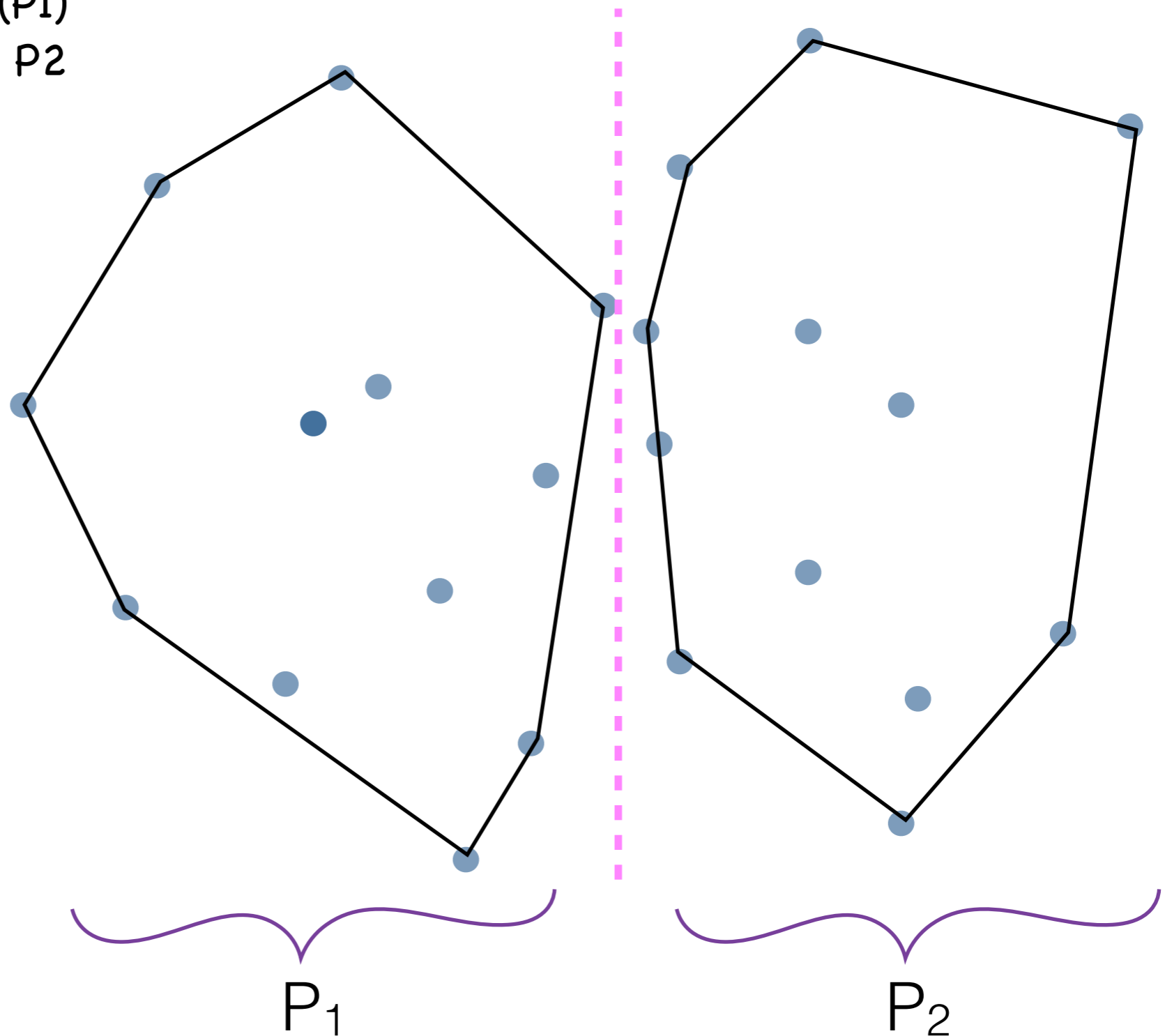
CH via divide-and-conquer

- find vertical line that splits P in half
- let P_1, P_2 = set of points to the left/right of line
- recursively find $CH(P_1)$



CH via divide-and-conquer

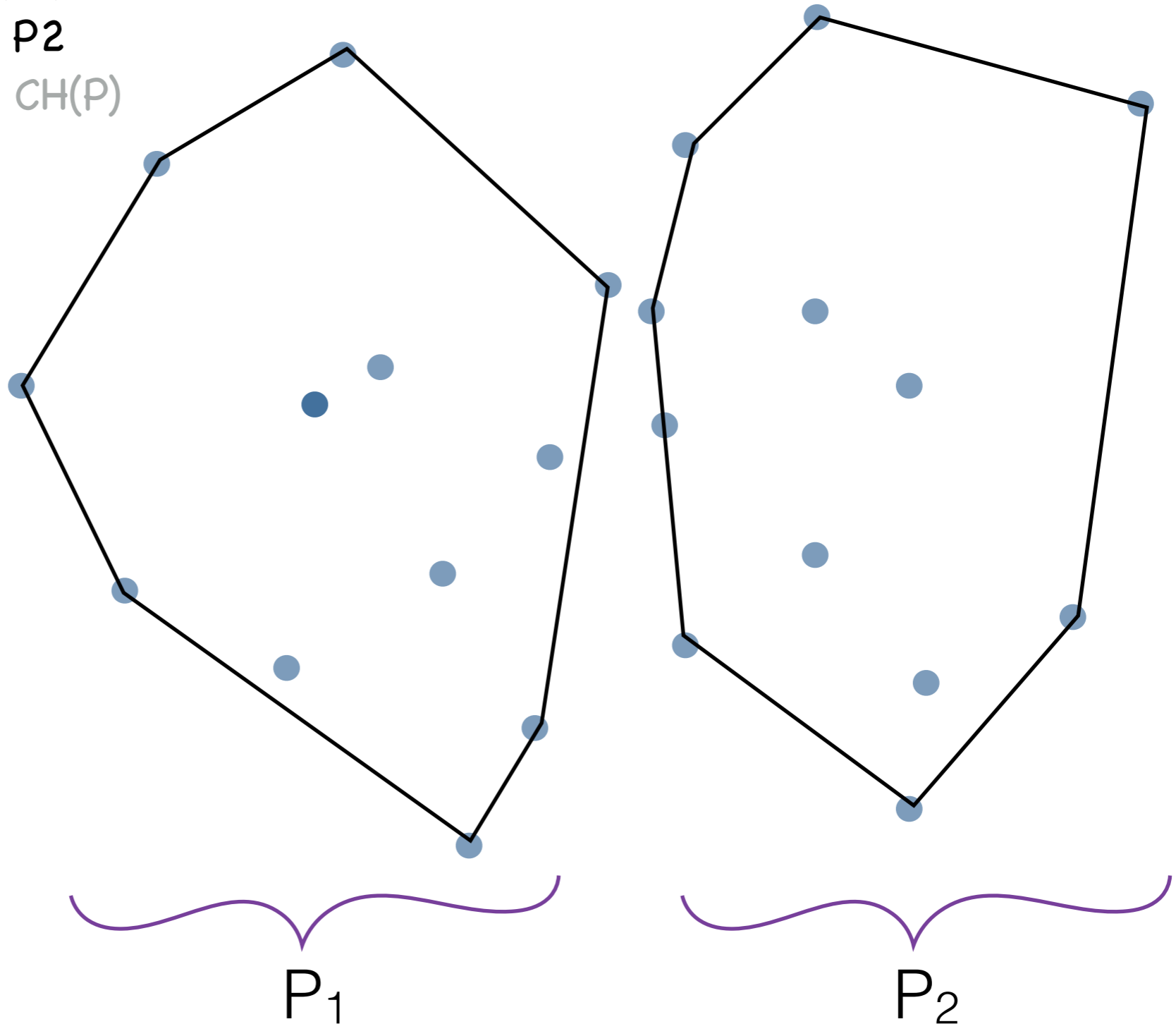
- find vertical line that splits P in half
- let P_1, P_2 = set of points to the left/right of line
- recursively find $CH(P_1)$
- recursively find $CH P_2$



CH via divide-and-conquer

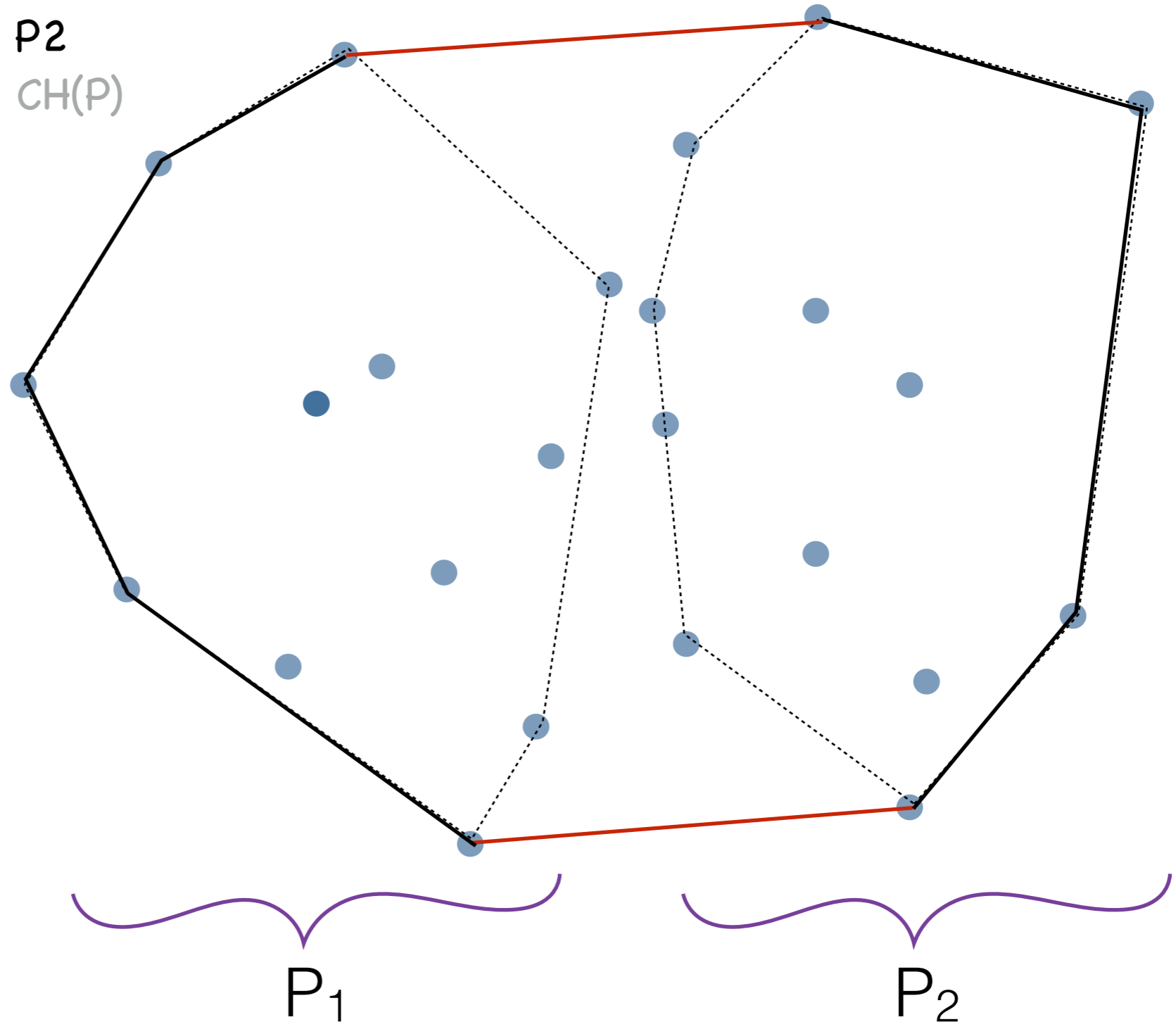
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- recursively find $CH(P_2)$

//now get somehow $CH(P)$



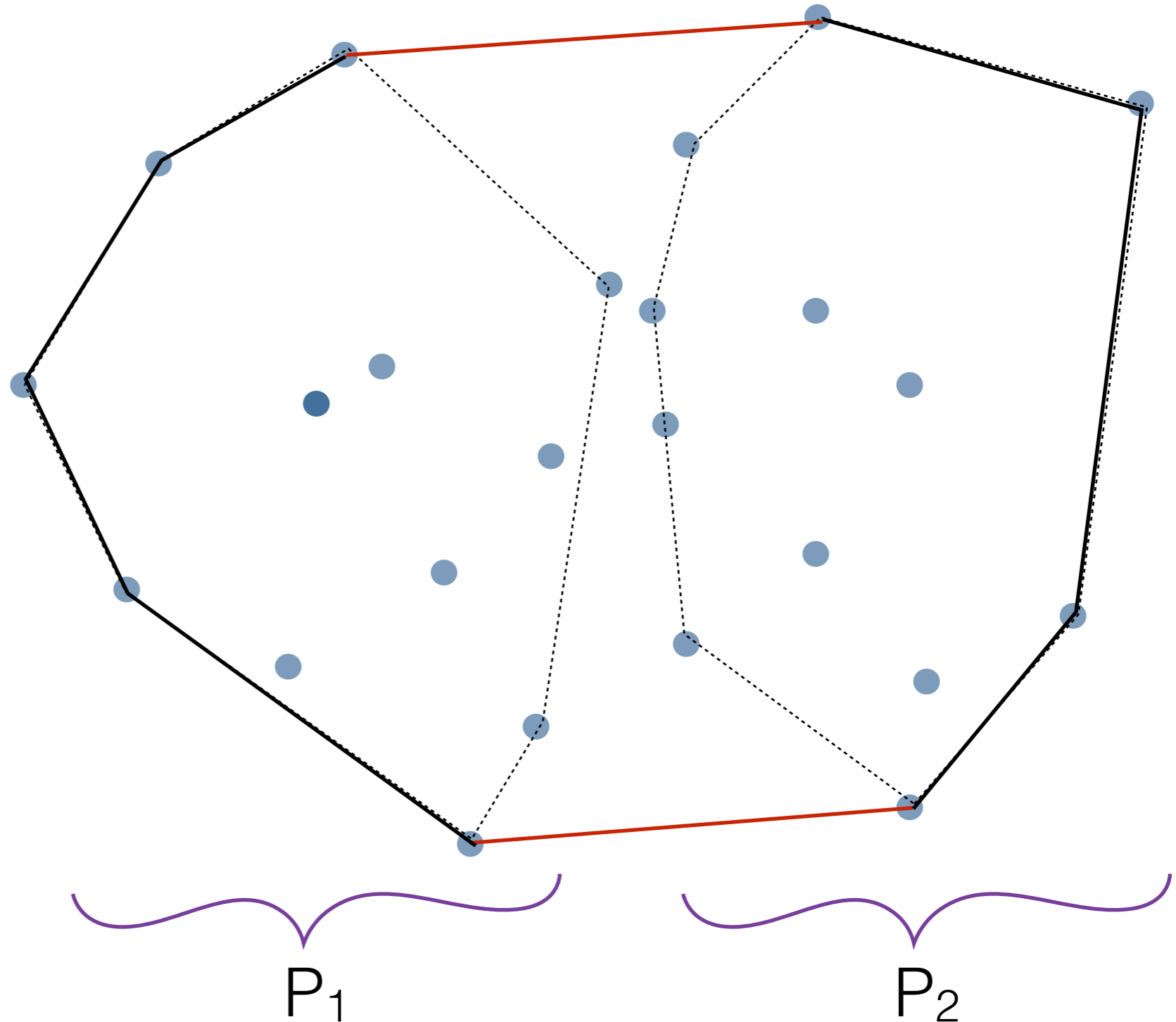
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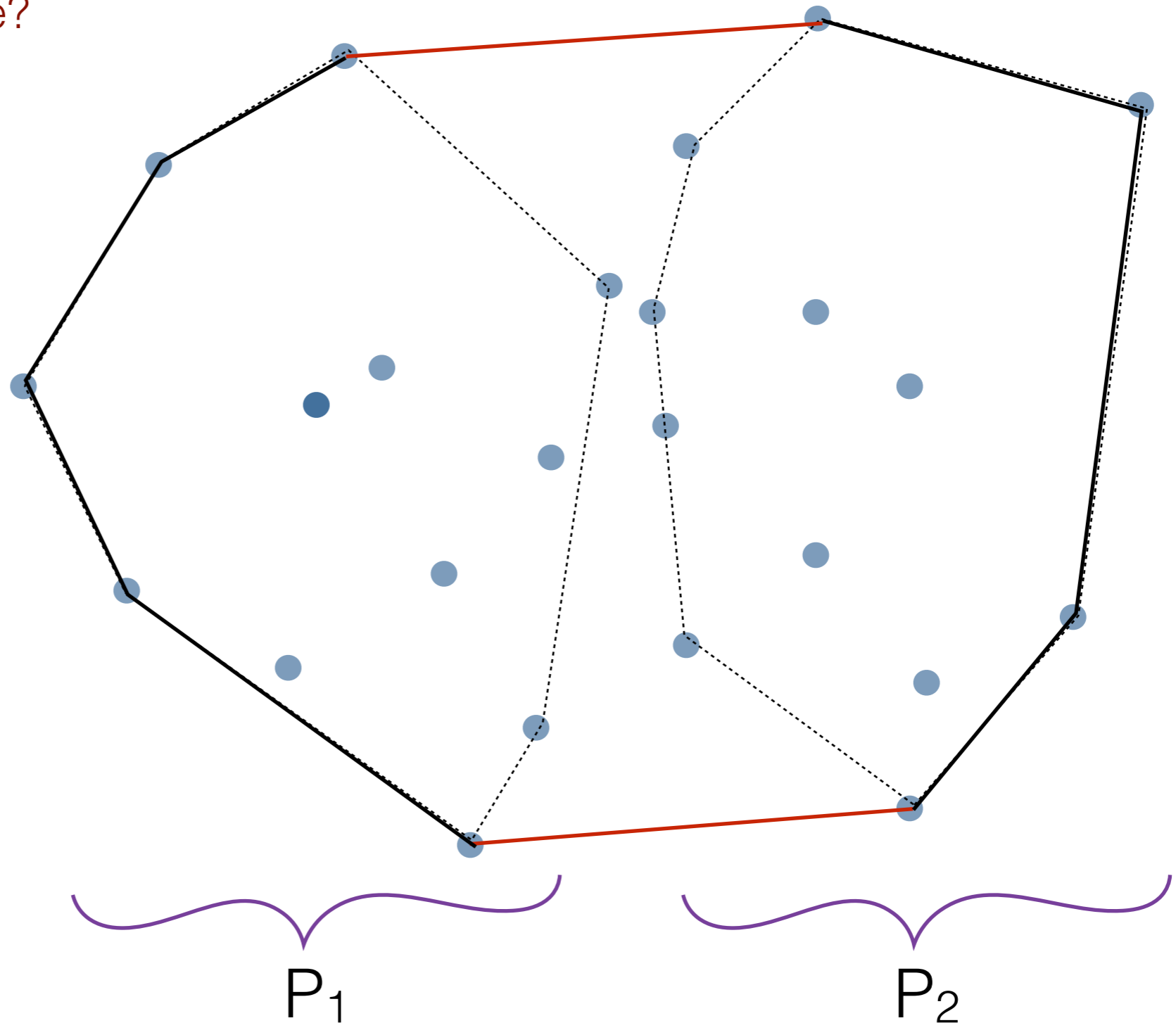
Merging two hulls..in linear time

- Need to find the two “tangents” (bridges?)



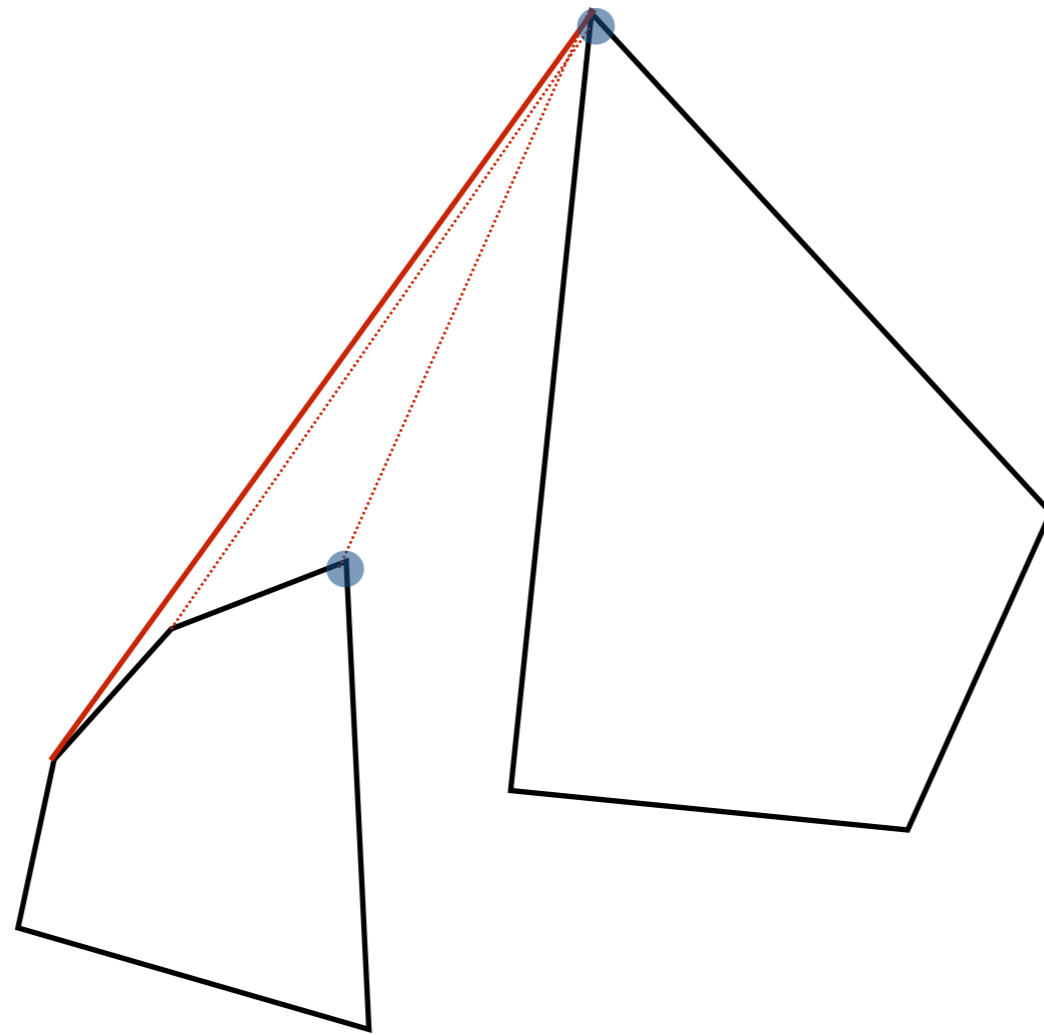
Merging two hulls..in linear time

- Here it looks like the upper tangent is between the **top** points in P_1 and P_2
- Is that always true?

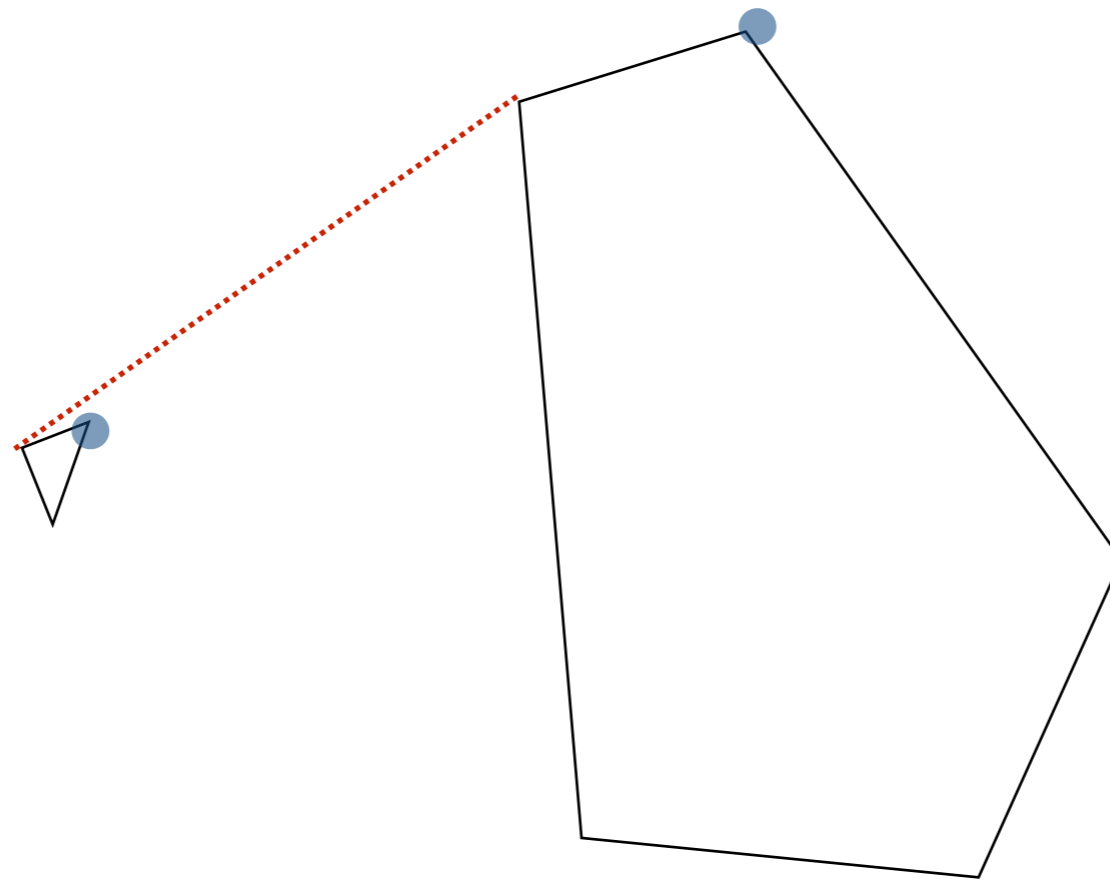


- Is the upper tangent guaranteed to connect the **top** points in P_1 and P_2 ?

Not necessarily...



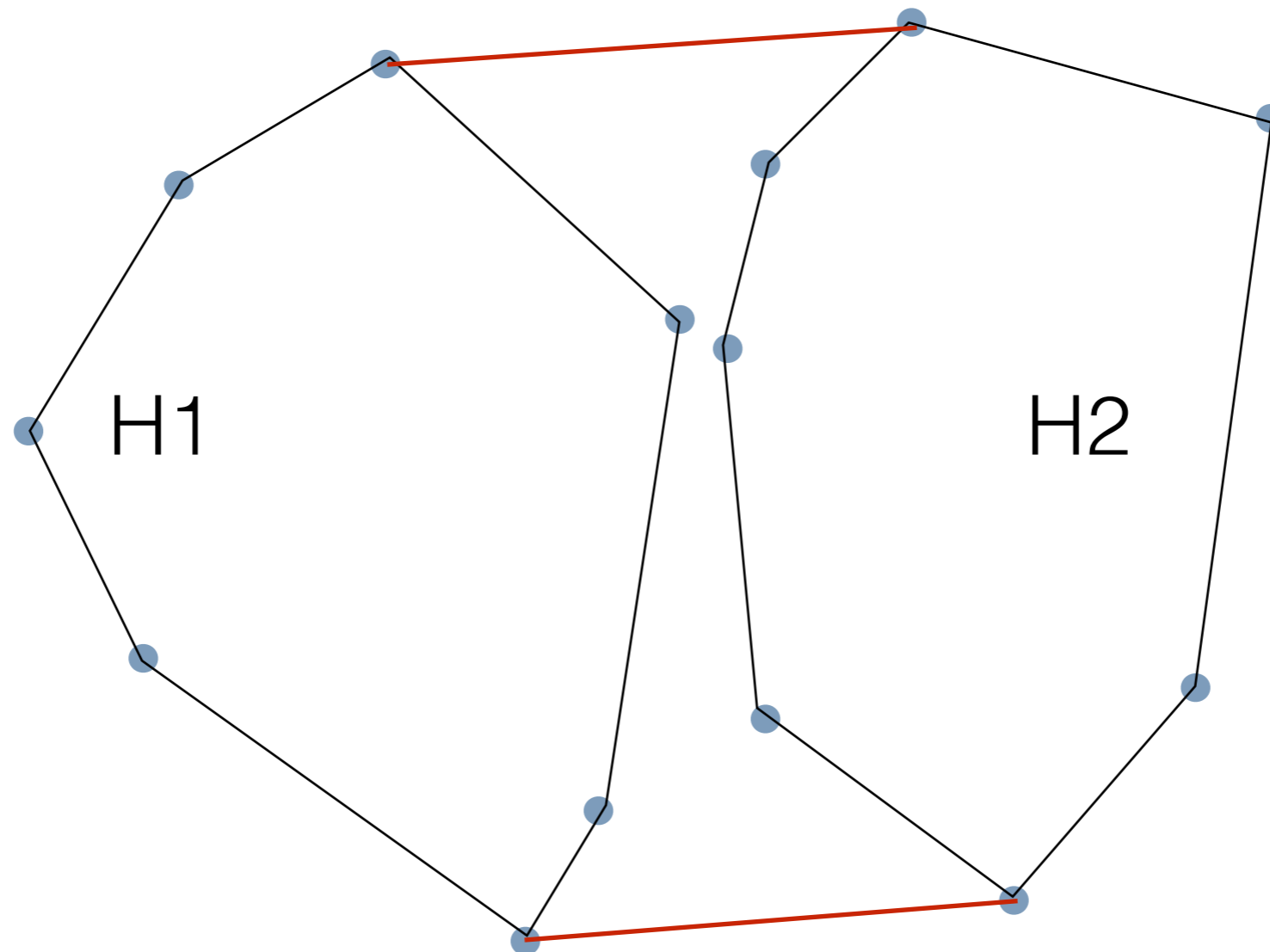
The top-most point overall is on the CH, but not necessarily on the upper tangent



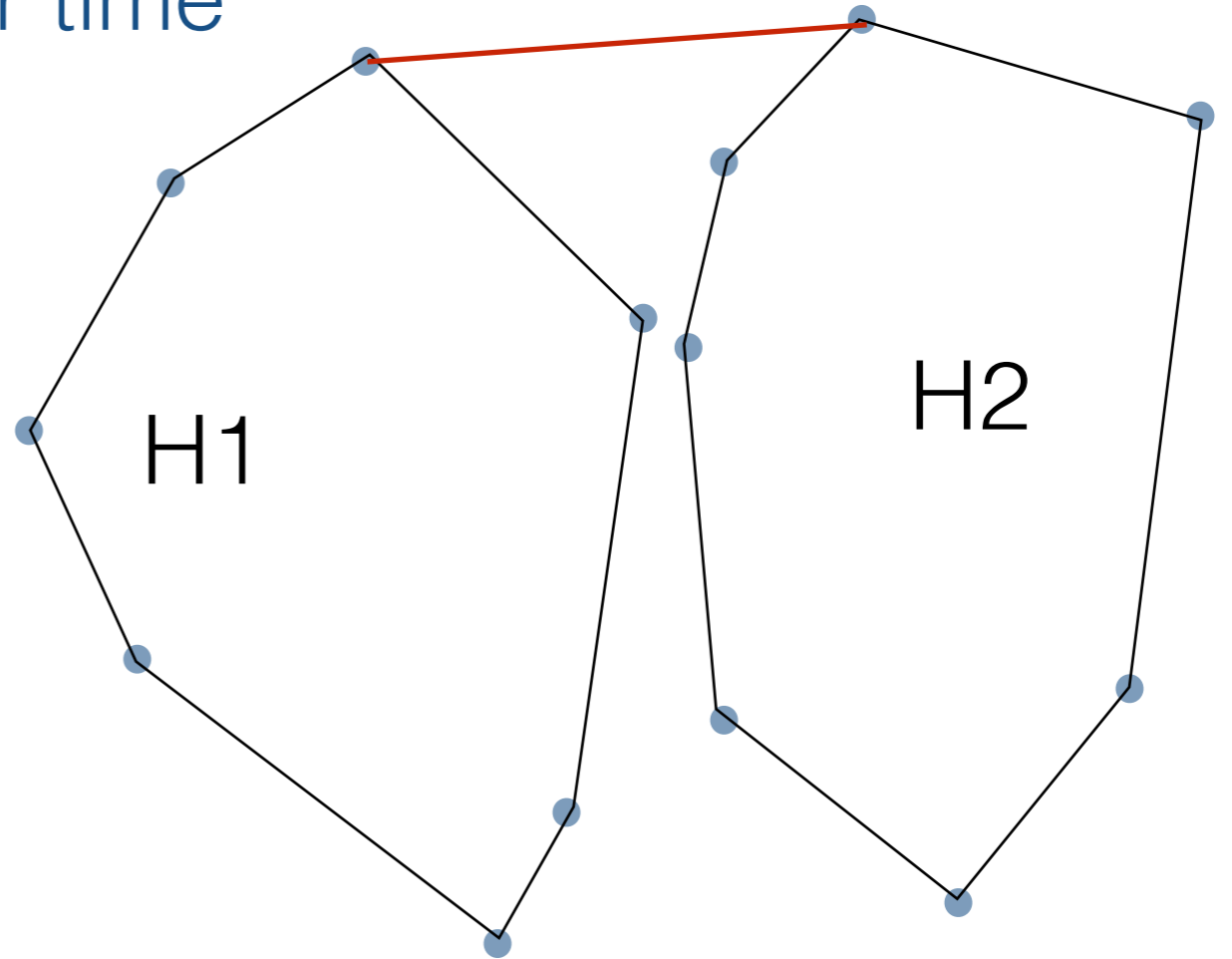
Merging two hulls..in linear time

- Naive algorithm: try all segments (a,b) with a in H_1 and b in H_2

Too slow. $\Rightarrow O(n^2)$ merge, $O(n^2 \lg n)$ CH algorithm



Merging two hulls..in linear time



- To find the upper bridge:
 - let $P1, P2$ = set of points to the left/right of line
 - start with a = right most point of $P1$, b = left most point of $P2$
 - while one of $\text{succ}(a)$ and $\text{pred}(b)$ lies above line ab do:
 - if $\text{succ}(a)$ lies above ab then set $a = \text{succ}(a)$
 - else : set $b = \text{pred}(b)$
 - return ab as the upper bridge

Theorem: D&C CH (in 2D) takes $O(n \lg n)$

CH via divide-and-conquer

- Yet another illustration of divide-and-conquer paradigm!
- Runs in $O(n \lg n)$
- Extends nicely to 3D