

# Algorithms Lab 3

## 1 Review

Topics covered this week:

- heaps and heapsort
- started quicksort

Review the lecture notes and work through the exercises handed out in class.

## 2 Homework

The homework problems are due on Friday 2/17.

**Collaboration policy:** You are allowed and encouraged to discuss the problems with a group of peers, assuming this helps you learn. Keep the group small (groups of at most 3 people) and write your solutions individually.

**Grading:** The assignment will be evaluated based not only on the final answer, but also on clarity, neatness and attention to details.

**Writing:** Please write each problem on a separate sheet of paper, and write your name on each sheet. The problems will be graded by different TAs.

1. What is the minimum and maximum number of elements in a heap of height  $h$ ? Use this to prove a tight bound for the height of a heap of  $n$  elements.
2. Come up with an algorithm that finds the  $k$ th smallest element in a set of  $n$  distinct integers in  $O(n + k \lg n)$  time.
3. (C-4.9) Suppose we are given a sequence  $S$  of  $n$  elements, each of which is colored red or blue. Assuming  $S$  is represented as an array, give an in-place method for ordering  $S$  so that all blue elements are listed before all the red elements.
4. (CLRS 8-2) Suppose we have an array of  $n$  data records to sort and that the key of each record has the value 0 or 1. An algorithm for sorting such a set of records might possess some subset of the following three desirable characteristics:
  - (1) The algorithm runs in  $O(n)$  time.
  - (2) The algorithm is stable.
  - (3) The algorithm sorts in place, using no more than a constant amount of storage space in addition to the original array.

- (a) Give an algorithm that satisfies (1) and (2) above.
  - (b) Give an algorithm that satisfies (1) and (3) above.
  - (c) Give an algorithm that satisfies (2) and (3) above.
  - (d) How would you extend your algorithm from (b) to handle the case when the values are 0, 1 or 2; that is, you want to sort in place in  $O(n)$  time.
5. (CLRS 7-3) Professors Dewey, Cheatham, and Howe have proposed the following “elegant” sorting algorithm:

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STOOGESORT(A, i, j)
if A[i] > A[j]
    then exchange A[i] ↔ A[j]
if i + 1 ≥ j
    then return
k ← ⌊(j - i + 1)/3⌋
STOOGESORT(A, i, j - k)
STOOGESORT(A, i + k, j)
STOOGESORT(A, i, j - k)

```

- a. Argue that  $\text{STOOGESORT}(A, 1, \text{length}[A])$  correctly sorts the input array  $A[1..n]$ , where  $n = \text{length}[A]$ .  
 Hint: Argue that it sorts correctly any array of 1 or 2 elements. Then assume that it sorts correctly any array of  $2n/3$  elements, and argue that this implies that it sorts correctly any array of  $n$  elements (What is true after the first recursive call? After the second?)
  - b. Give a recurrence for the worst-case running time of  $\text{STOOGESORT}$  and a tight asymptotic ( $\Theta$ -notation) bound on the worst-case running time.
  - c. Compare the worst-case running time of  $\text{STOOGESORT}$  with that of insertion sort, merge sort, heapsort, and quicksort. Do the professors deserve tenure?
6. (CLRS 6.5-9) Assume you have  $k$  sorted lists containing a total of  $n$  elements, and you want to merge them together in a single (sorted) list containing all  $n$  elements. For simplicity you may assume that the  $k$  lists contain the same number of elements.
- (a) Approach 1: merge list 1 with list 2, then merge the result with list 3, then merge the result with list 4, and so on. What is the worst-case running time ?
  - (b) Approach 2: split the  $k$  lists into two halves, merge each one recursively, then use the standard 2-way merge procedure (from mergesort) to combine the two halves. What is the worst-case running time ?
  - (c) Give another approach (to merge the  $k$  lists) that uses a heap, and runs in  $O(n \lg k)$ -time.